

# Lecture 22

## Intro to graphs

→ representation

→ BFS

→ DFS

→ DAG / topological  
Sort

– Minimum Spanning Trees

≡ optional : Strongly Connected Components

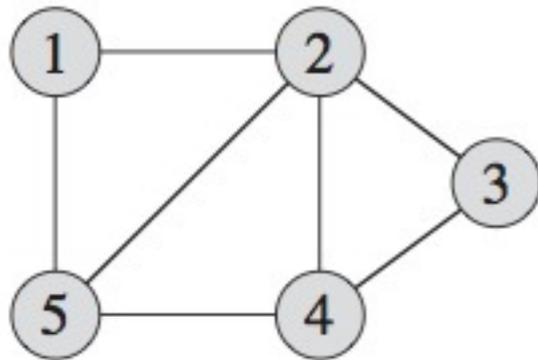
# Graphs

- nodes/vertices and edges between vertices
  - set  $V$  for vertices, set  $E$  for edges
  - we write graph  $G = (V, E)$
- example : cities on a map (nodes) and roads (edges)



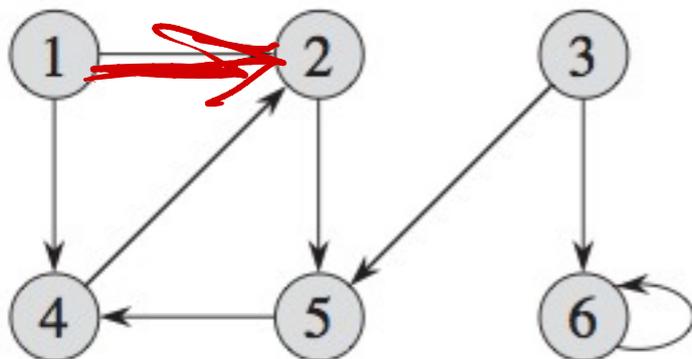
# Adjacency matrix

- $a_{ij} = 1$  if there is an edge from vertex  $i$  to vertex  $j$
- if graph is undirected, edges go both ways, and the adj. matrix is symmetric



	1	2	3	4	5
1	0	1	0	0	1
2	1	0	1	1	1
3	0	1	0	1	0
4	0	1	1	0	1
5	1	1	0	1	0

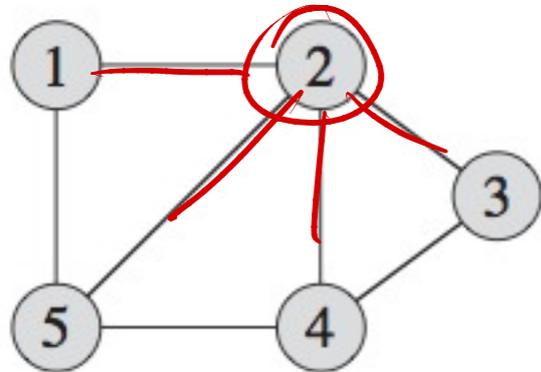
- if the graph is directed, the adj. matrix is not necessarily symmetric



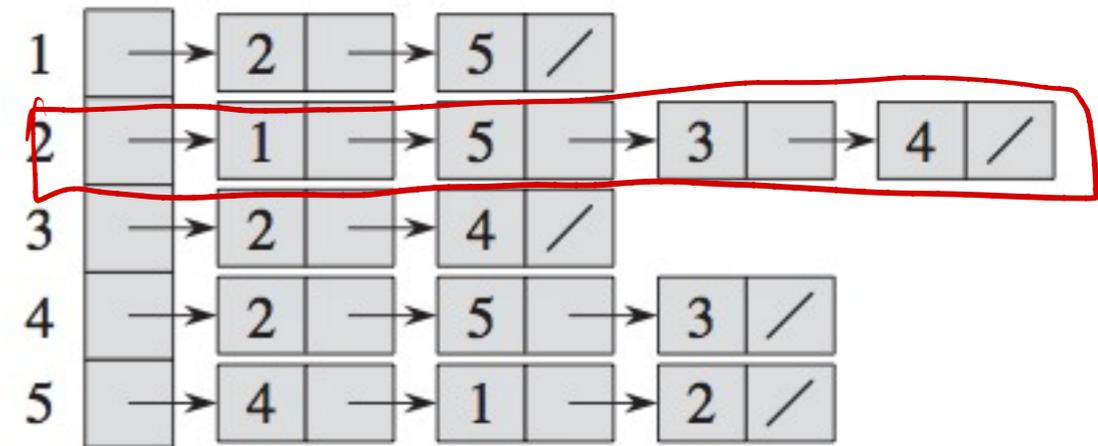
	1	2	3	4	5	6
1	0	1	0	1	0	0
2	0	0	0	0	1	0
3	0	0	0	0	1	1
4	0	1	0	0	0	0
5	0	0	0	1	0	0
6	0	0	0	0	0	1

*missing* (handwritten blue text with arrow pointing to the 0 in row 2, column 1)

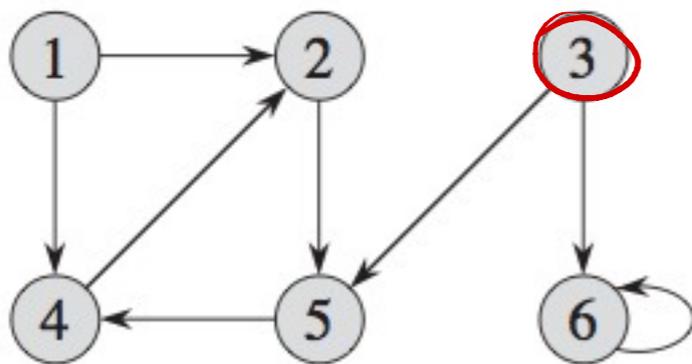
# Adjacency lists



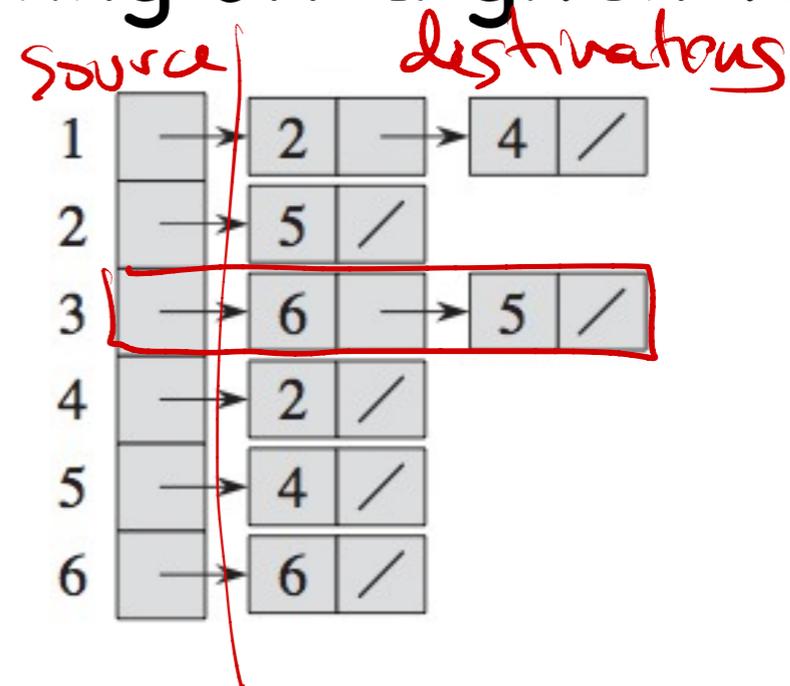
	1	2	3	4	5
1	0	1	0	0	1
2	1	0	1	1	1
3	0	1	0	1	0
4	0	1	1	0	1
5	1	1	0	1	0



- linked list marks all edges starting off a given vertex

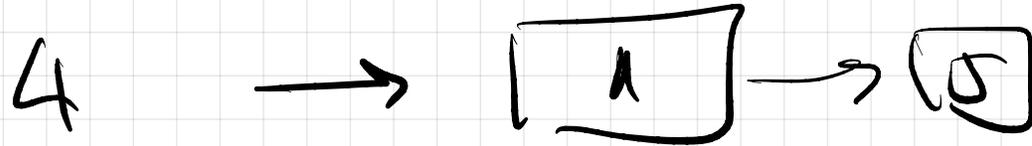


	1	2	3	4	5	6
1	0	1	0	1	0	0
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4	0	1	0	0	0	0
5	0	0	0	1	0	0
6	0	0	0	0	0	1



destinations

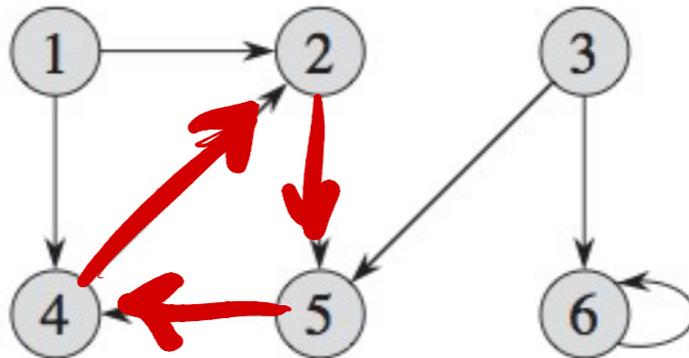
SOURCES



⋮

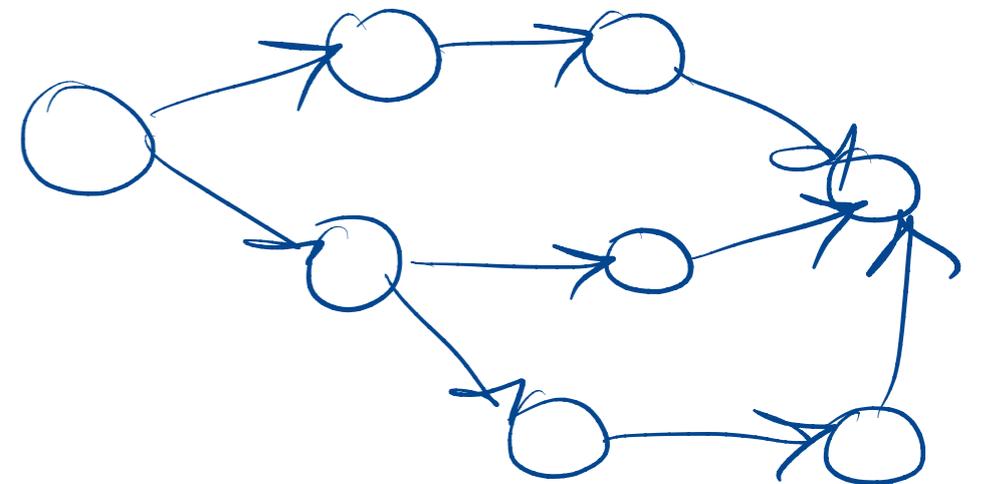
# paths and cycles

- path: a sequence of vertices  $(v_1, v_2, v_3, \dots, v_k)$  such that all  $(v_i, v_{i+1})$  are edges in the graph



- edges can form a cycle = a path that ends in the same vertex it started

*cycles visual  
but not cycles directed*

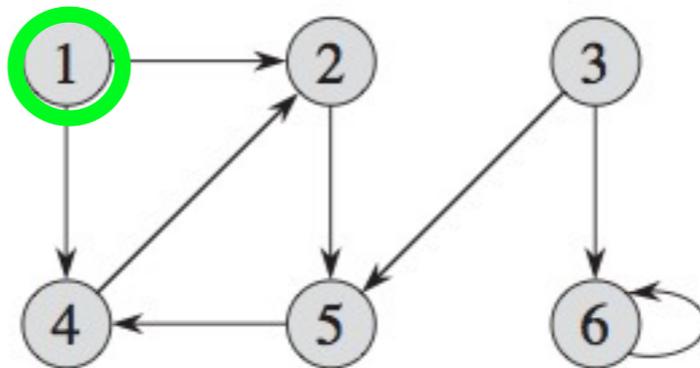


- paths and cycles are defined for both directed and undirected graphs

# paths and cycles

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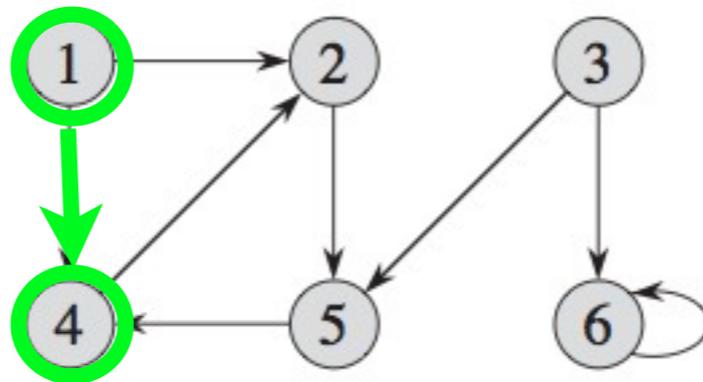
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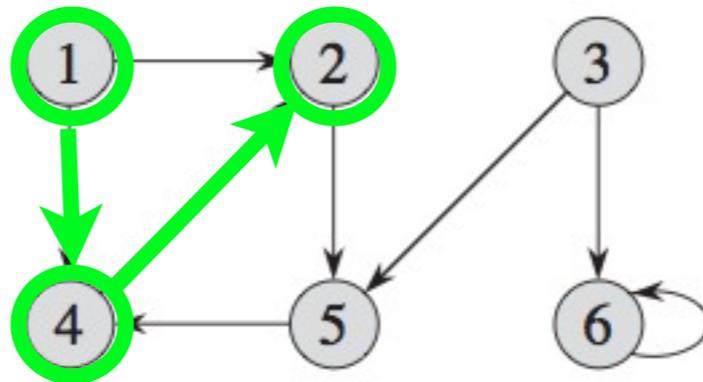
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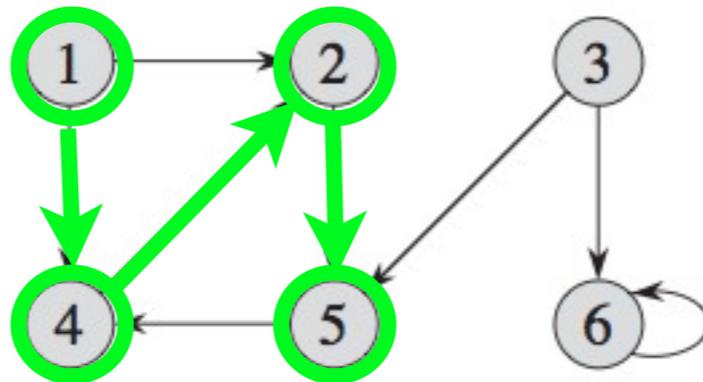
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# paths and cycles

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*path 1 to 5*  
*1 → 4 → 2 → 5*  
*1 → 2 → 5*



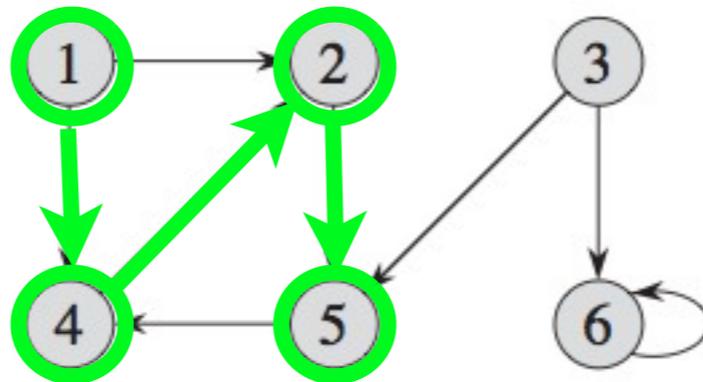
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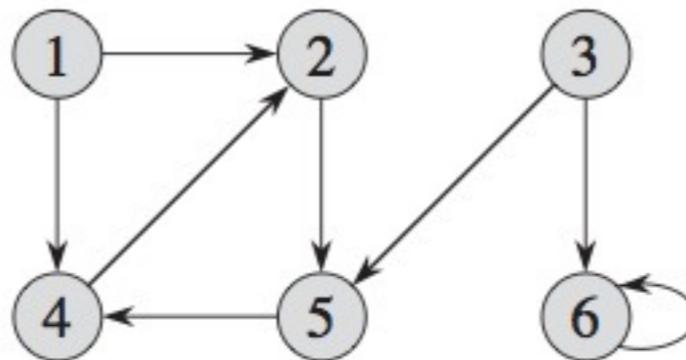
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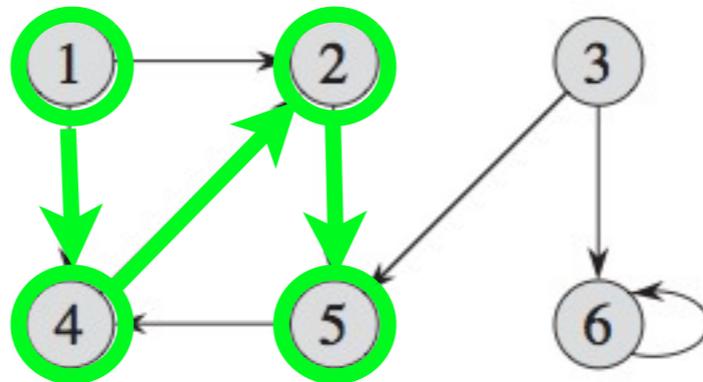


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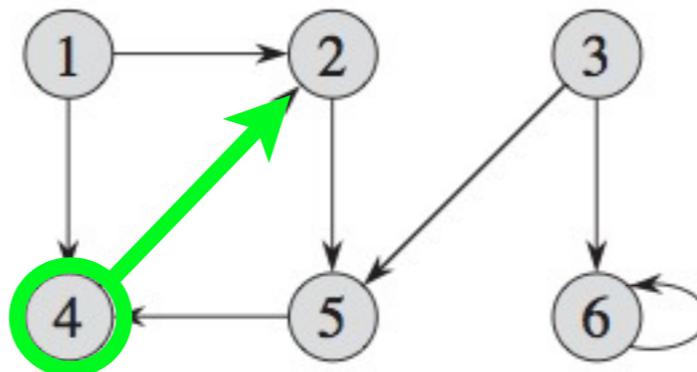
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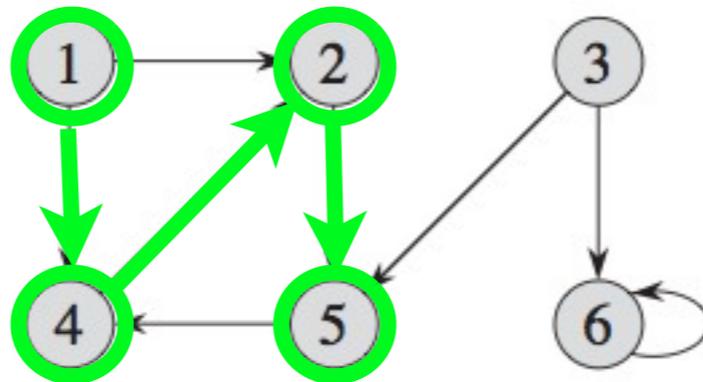


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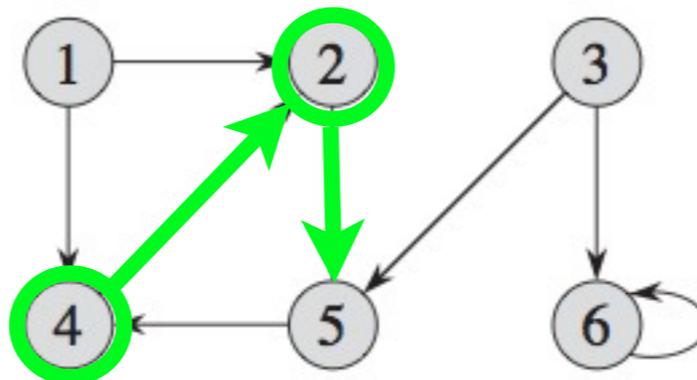
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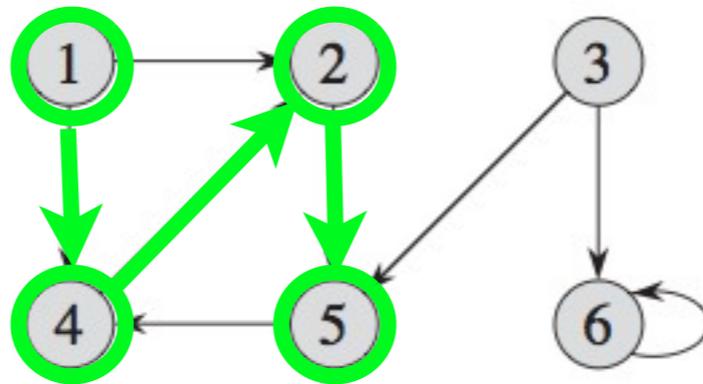


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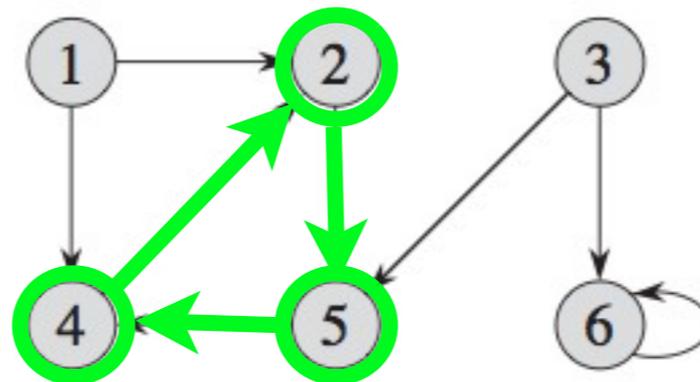
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- paths and cycles are defined for both directed and undirected graphs

# Traverse/search graphs : BFS

● BFS = breadth-first search.

*wave traversal*

● Start in a given vertex  $s$ , find all reachable vertices from  $s$

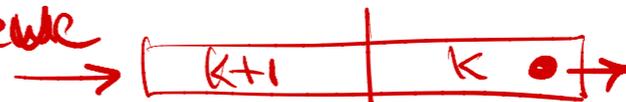
– proceed in waves

*• wave #*

*a parent (  $u.parent = u.\pi$  ) "d"  $\pi = s$  )  
OR BFS-tree (edges) →*

– computes  $d[v]$  = number of edges from  $s$  to  $v$ . If  $v$  not reachable from  $s$ , we have  $d[v] = \infty$ .

*without queue*

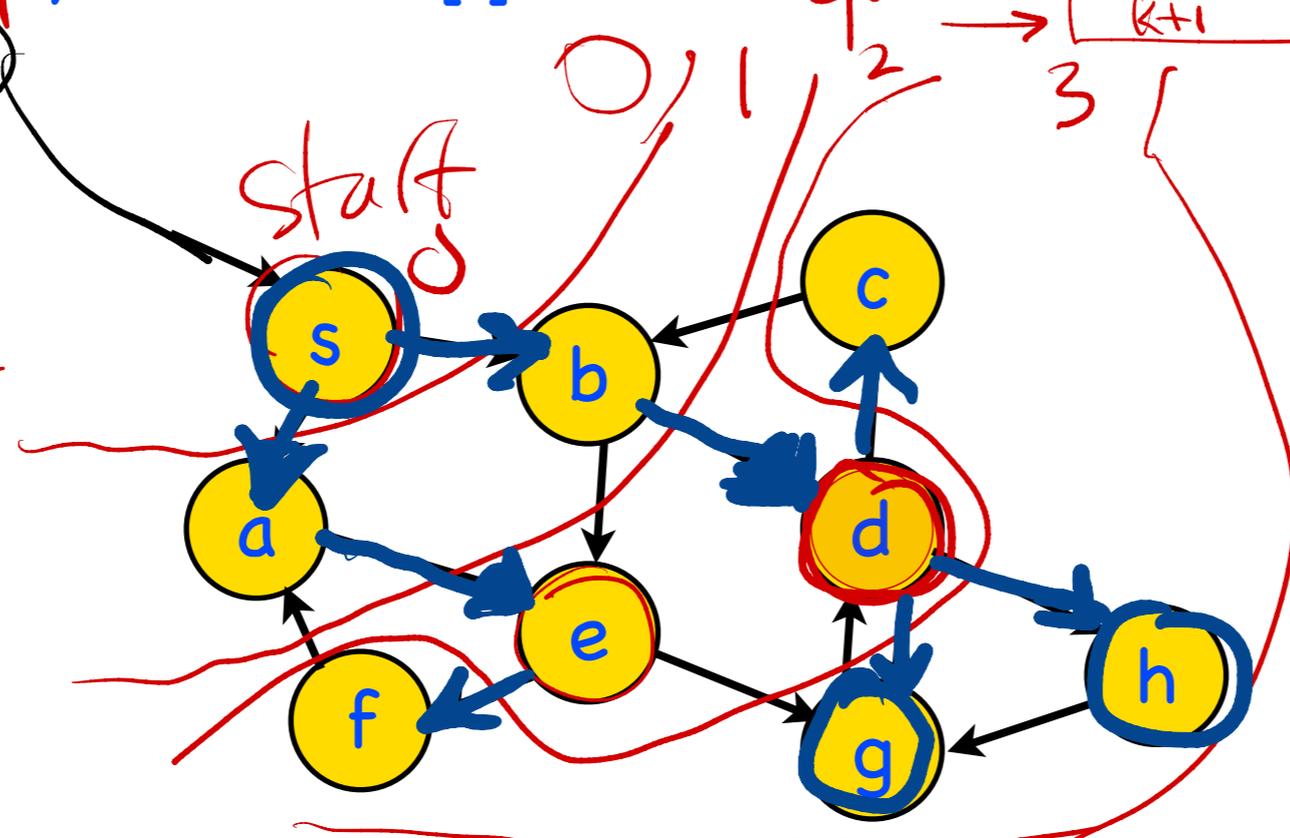
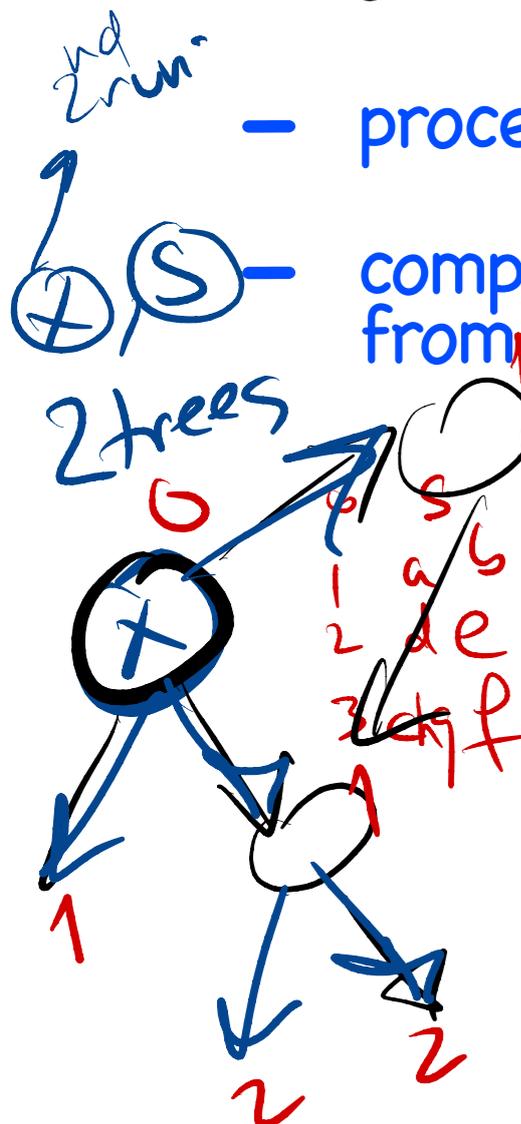
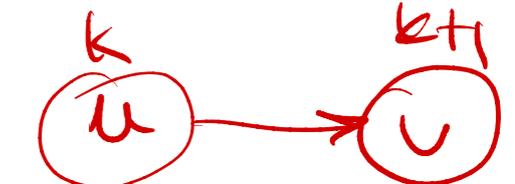


*•  $d[v]$  = discovery ( $v$ )  
= wave ( $v$ )*

*$v.\pi = v.parent$*

*= parent ( $v$ ) = node  $u$*

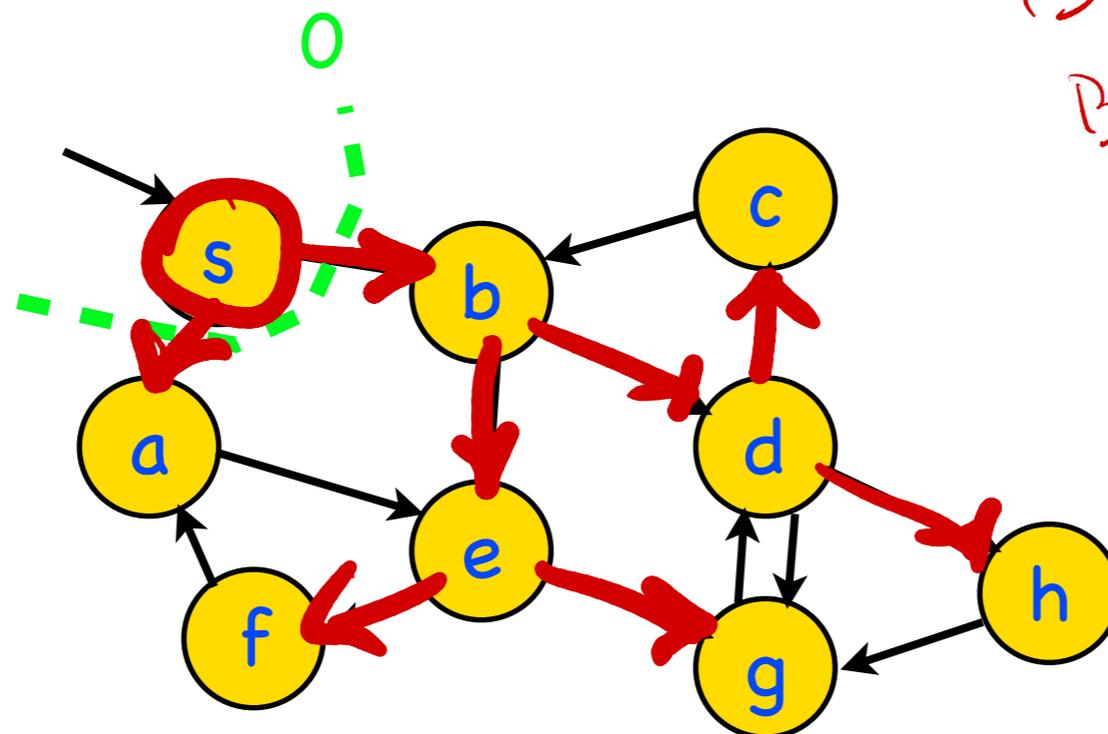
*that added  $v$  to queue*



# Traverse/search graphs : BFS

---

- BFS = breadth-first search.
- Start in a given vertex  $s$ , find all reachable vertices from  $s$ 
  - proceed in waves
  - computes  $d[v]$  = number of edges from  $s$  to  $v$ . If  $v$  not reachable from  $s$ , we have  $d[v] = \infty$ .

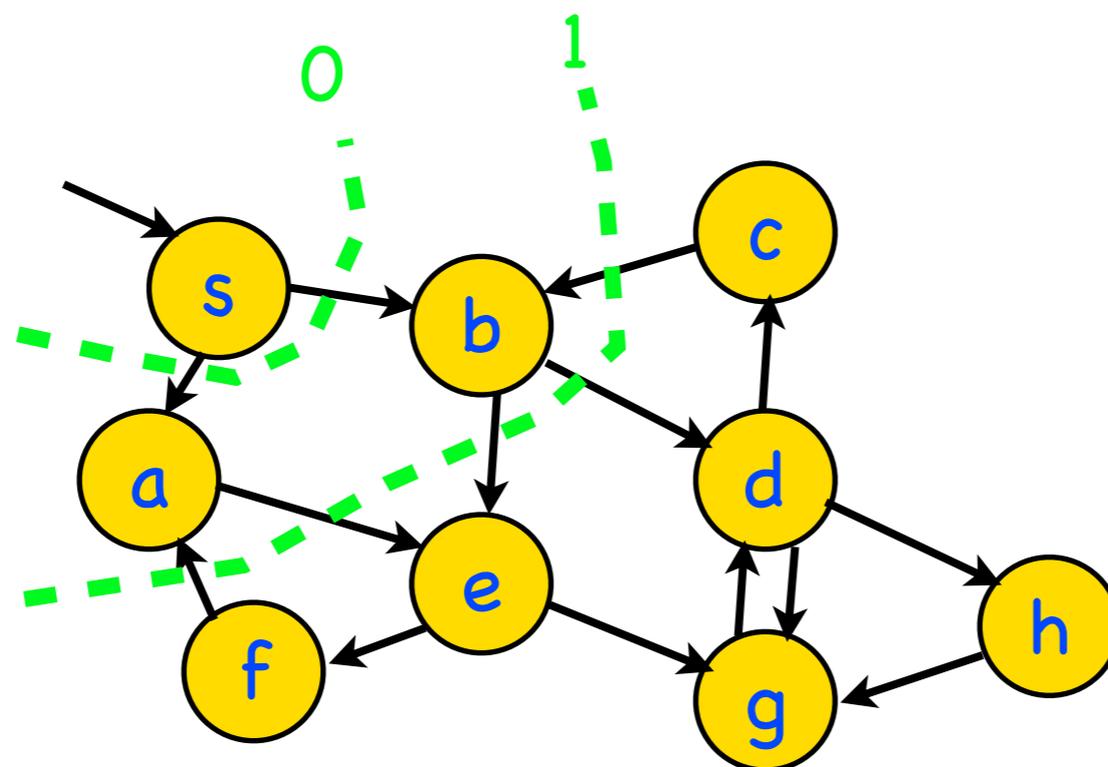


BFS tree (output)  
BFS-advance

# Traverse/search graphs : BFS

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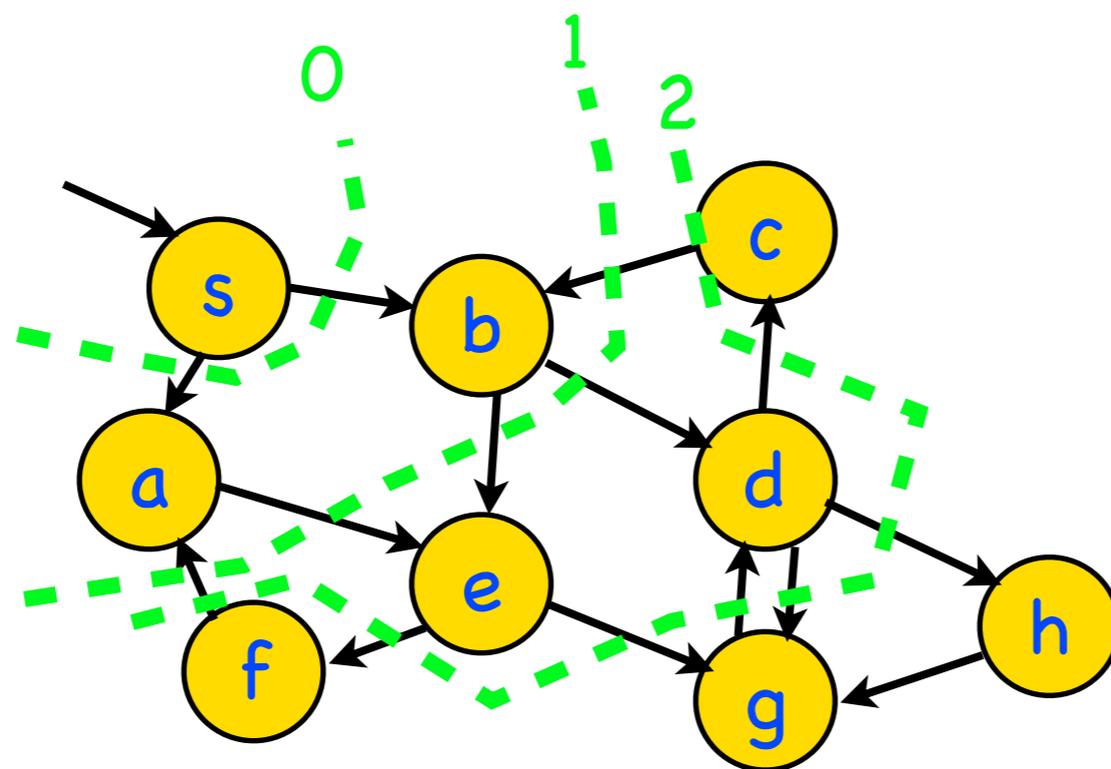
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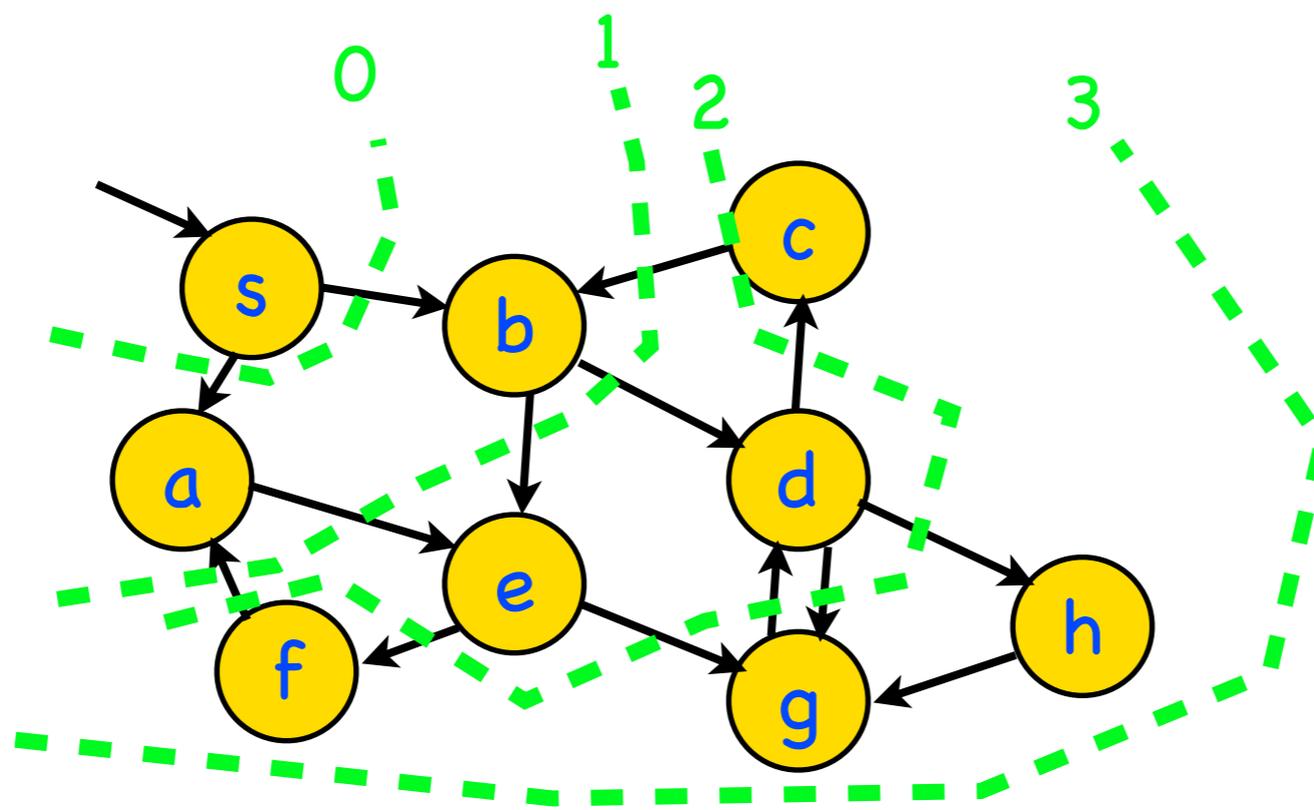
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# BFS

- use a queue to store processed vertices

- for each vertex in the queue, follow adj matrix to get vertices of the next wave

- ▶ BFS (V, E, s)

- ▶ for each vertex  $v \neq s$ , set  $d[v] = \infty$

- ▶ init queue Q; enqueue(Q, s) // puts s in the queue

- ▶ while Q not empty

- ▶ u = dequeue(S) // takes the first elem available from the queue

- ▶ for each vertex  $v \in \text{Adj}[u]$

- ▶ if ( $d[v] == \infty$ ) then

- ▶  $d[v] = d[u] + 1$  wave #

- ▶ enqueue(Q, v)

- ▶ end if

- ▶ end for

- ▶ end while

- Running time  $O(V+E)$ , since each edge and vertex is considered once.

# Traverse/search graphs : DFS

## ● DFS = depth-first search

diff  
• discovery (u)  
• finish (u)  
• DFS-tree  
• u.parent

- once a vertex is discovered, proceed to its adj vertices, or “children” (depth) rather than to its “brothers” (breadth)

• edge classification

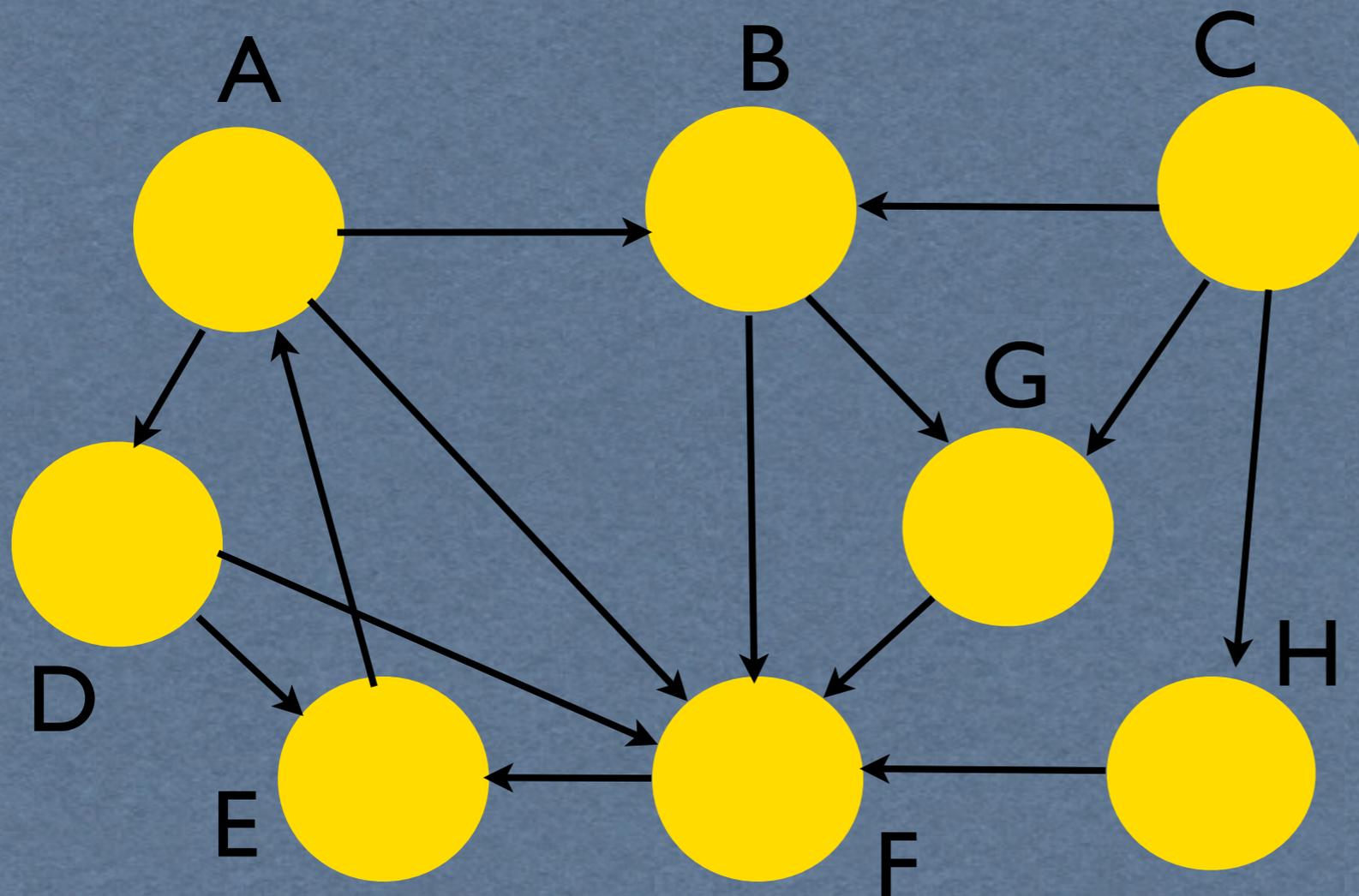
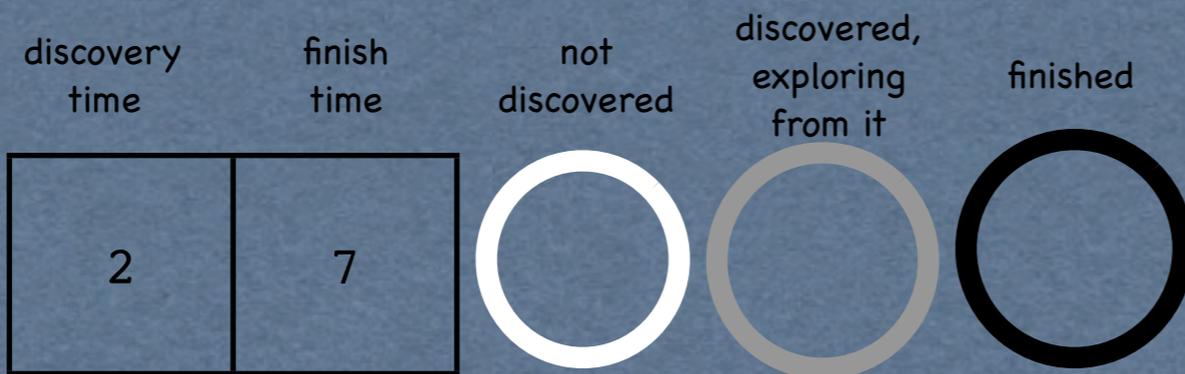
DFS-wrapper (V, E)

- ▶ foreach vertex  $u \in V$  {color[u] = white} end for //color all nodes white
- ▶ foreach vertex  $u \in V$ 
  - ▶ if (color[u]==white) then DFS-Visit(u)
- end for

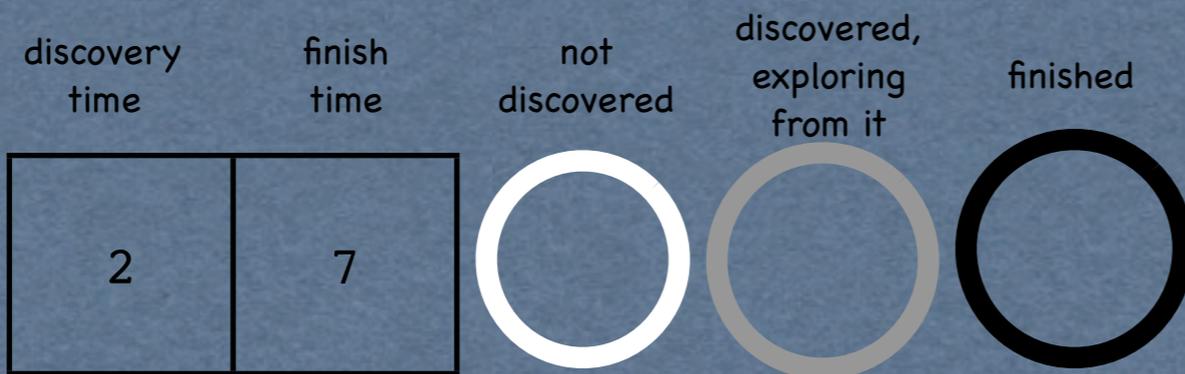
DFS-Visit(u) //recursive function

- ▶ color[u] = gray; //gray means “exploring from this node”
- ▶ time++; discover\_time[u] = time; //discover time
- ▶ for each  $v \in \text{Adj}[u]$ 
  - ▶ if (color[v]==white) then DFS-Visit(v) //explore from u
- end for
- ▶ color [u] = black; finish\_time[u]=time; //finish time

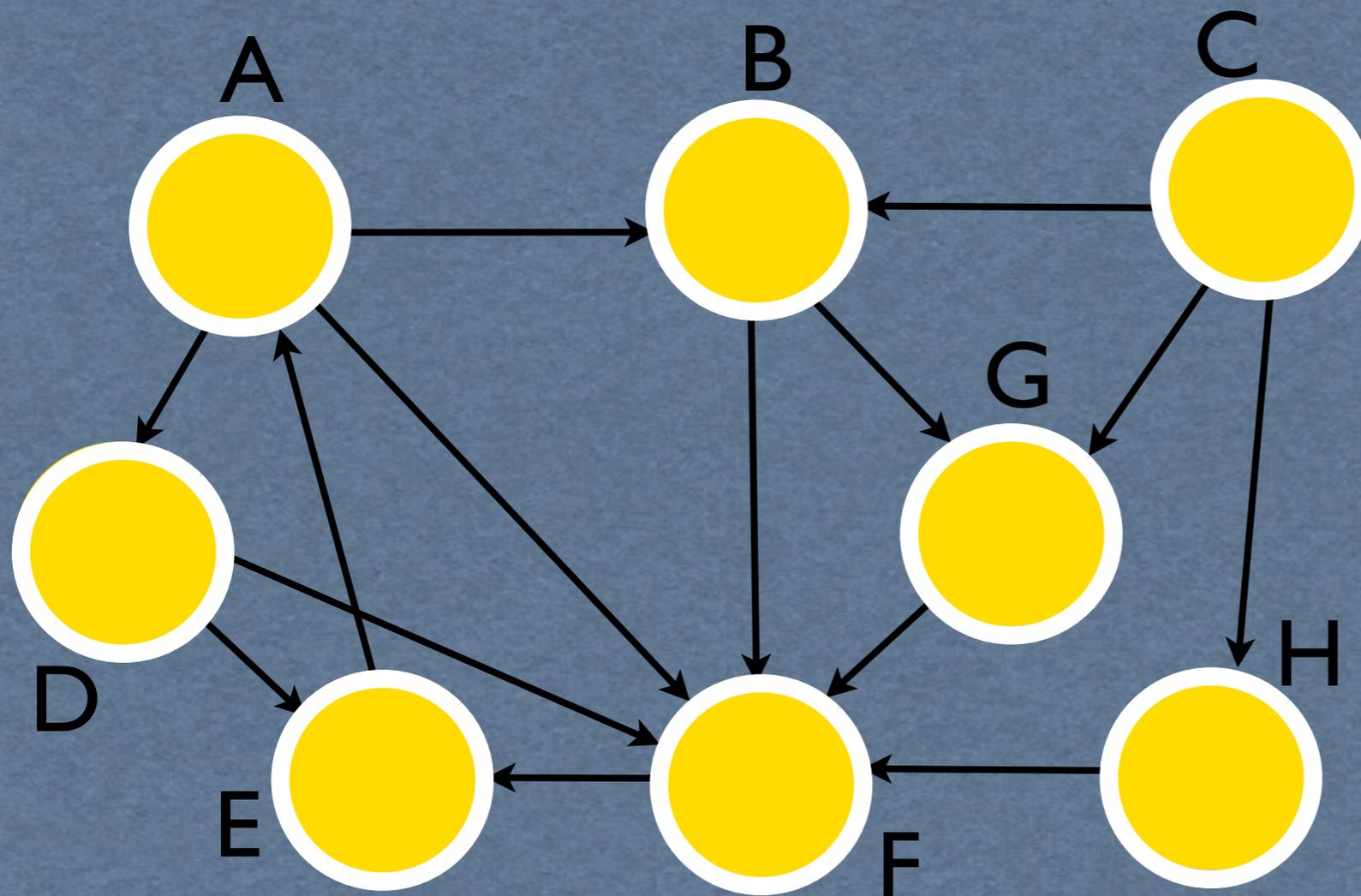
# DFS



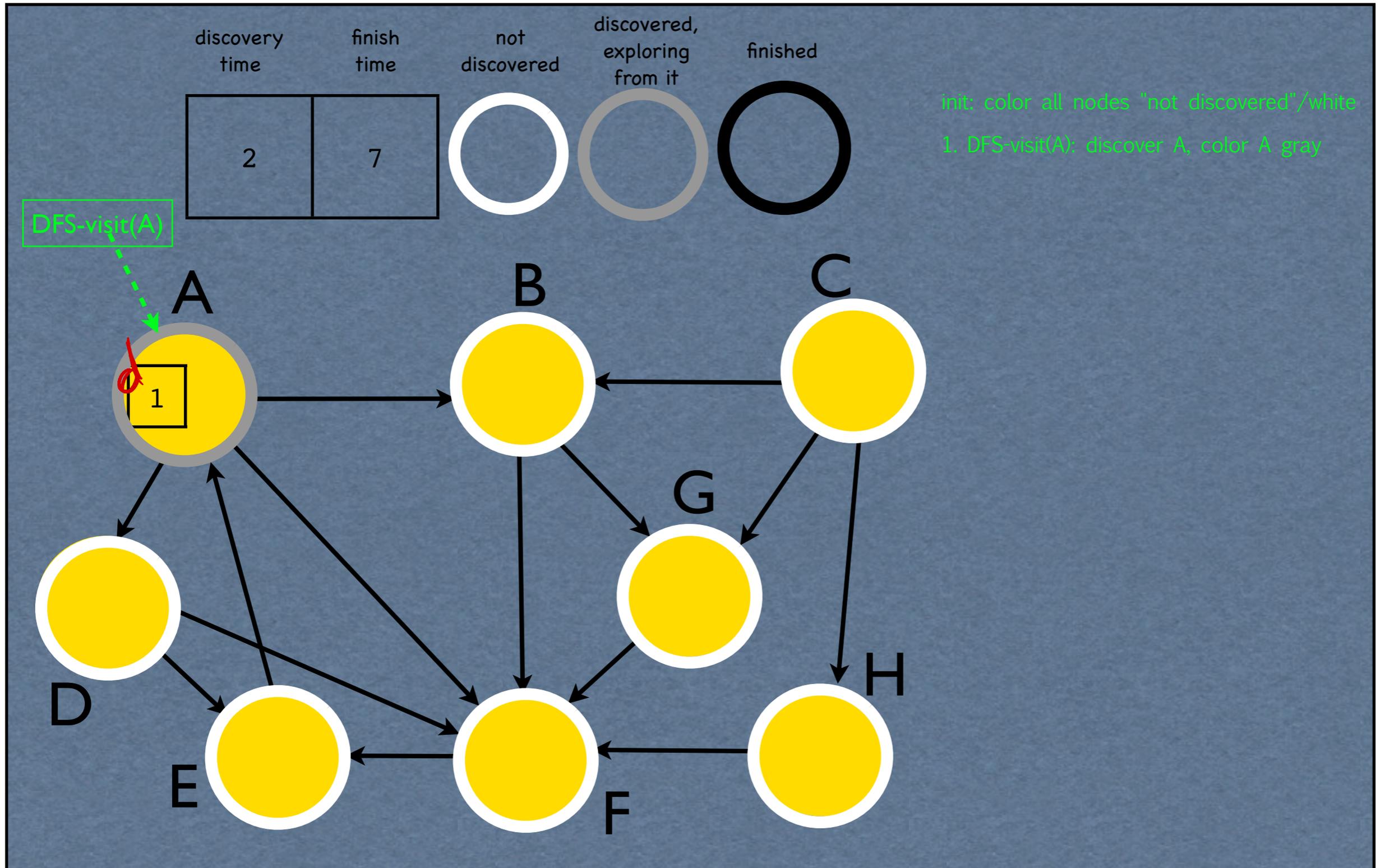
# DFS



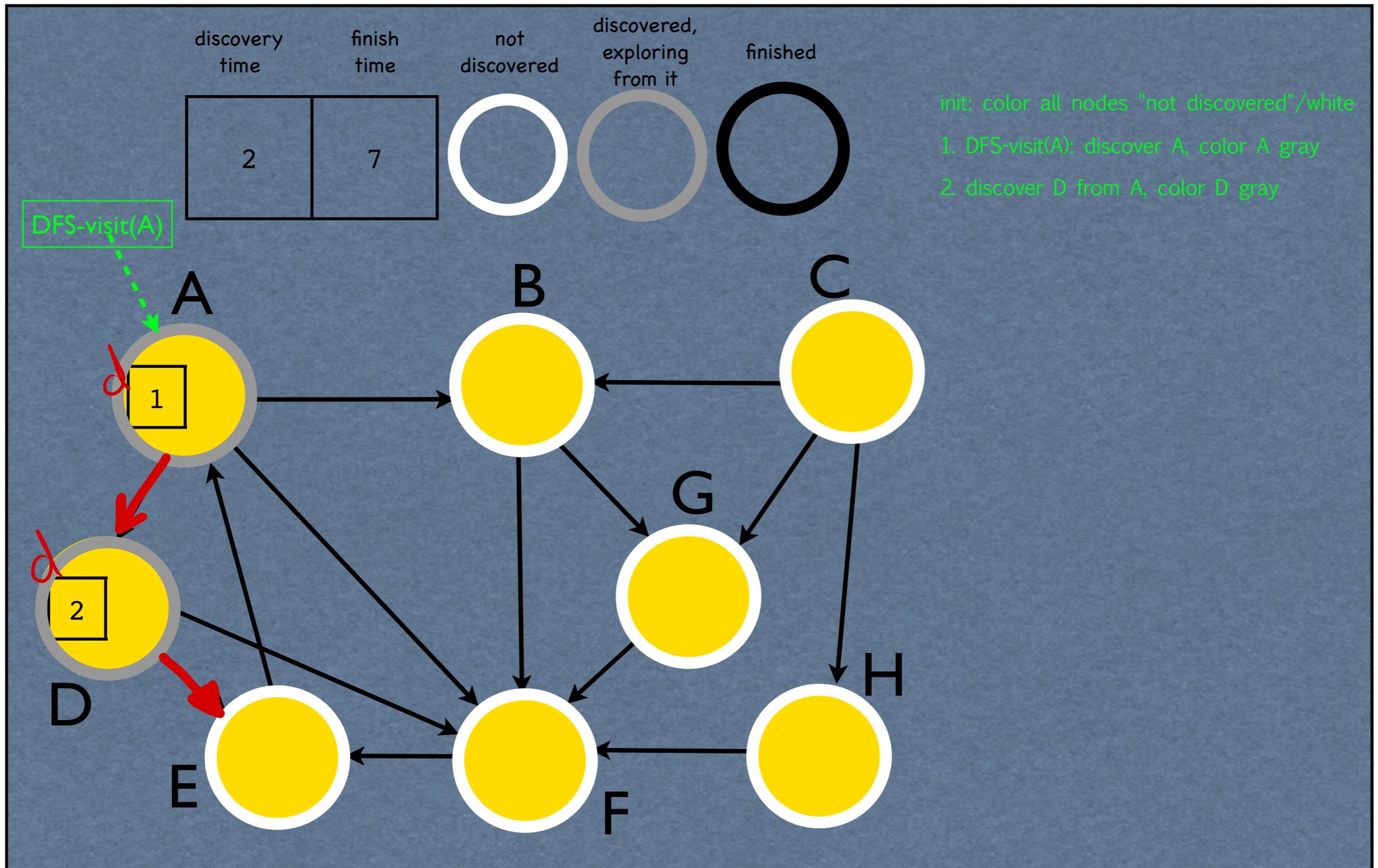
init: color all nodes "not discovered"/white



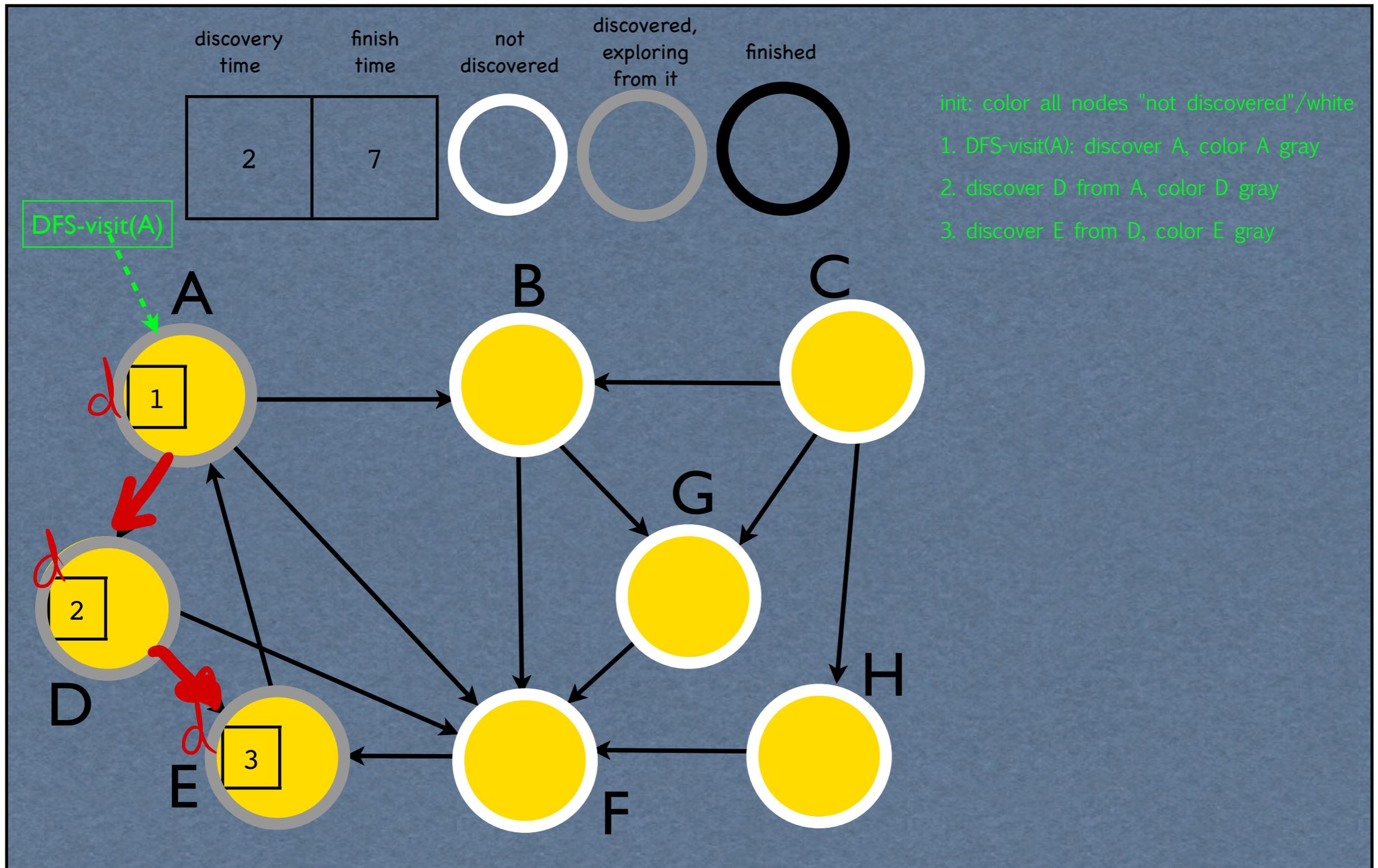
# DFS



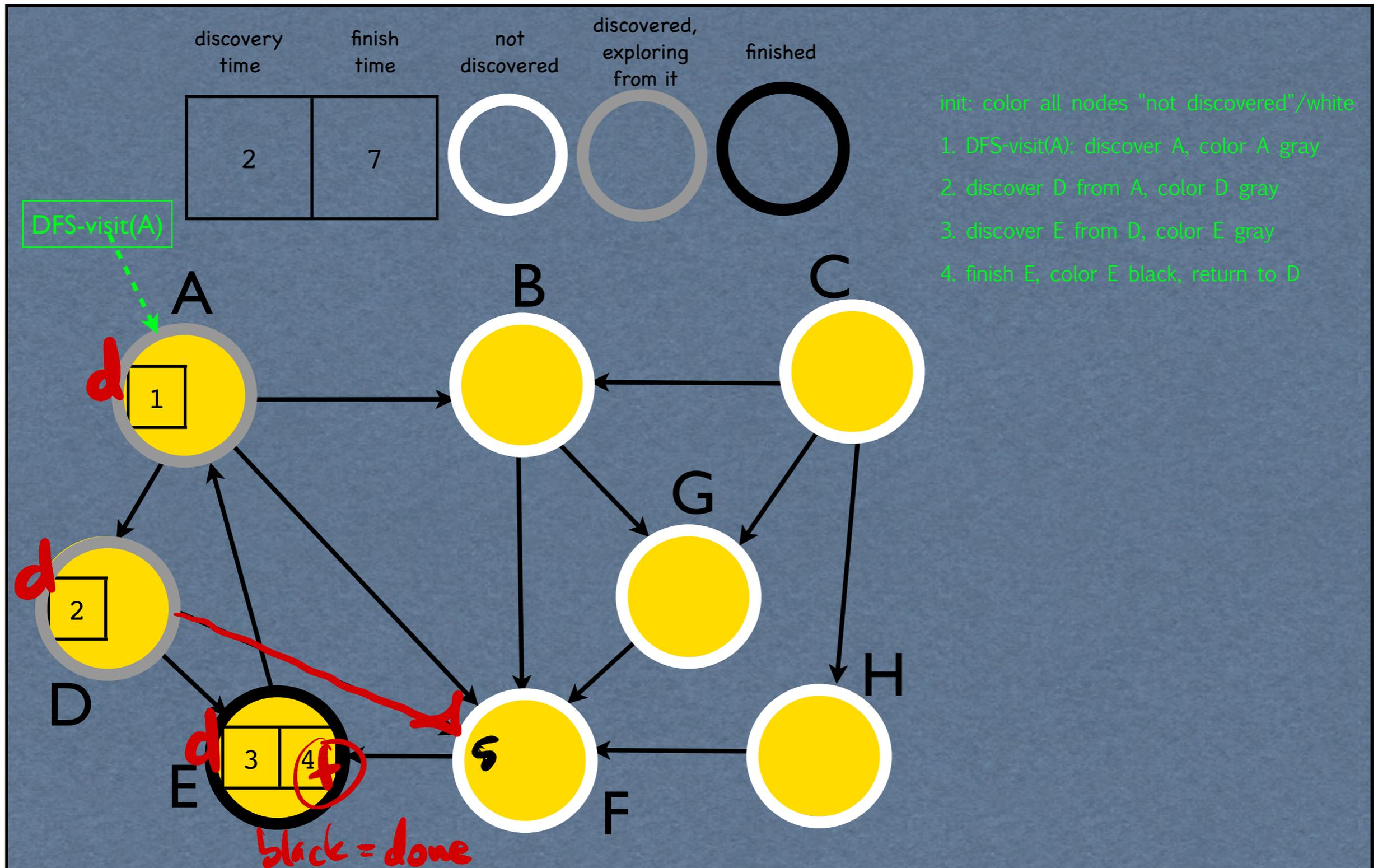
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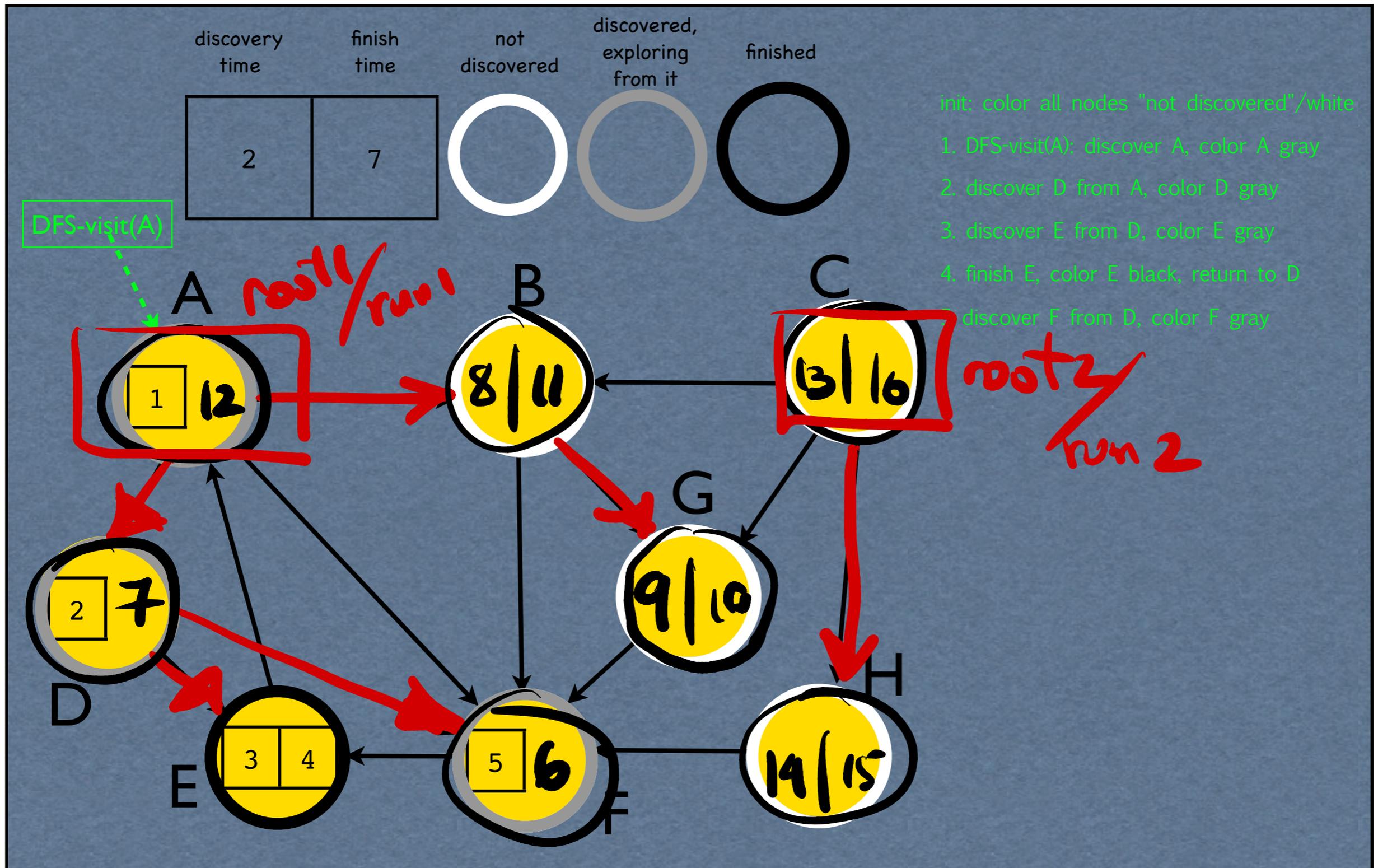
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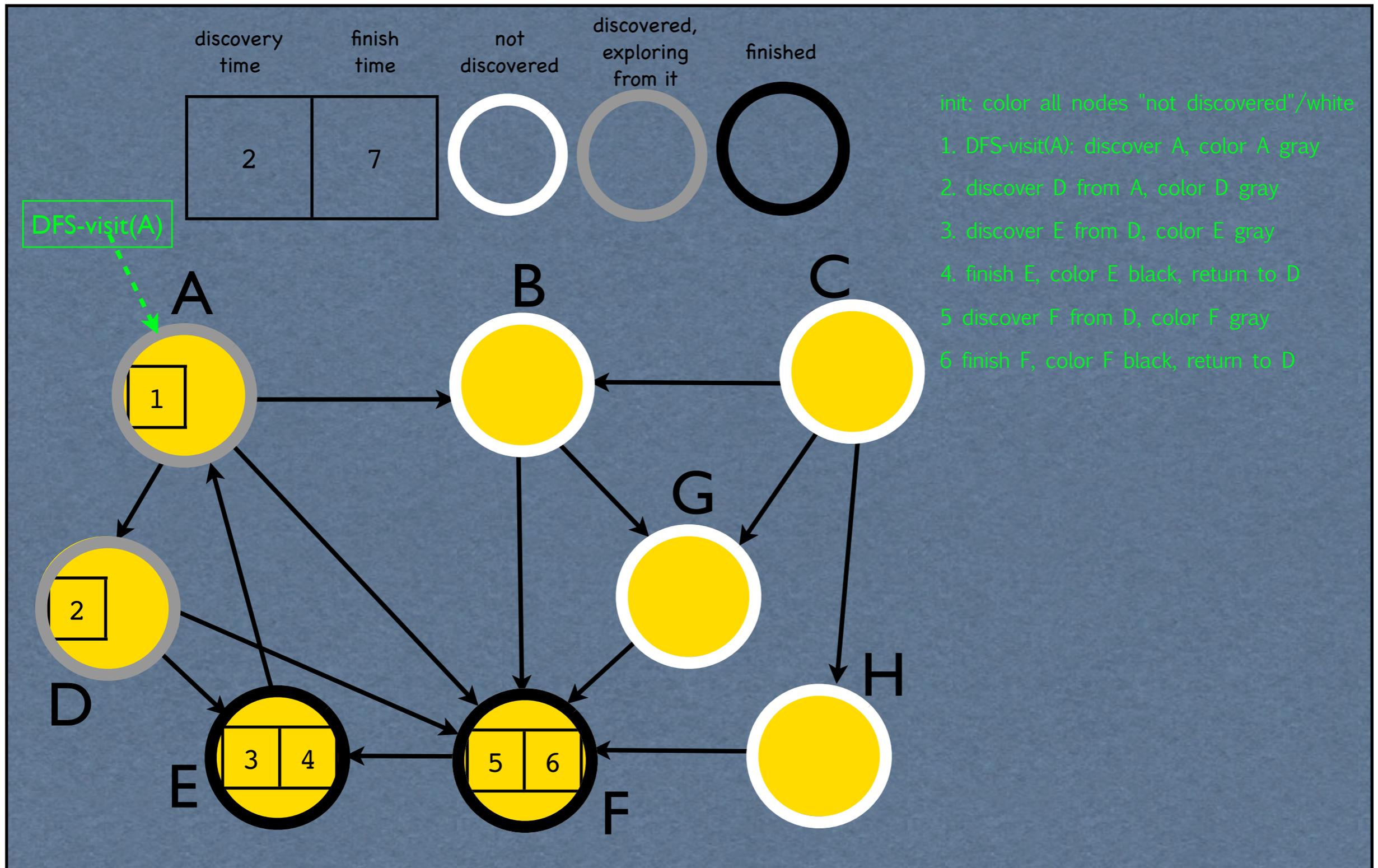
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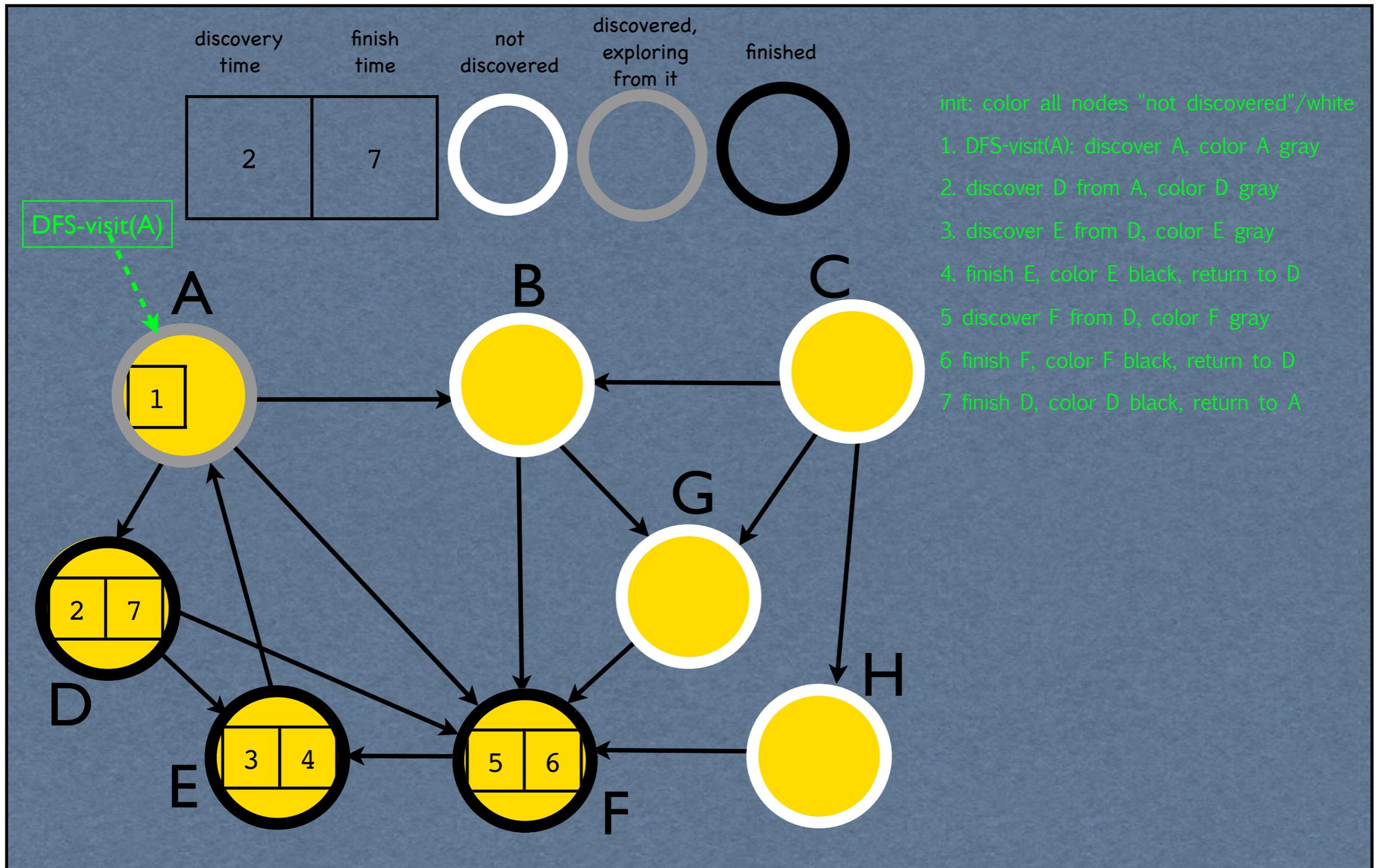
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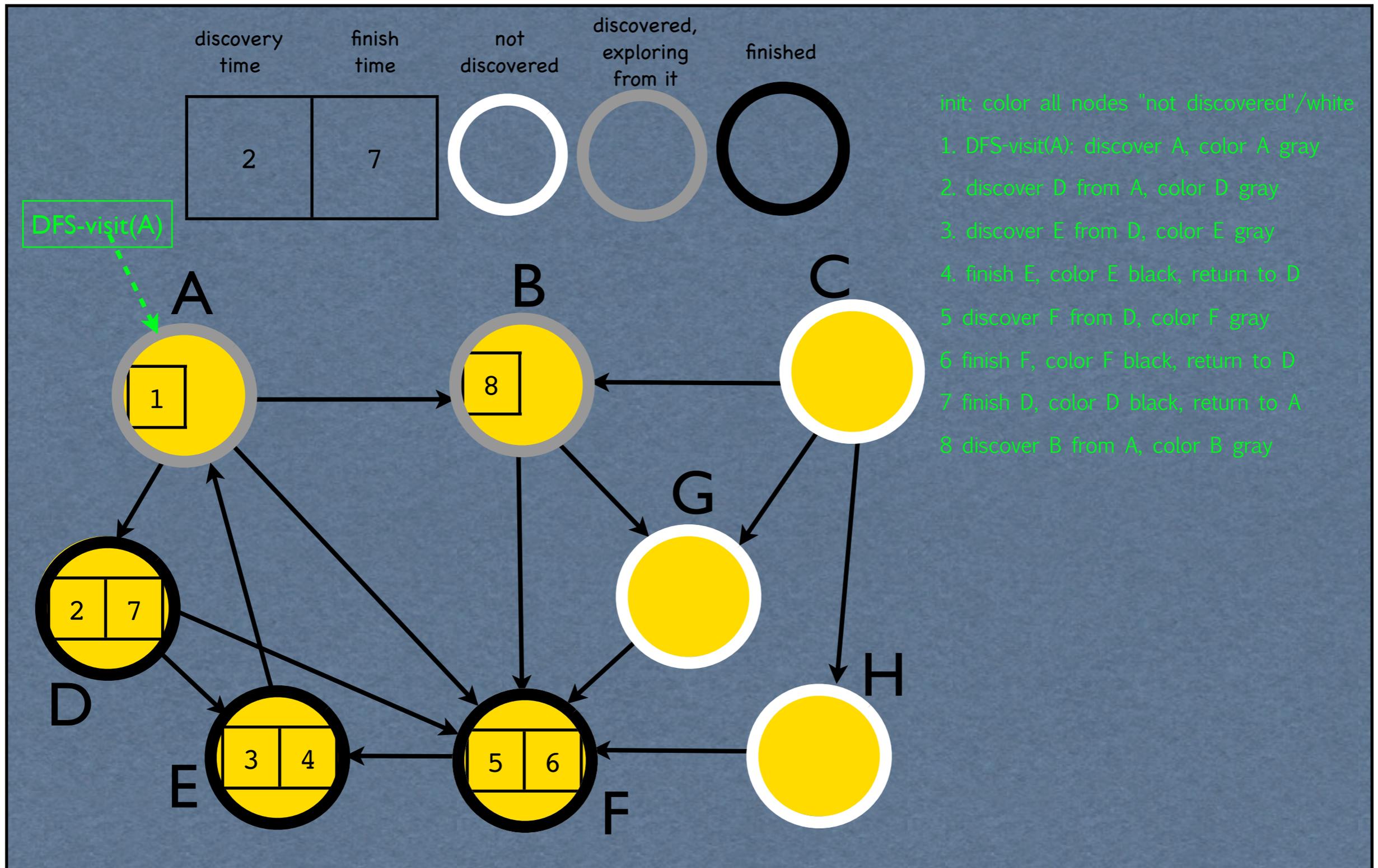
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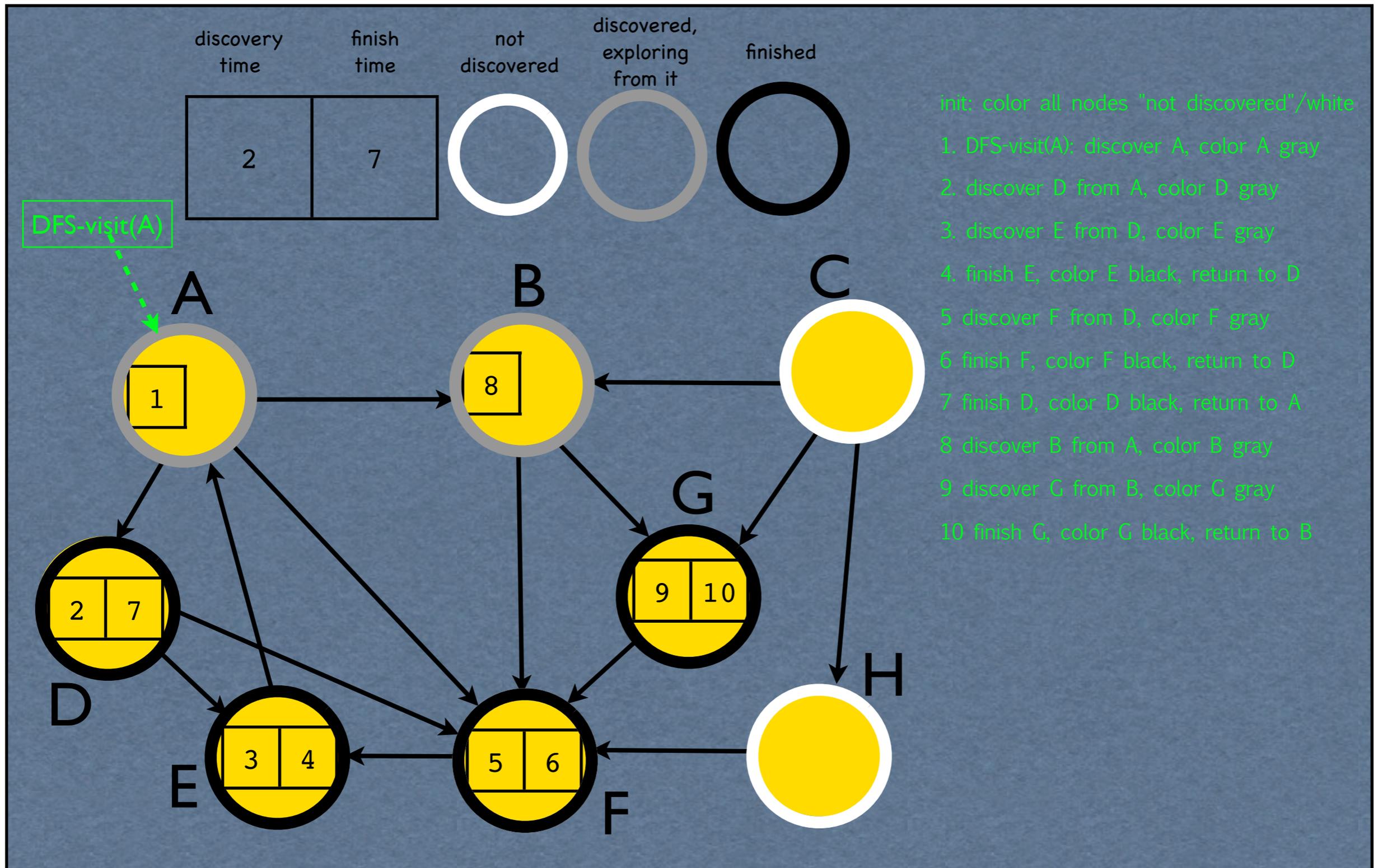


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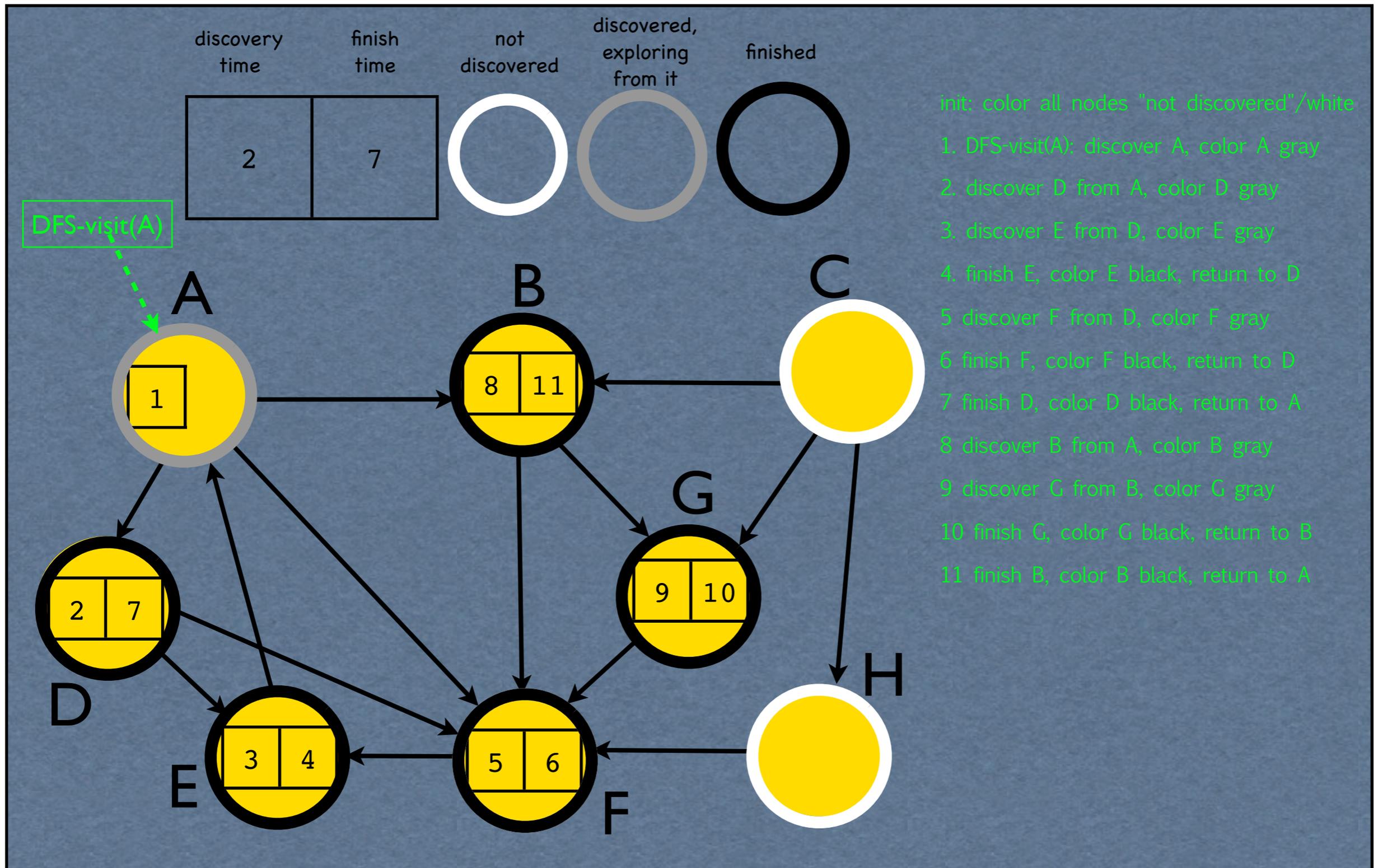




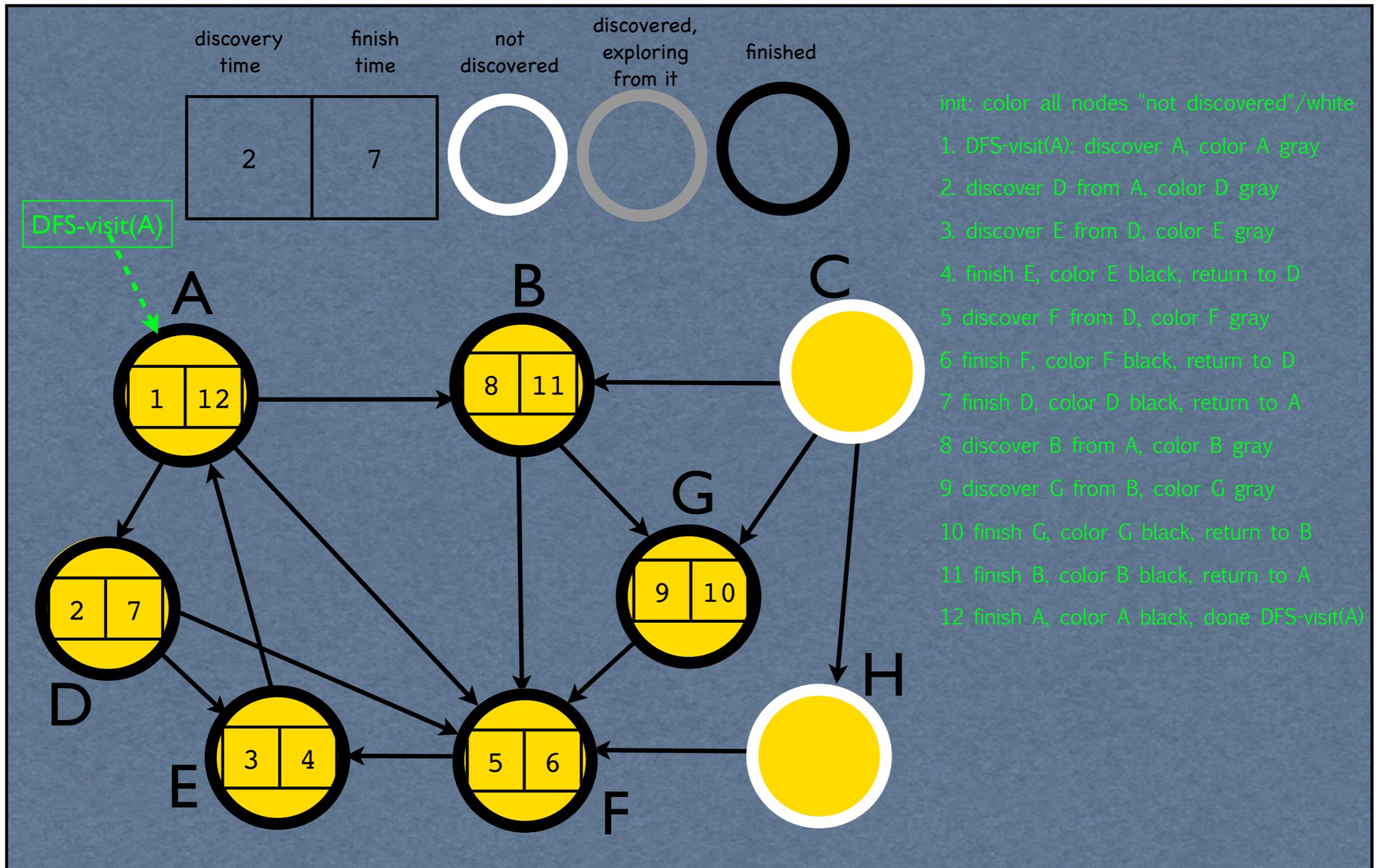
# DFS



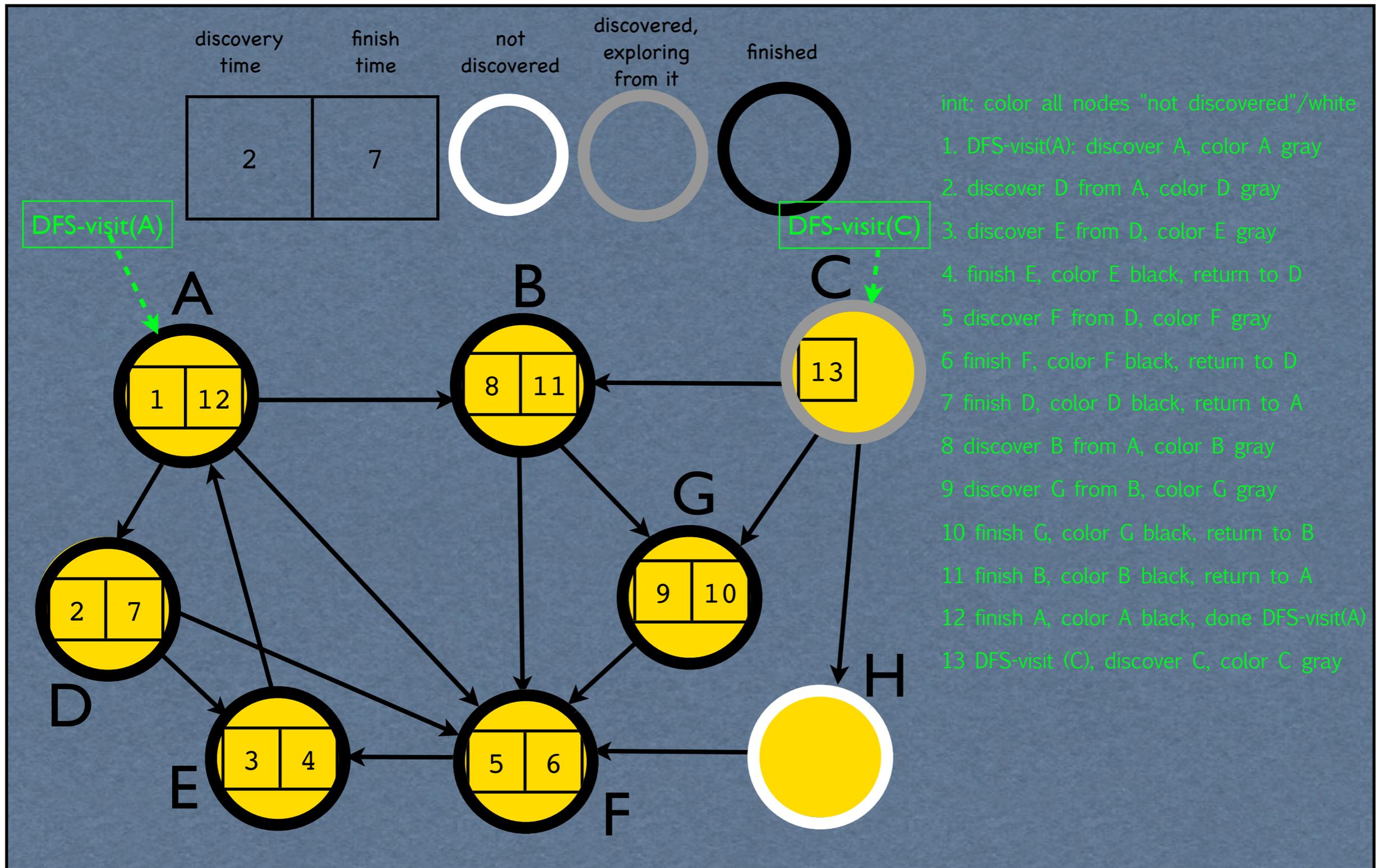
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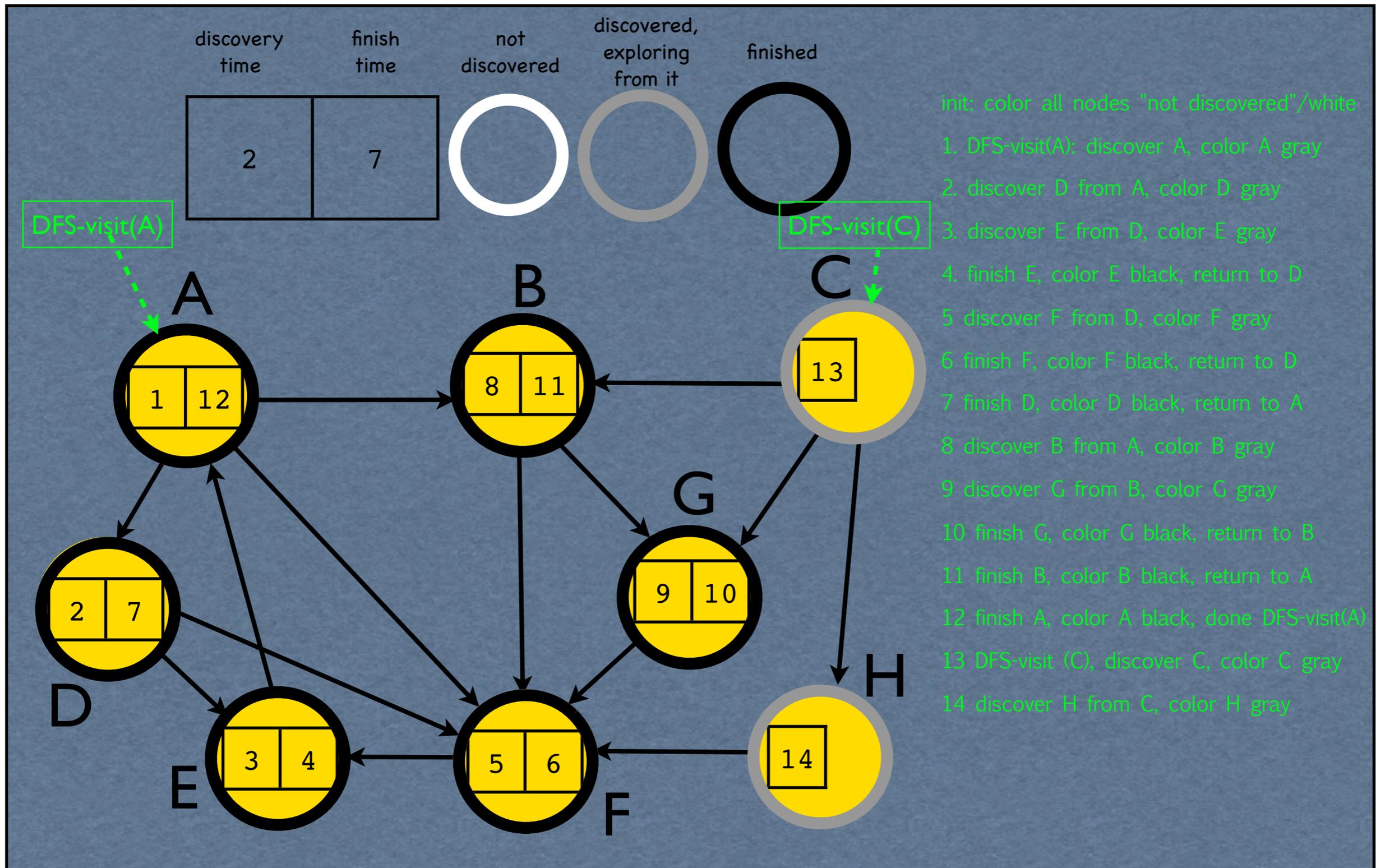
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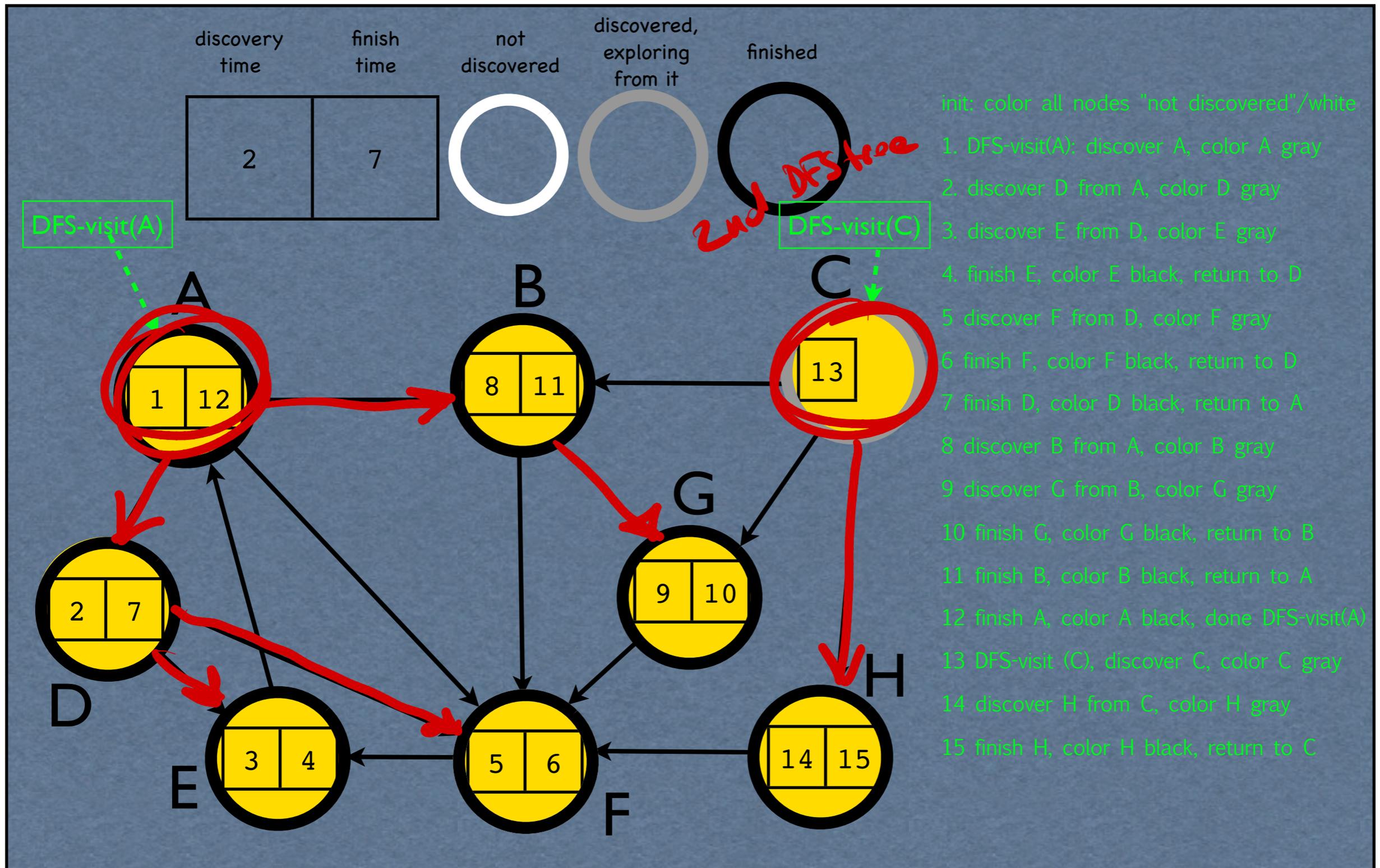
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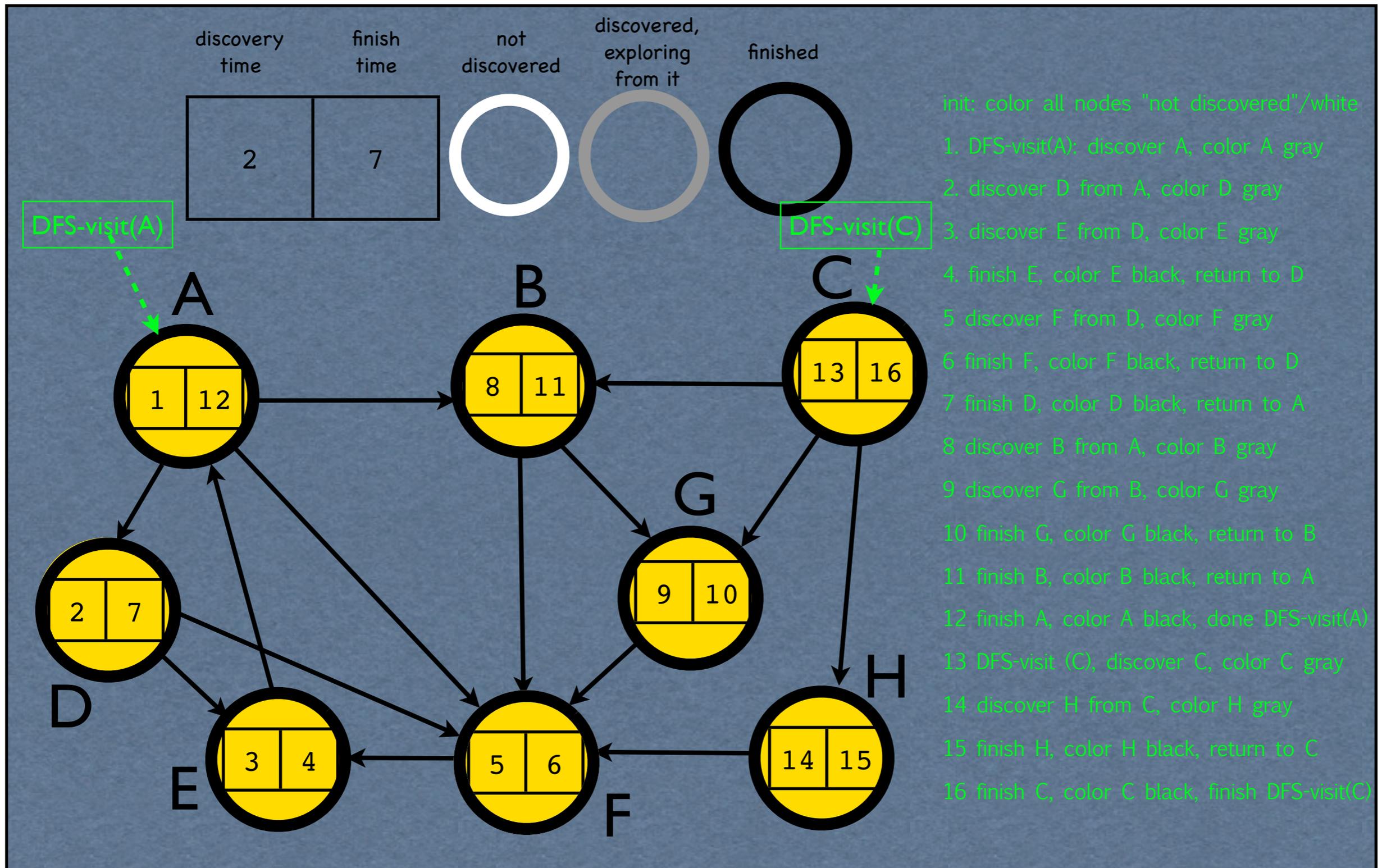
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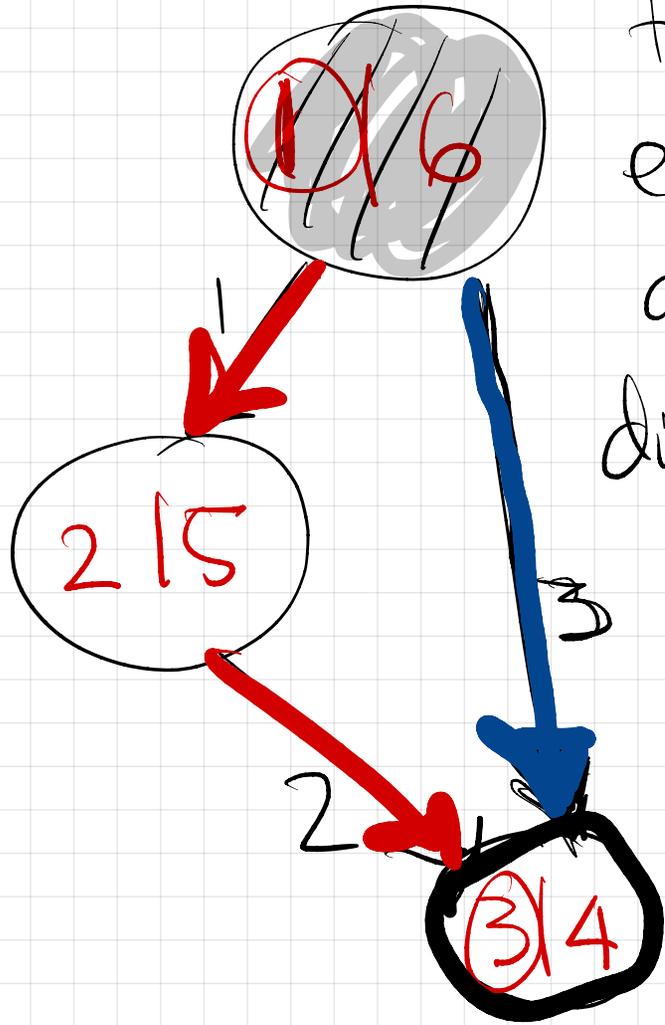


# DFS edge classification

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- **"tree" edge**: from vertices gray to white
  - a tree edge advances the graph exploration/traversal
- **"back" edge**: from vertices **gray to gray**
  - a back edge points to a cycle within the current exploration nodes
- **"forward" edge**: from vertices a(gray) to b(black), if a discovered first
  - $discovery\_time[a] < discovery\_time[b]$
  - points to a different part of the tree, already explored from a
- **"cross" edge**: from vertices a(gray) to b(black), if b discovered first
  - $discovery\_time[a] > discovery\_time[b]$
  - points to a different part of the tree, explored before discovering a

# Forward edge



time 6:

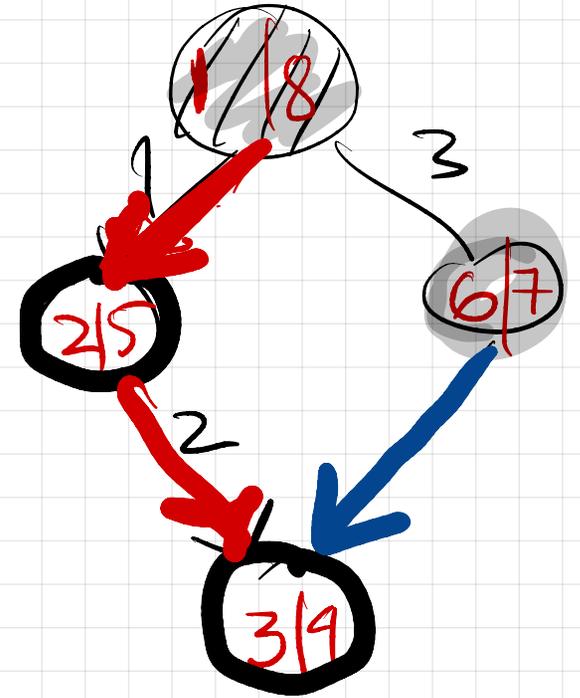
edge 3

gray  $\rightarrow$  black

$disc(\text{gray}) < disc(\text{black})$   
 1                      3

gray = ancestor (black)  
 in DFS tree

# Cross



gray  $\rightarrow$  black  
 $disc(\text{gray}) > disc(\text{black})$   
 6                      3

gray = ancestor (black)  
 (6|7)                      (3|4)

Run Time

BFS

$O(E)$

for und  
 $O(E+V)$

DFS

$O(E)$

$O(E+V)$

"Linear in edges"

DFS

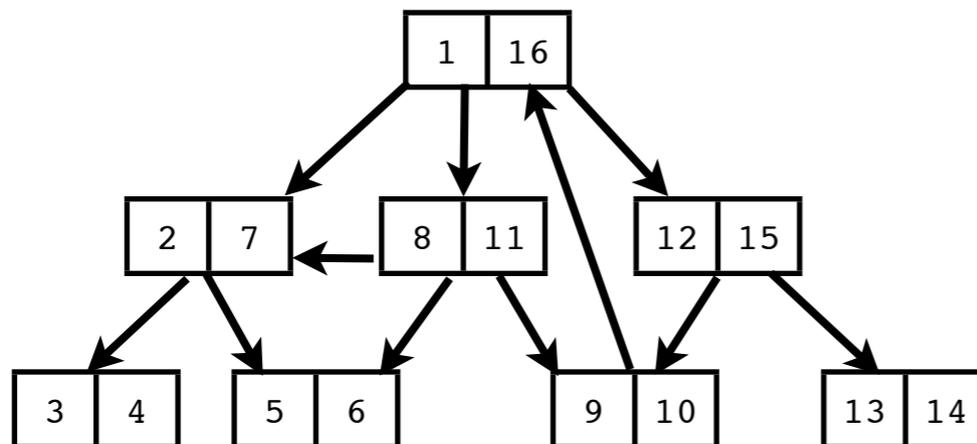
-rec

non rec, use stack

# Checkpoint

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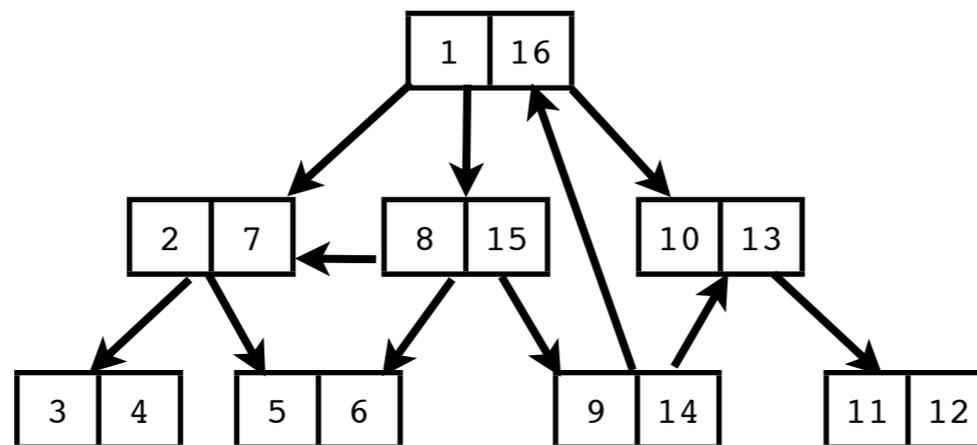
- on the animated example, label each edge as "tree", "back", "cross", or "forward"
- do the same on the following example (DFS discovery and finish times marked for each node)



# Checkpoint

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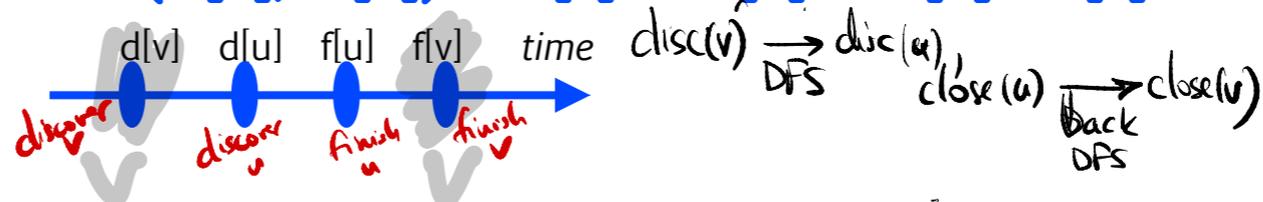
- almost same example, with a small modification: one edge was reversed



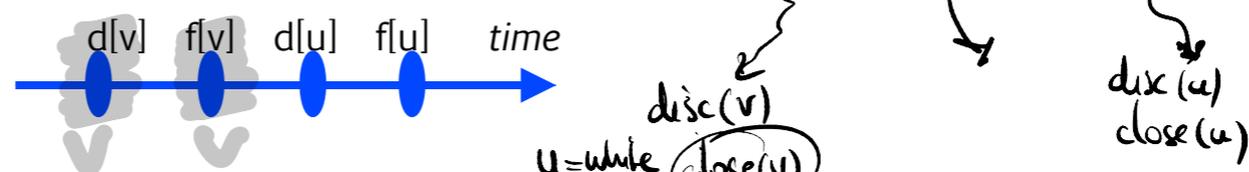
# DFS observations

- Running time  $O(V+E)$ , same as BFS
- vertex  $v$  is gray between times  $discover[v]$  and  $finish[v]$
- gray time intervals ( $discover[v]$ ,  $finish[v]$ ) are inclusive of each other

–  $(d[v], f[v])$  can include  $(d[u], f[u])$  :  $d[v] < d[u] < f[u] < f[v]$



–  $(d[v], f[v])$  can separate from  $(d[u], f[u])$  :  $d[v] < f[v] < d[u] < f[u]$



–  $(d[v], f[v])$  cannot intersect  $(d[u], f[u])$  :  $d[v] < d[u] < f[v] < f[u]$

IMPOSSIBLE



- graph  $G=(V,E)$  is acyclic (does not have cycles) if DFS does not find any "back" edge

# Undirected graphs cycles

---

- graph  $G=(V,E)$  is acyclic (does not have cycles) if DFS does not find any "back" edge
- since  $G$  is undirected, no cycles implies  $|E| \leq |V| - 1$
- running DFS, if we find more than  $|V| - 1$  edges, there must be a cycle
- Undirected graphs: find-cycles algorithm takes  $O(V)$

# Directed graphs cycles

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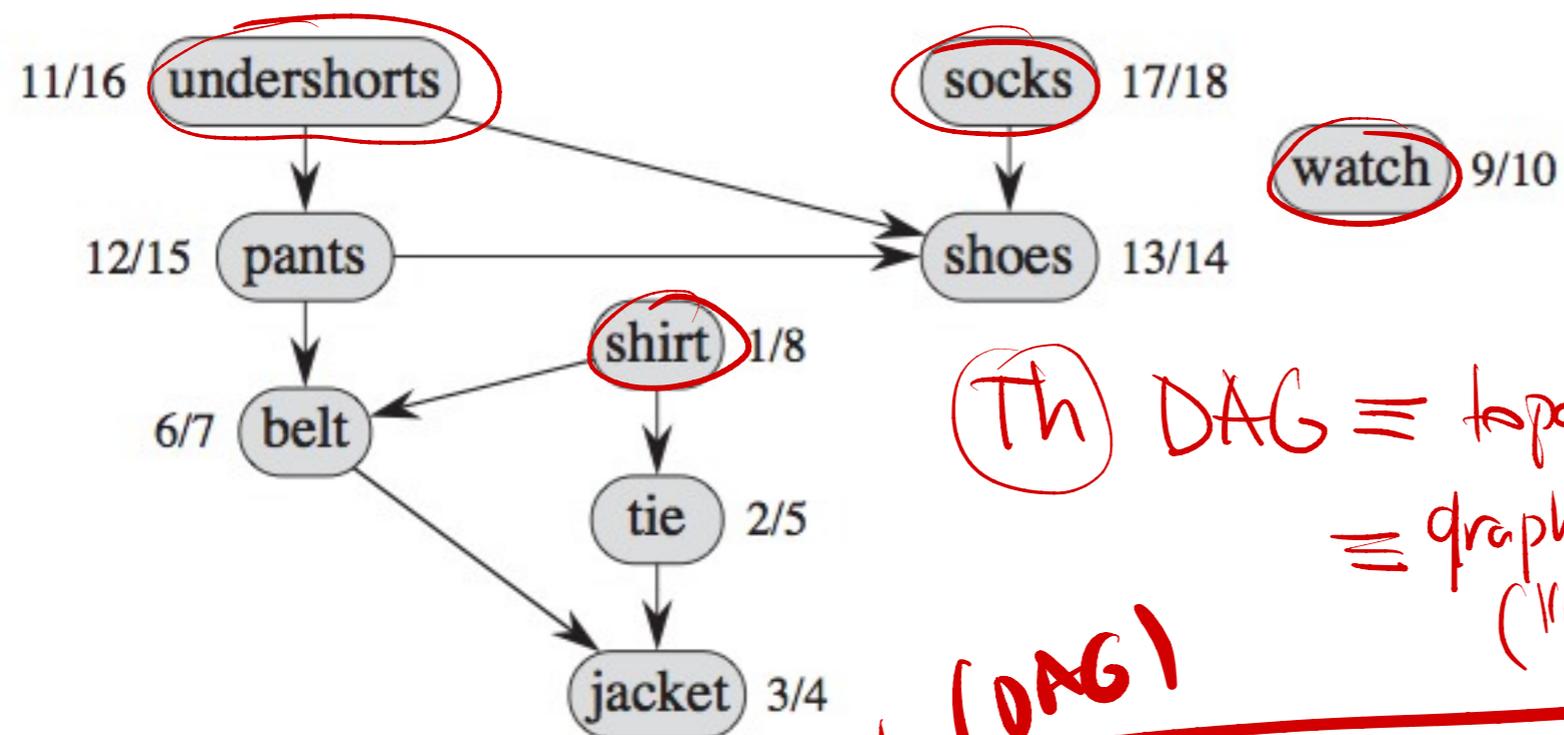
- graph  $G=(V,E)$  is acyclic (does not have cycles) if DFS does not find any "back" edge
- for directed graphs, even without cycles they can have more edges,  $|E| > |V|-1$
- algorithm to determine cycles: run DFS, look for back edges -  $O(V+E)$  time
- DAG = directed acyclic graph

DAG = directed acyclic

# Topological sort

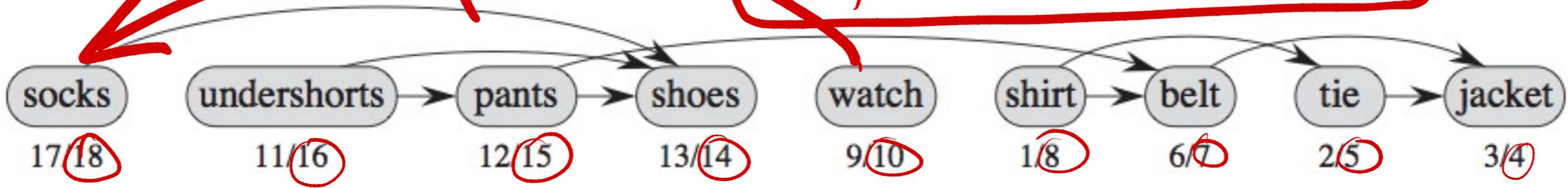
- DAG admits topological sort: all vertices "sorted" on a line, such that all edges point from left to right - no cycles - 2 graphs below are the same -
- to do this: algorithm: run DFS, time  $O(V+E)$ . Output vertices in reverse order given by finishing time

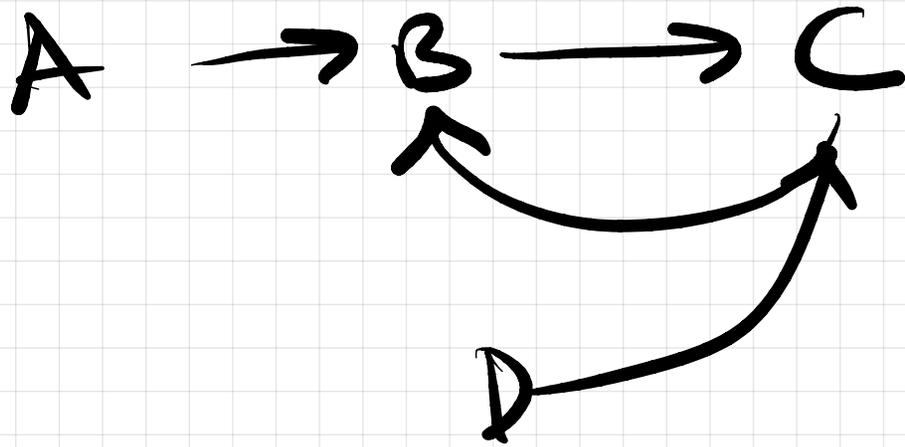
## Directed Acyclic Graphs



(Th) DAG  $\equiv$  topological sort  
 $\equiv$  graph sits on a line  
(“line graph”)

~~impossible (DAG)~~ edges left  $\rightarrow$  right <sup>an a (→) line.</sup>





not a DAG  
cycle B → C

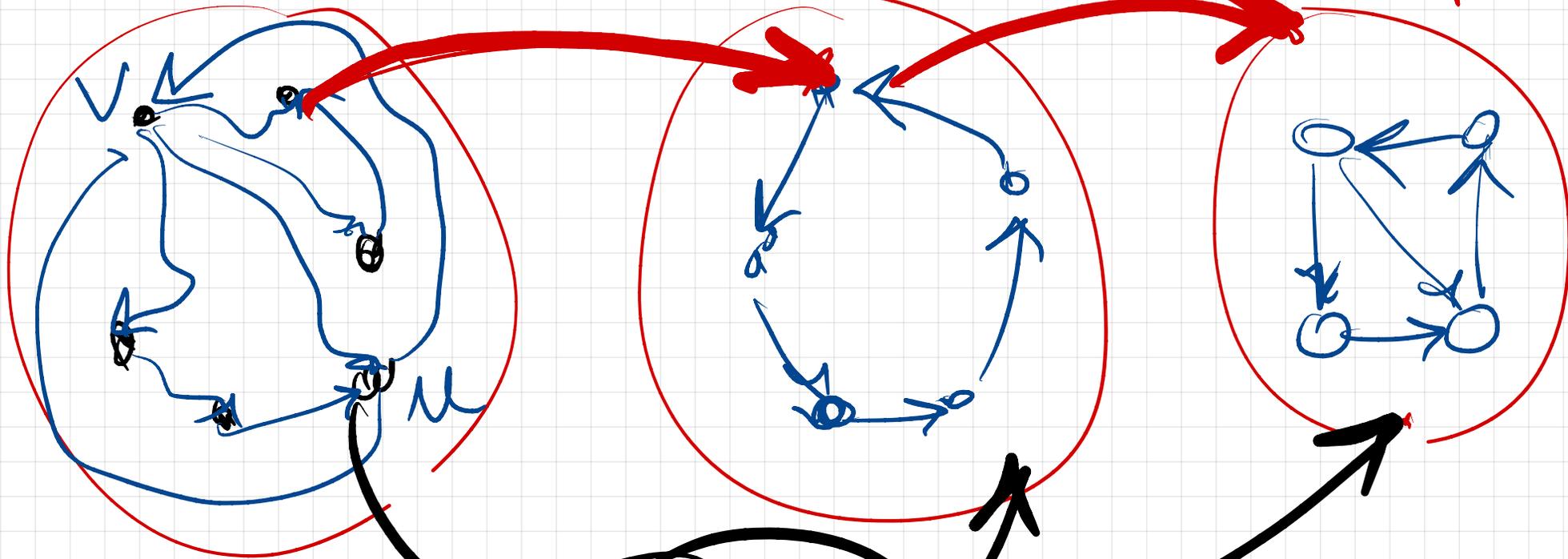
proof idea: - reverse-order by DFS }  $\Rightarrow$  cycle  
- edge  $R \rightarrow L$  } contradiction!

# Check Point

---

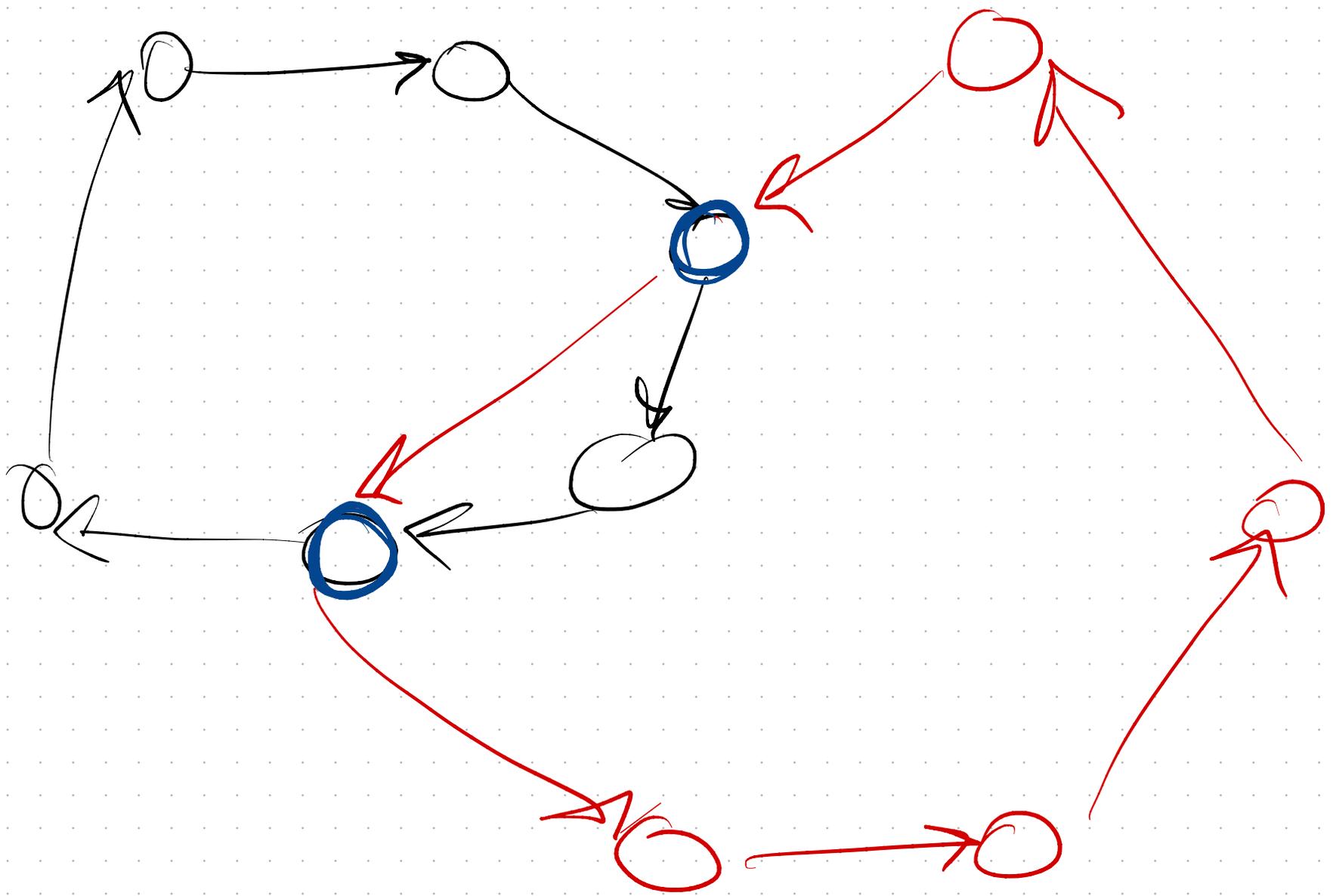
- how can we use DFS to determine if there is a path from  $u$  to  $v$  ?
- prove that by sorting vertices in the reverse order of finishing times, we obtained a topological sort
  - assuming no cycles
  - in other words, all edges point in the same direction

SCC : Strongly connected components  
comp1                      Comp2                      Comp3



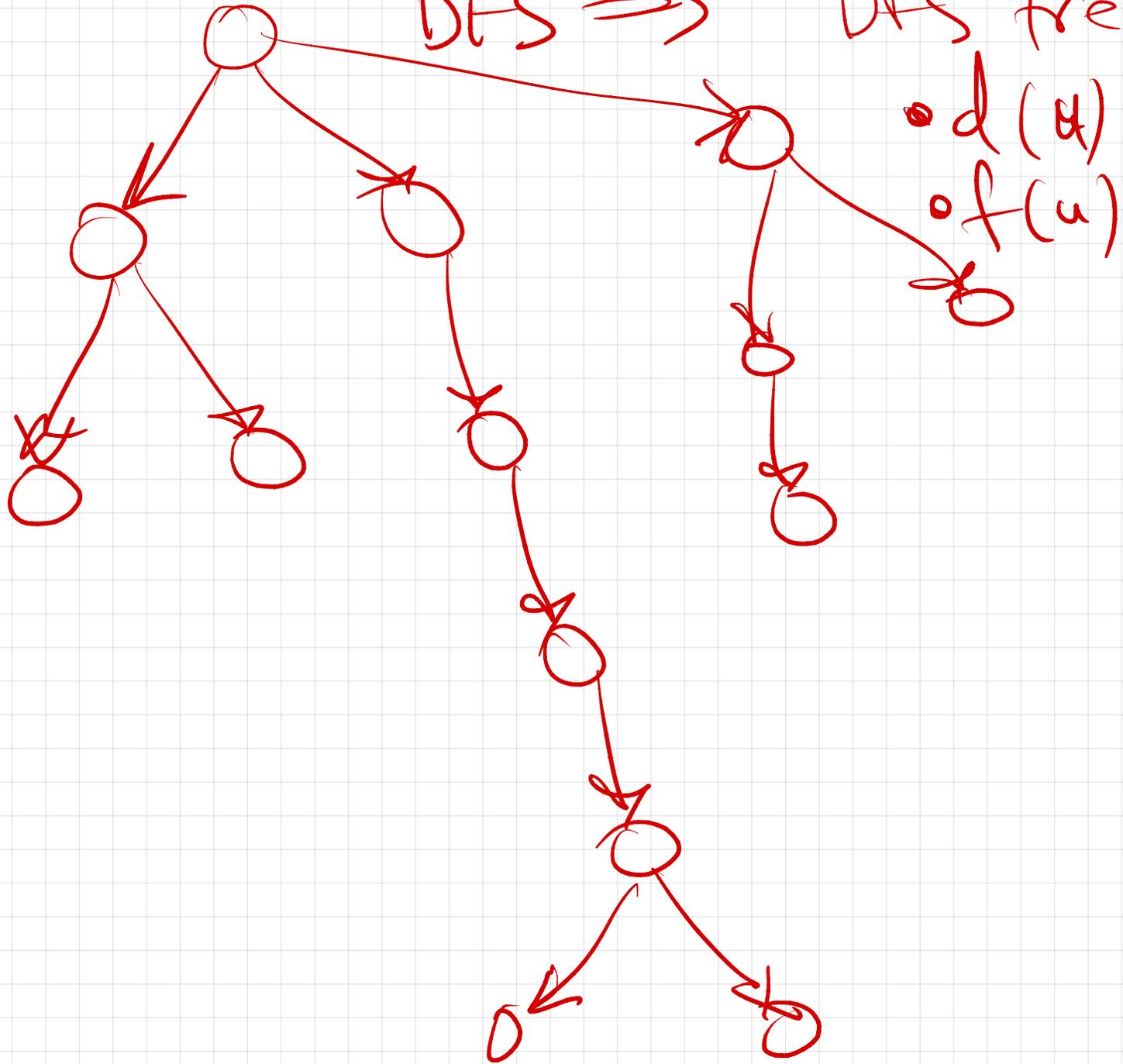
STRONG : inside ~~each~~ component,  
there is a path from any  $u$   $\rightarrow$  any  $v$

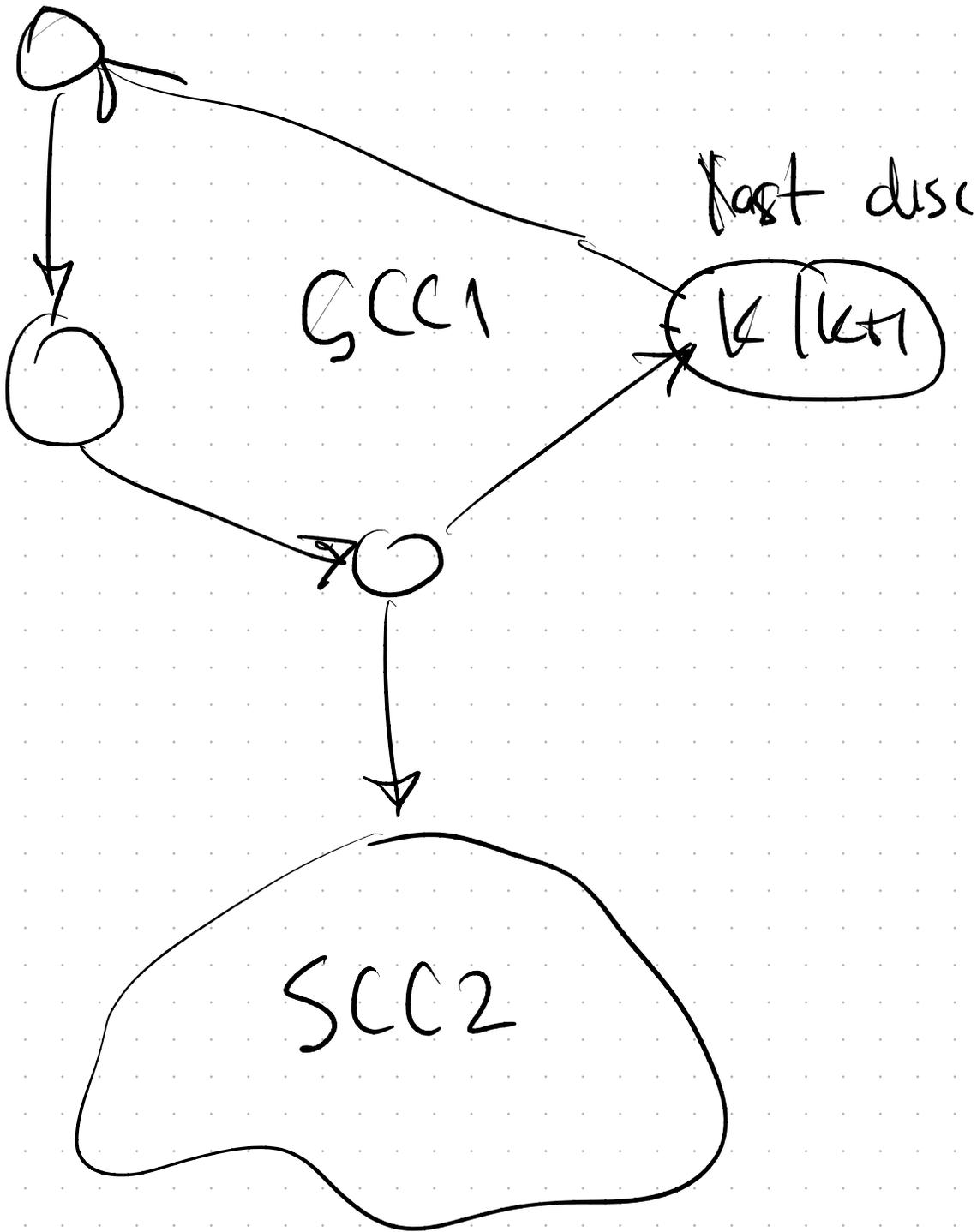
NO CYCLES between SCC comp



DFS  $\Rightarrow$

DFS tree (s)

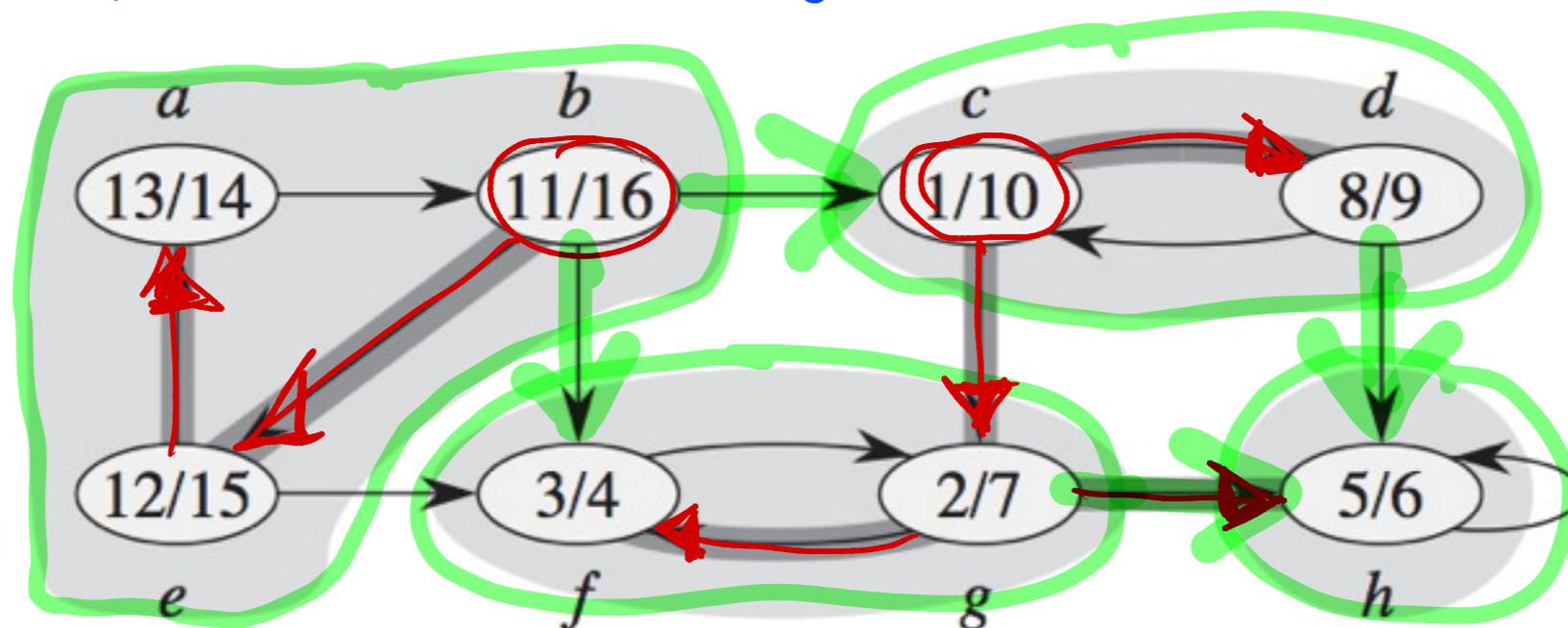
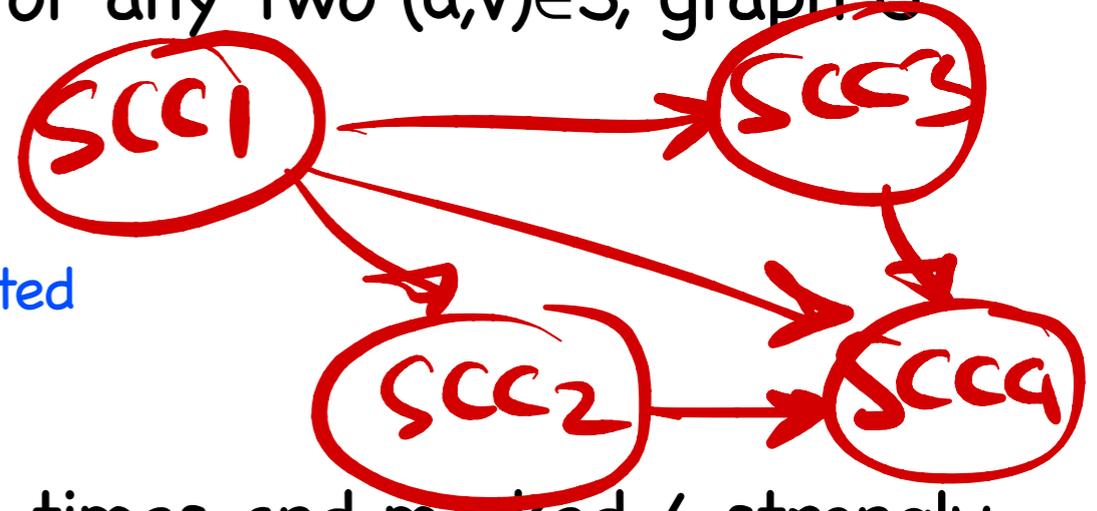




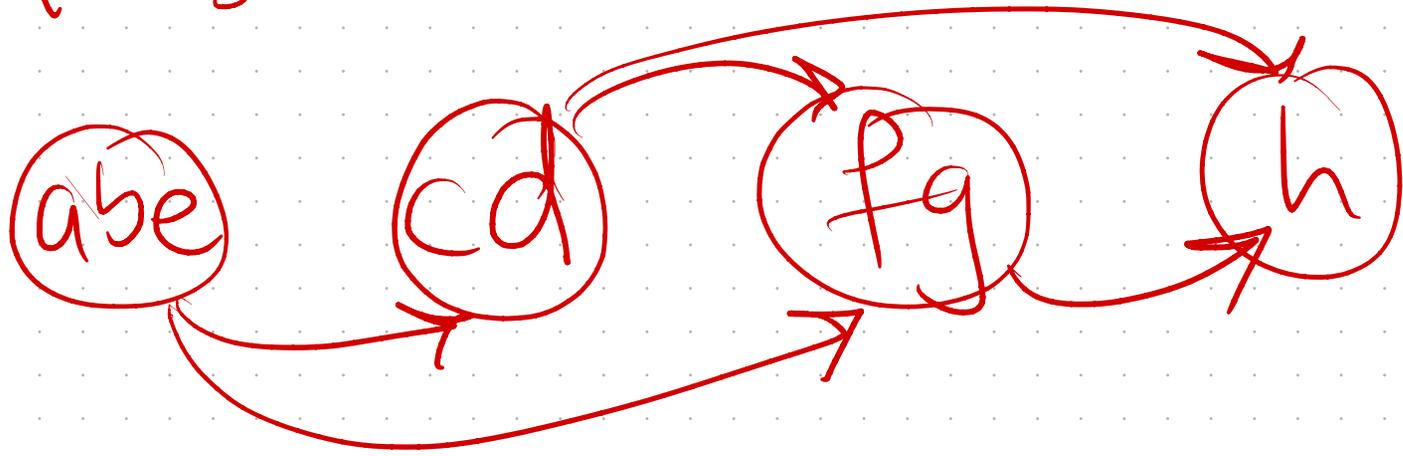
# SCC meta graph = DAG

## Strongly connected components

- SCC = a set of vertices  $S \subset V$ , such that for any two  $(u,v) \in S$ , graph  $G$  contains a path  $u \rightsquigarrow v$  and a path  $v \rightsquigarrow u$
- trivial for undirected graphs
  - all connected vertices are in fact strongly connected
- tricky for directed graphs
- graph below has the DFS discover/finish times and marked 4 strongly connected components; "tree" edges highlighted
- between two SCC,  $A$  and  $B$ , there cannot exist paths both ways ( $A \ni u \rightsquigarrow v \in B$  and  $B \ni v' \rightsquigarrow u' \in A$ )
  - paths both ways would make  $A$  and  $B$  a single SCC

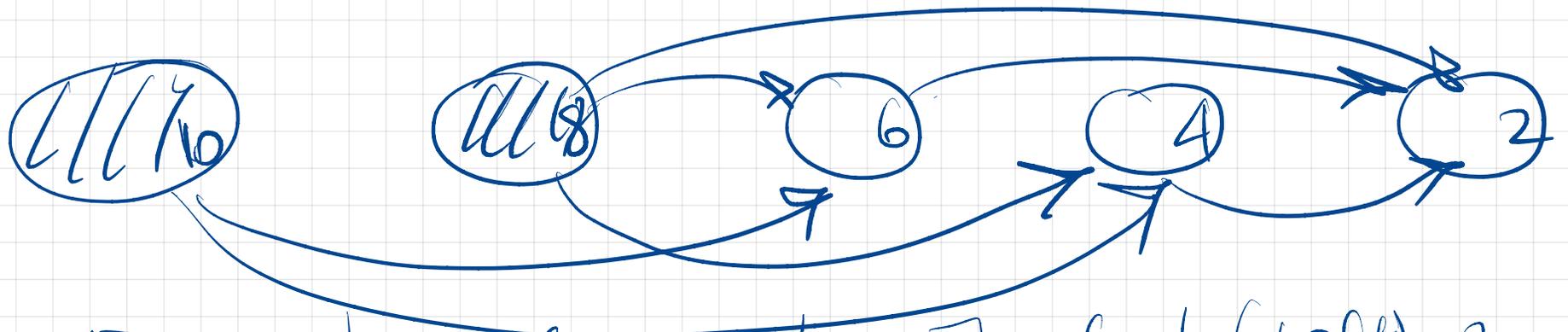


# topological sort (Meta-SCC graph)



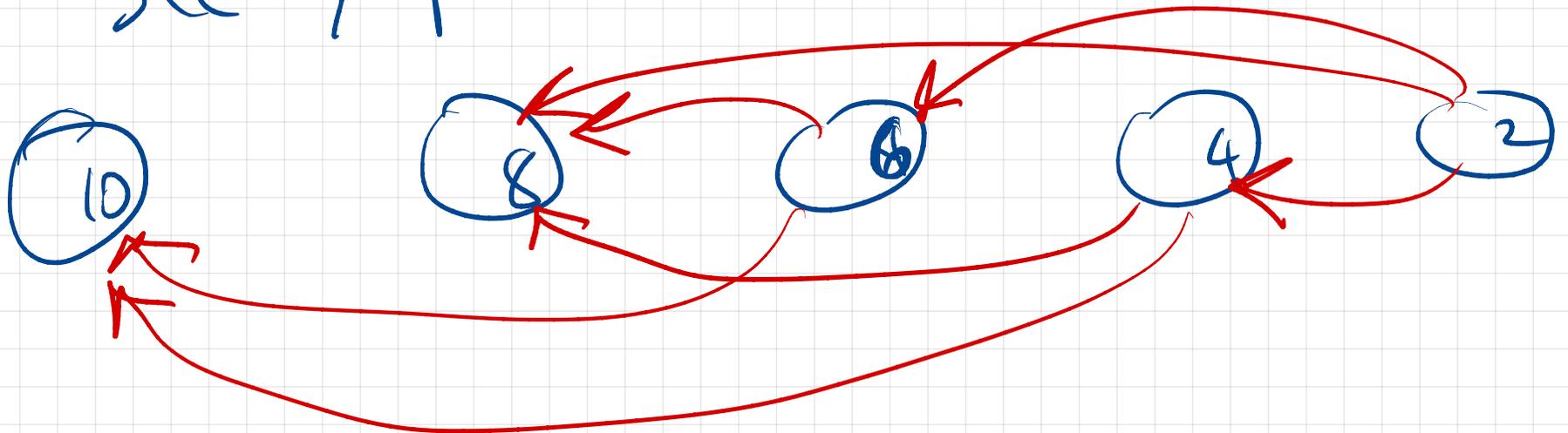
① left most **abe** SCC  $\equiv$  last finish time  
(no edges in) (16)

② **reverse** all edges in G  
-start 2<sup>nd</sup> phase traversal in **abe**  
→ **abe** becomes "most right"  
no edges out



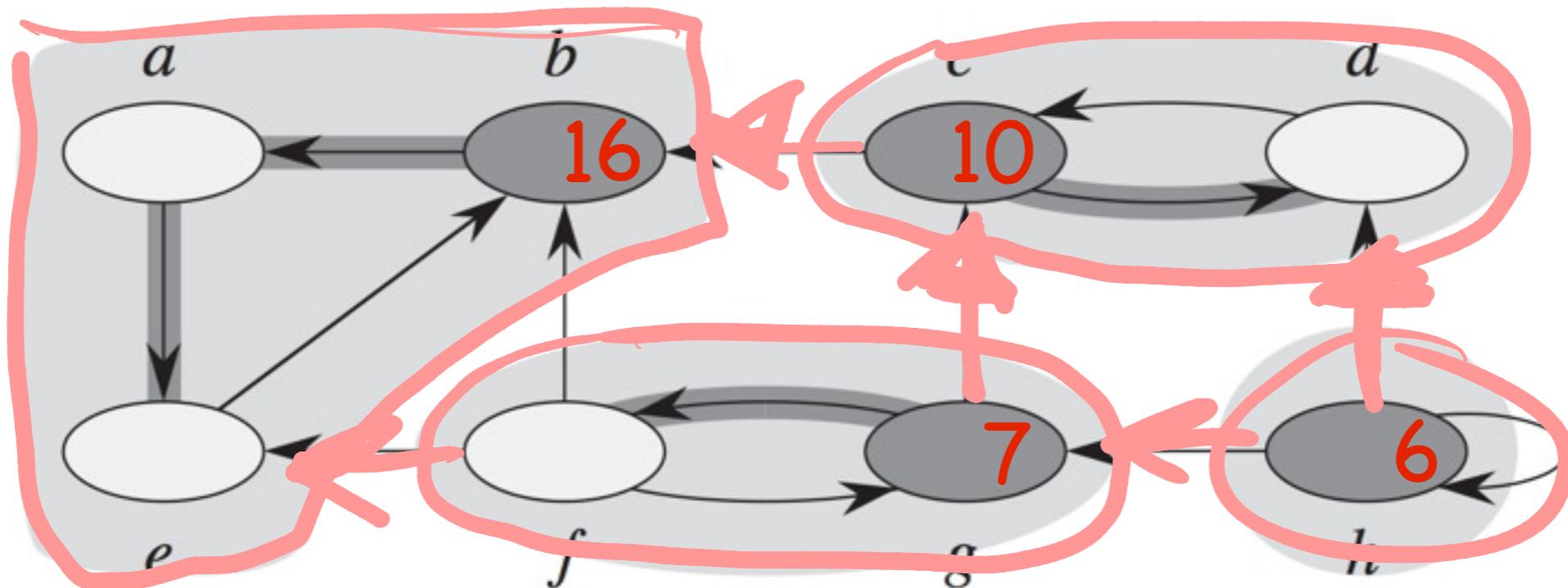
Example SCC-graph. The first (Left) 2 comp have no incoming edges  $\Rightarrow$  DFS (first stage) last finish time is in one of them.

SCC-graph with reversed edges



# Strongly connected components

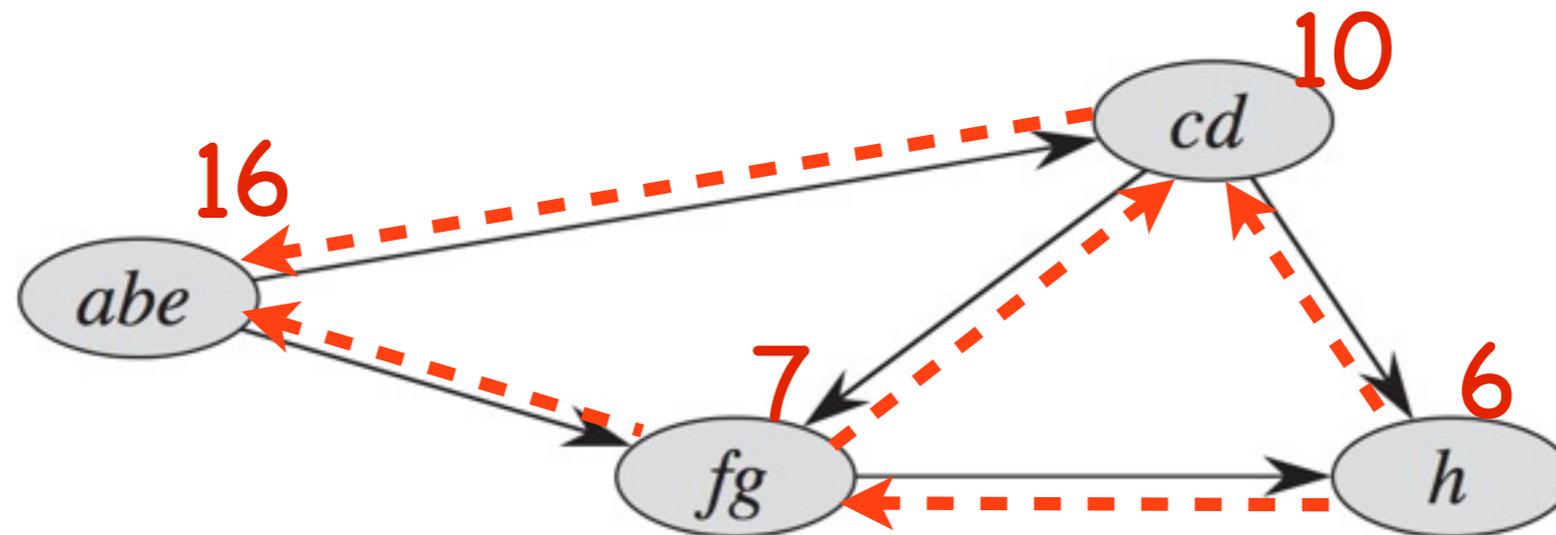
- run 1st DFS on  $G$  to get finishing times  $f[u]$
- run 2nd DFS on  $G$ -reversed (all edges reversed -see picture), each DFS-visit in reverse order of  $f[u]$ 
  - finishing times marked in red for the DFS-visit root vertices
- output each tree (vertices reached) obtained by 2nd DFS as an SCC



# Strongly connected components

---

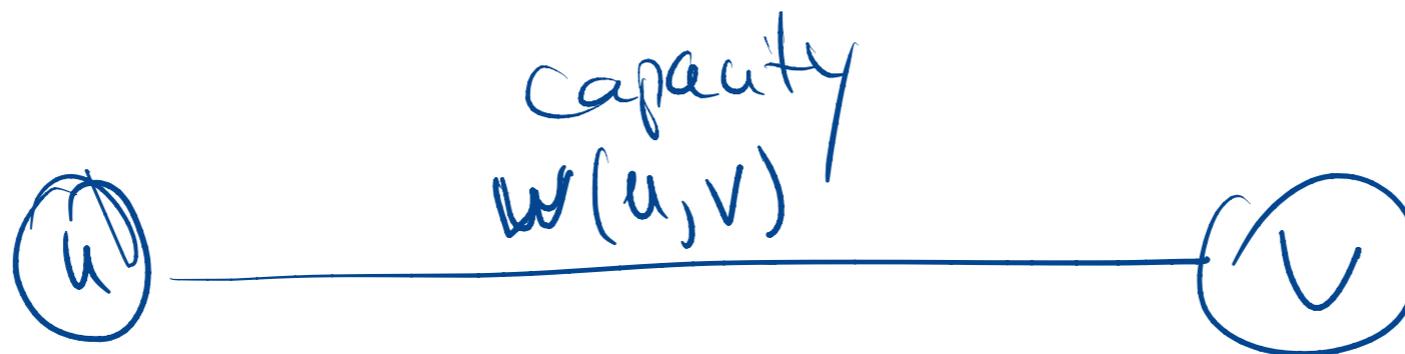
- why 2nd DFS produces precisely the SCC -s?
- SCC-graph of G: collapse all SCC into one SCC-vertex, keep edges between the SCC-vertices
- - SCC graph is a DAG;
  - contradiction argument: a cycle on the SCC-graph would immediately collapse the cycle's SCC-s into one SCC
- reversed edges (shown in red); reversed-SCC-graph also a DAG
- second DFS runs on reversed-edges (red); once it starts at a high-finish-time (like 16) it can only go through vertices in the same SCC (like abe)



# Minimum Spanning Trees

## Lesson 2

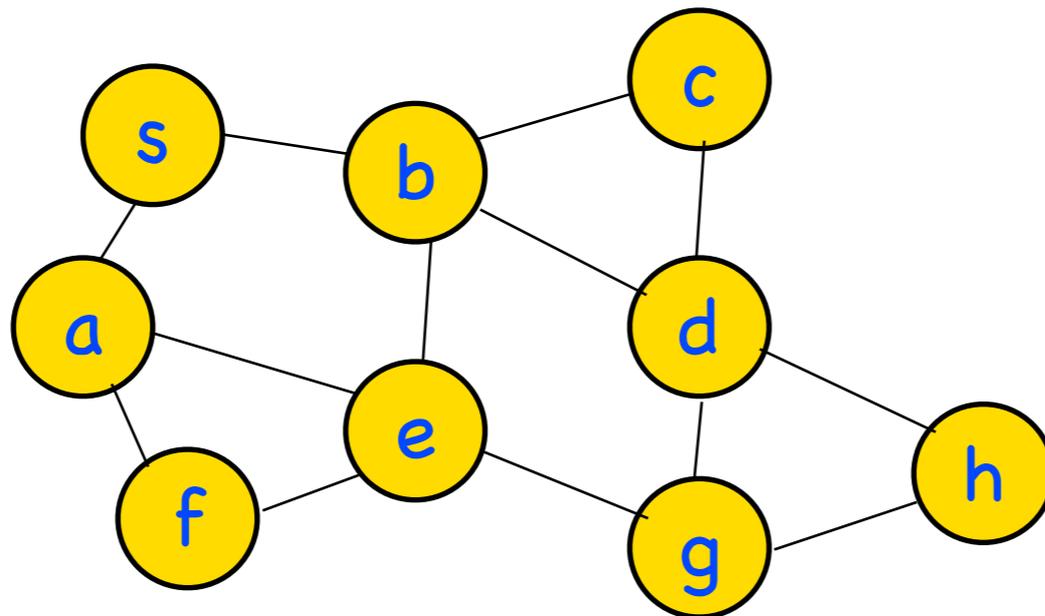
undirected  
"pipes"



# Spanning Trees

---

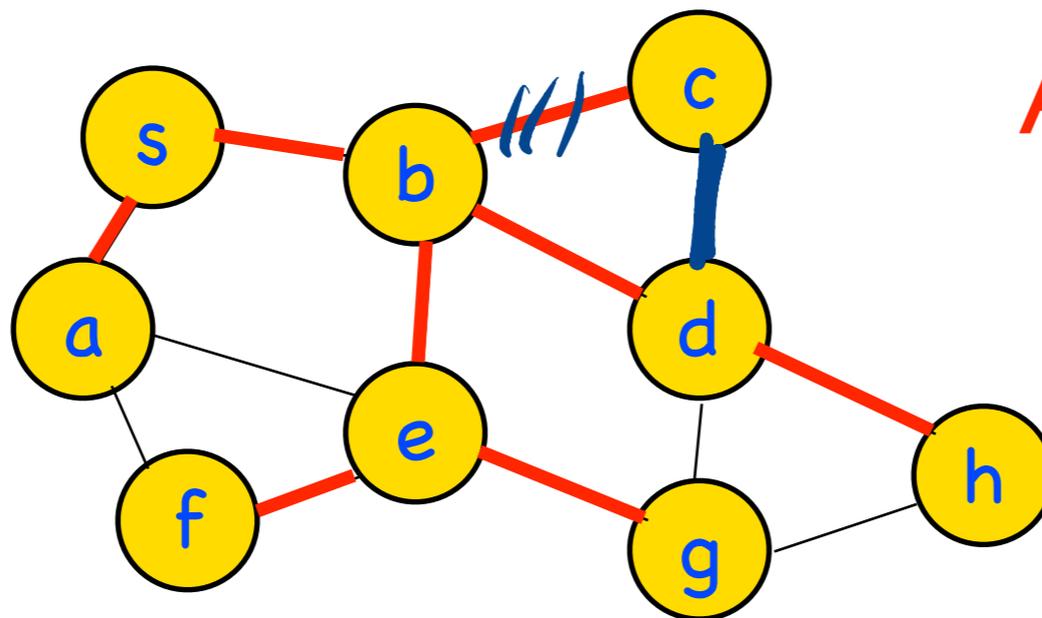
- context : undirected graphs
- a set of edges  $A$  that “span” or “touch” all vertices, and forms no cycles
  - necessary this set of edges  $A$  has size =  $|V|-1$
- spanning tree: the tree formed by the set of spanning edges together with vertex set  $T = (V,F)$



# Spanning Trees

---

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- a set of edges  $A$  that "span" or "touch" all vertices, and forms no cycles
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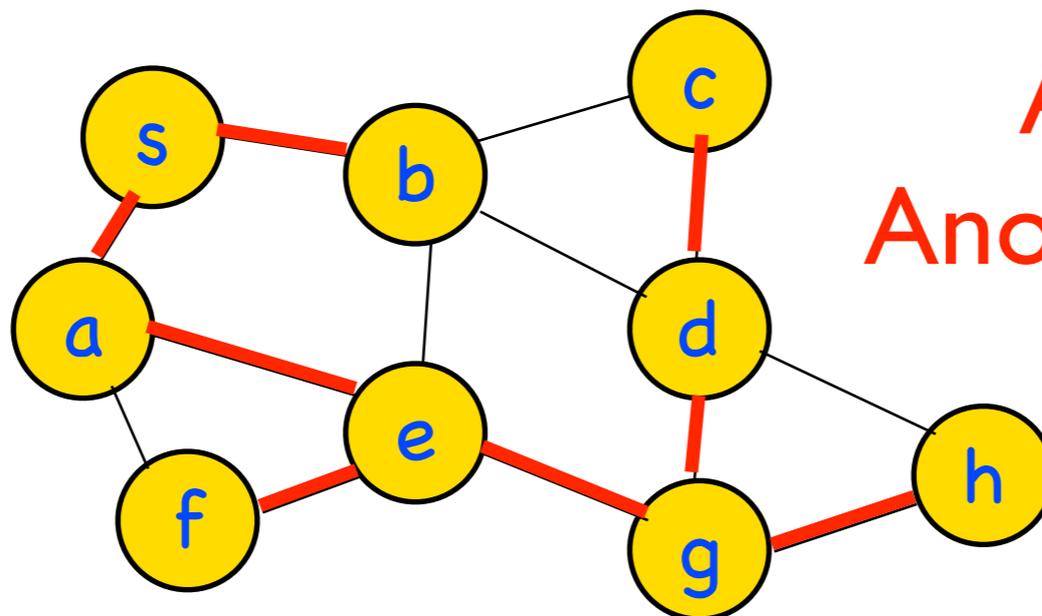


A spanning tree

# Spanning Trees

---

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- a set of edges  $A$  that “span” or “touch” all vertices, and forms no cycles
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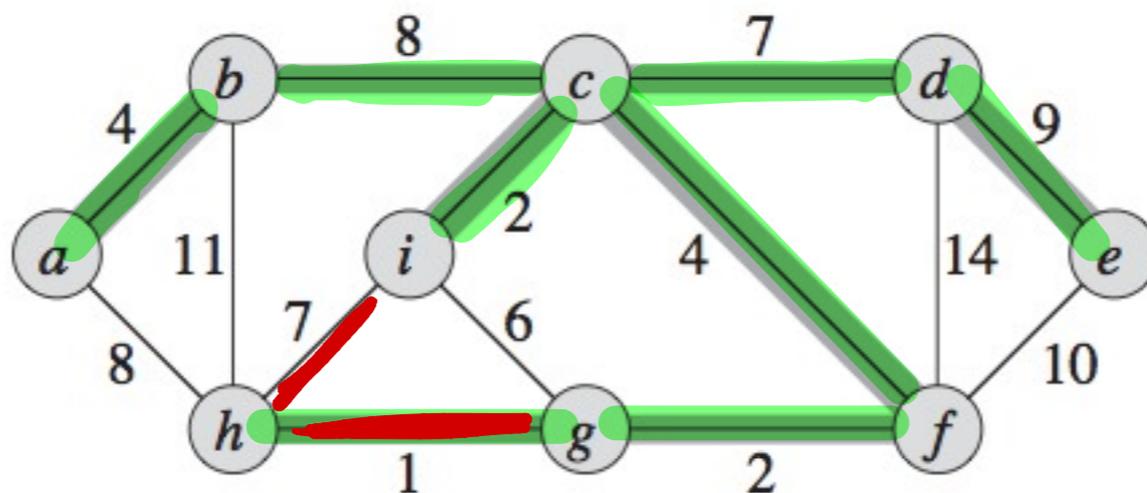


A spanning tree  
Another spanning tree

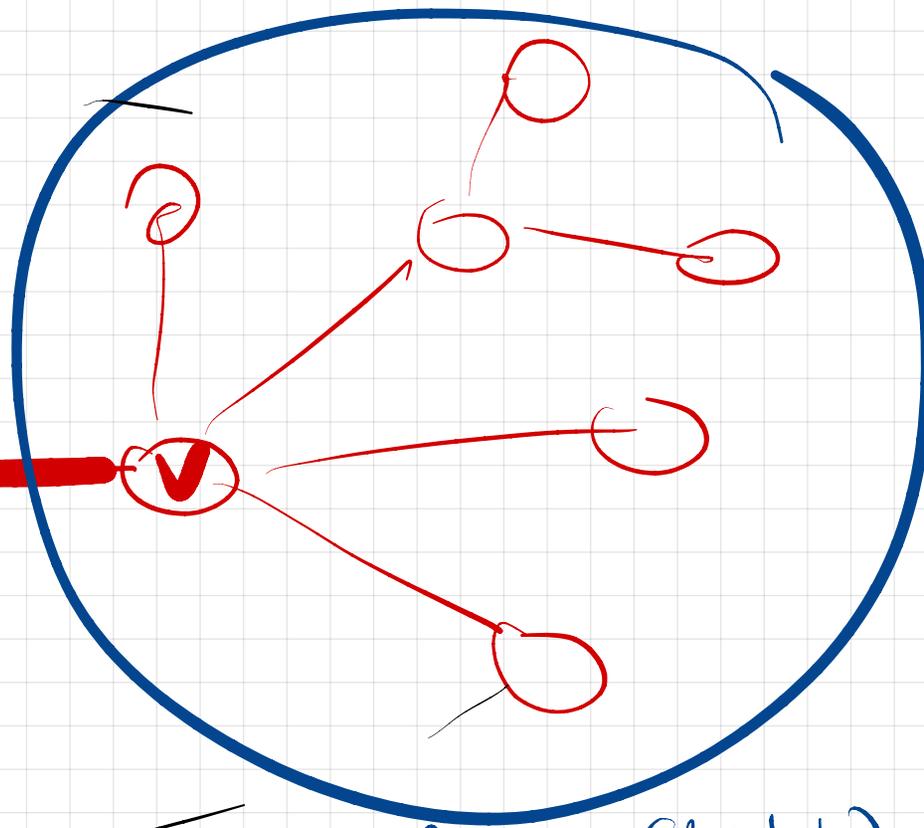
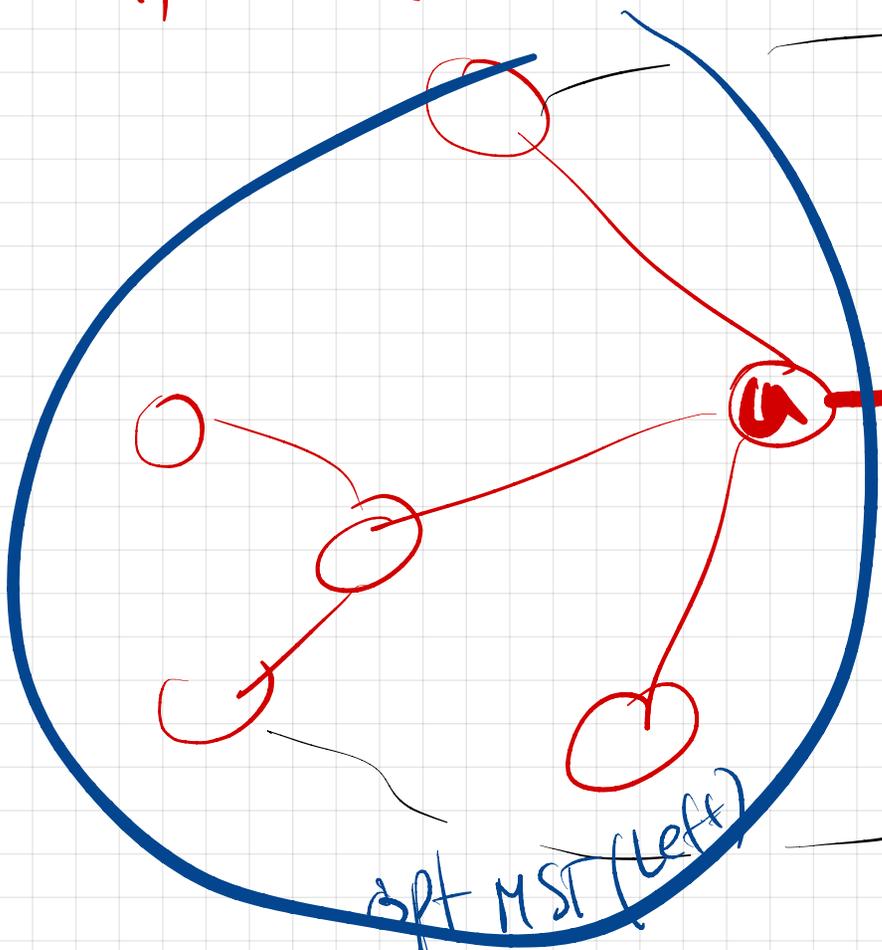
# Minimum Spanning Tree (MST)

---

- context : undirected graph, edges have weights
  - edge  $(u,v) \in E$  has weight  $w(u,v)$
- MST is a spanning tree of minimum total weight (of its edges)
  - must span all vertices
  - exactly  $|V|-1$  edges
  - sum of edges weight be minimum among spanning trees



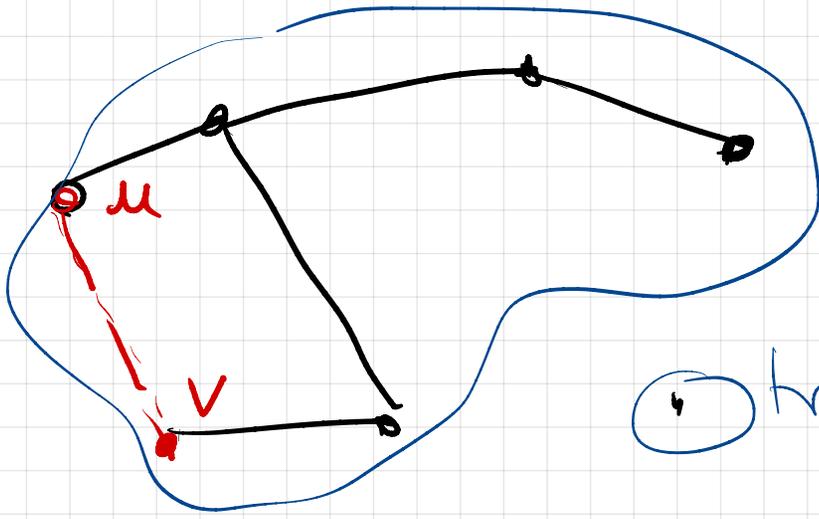
# 1) charact OPT SOL



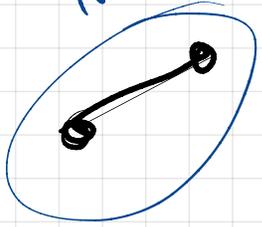
opt MST (left)

opt MST (right)

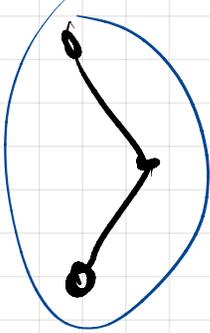
tree 3



tree



tree 2



tree 4

tree 5

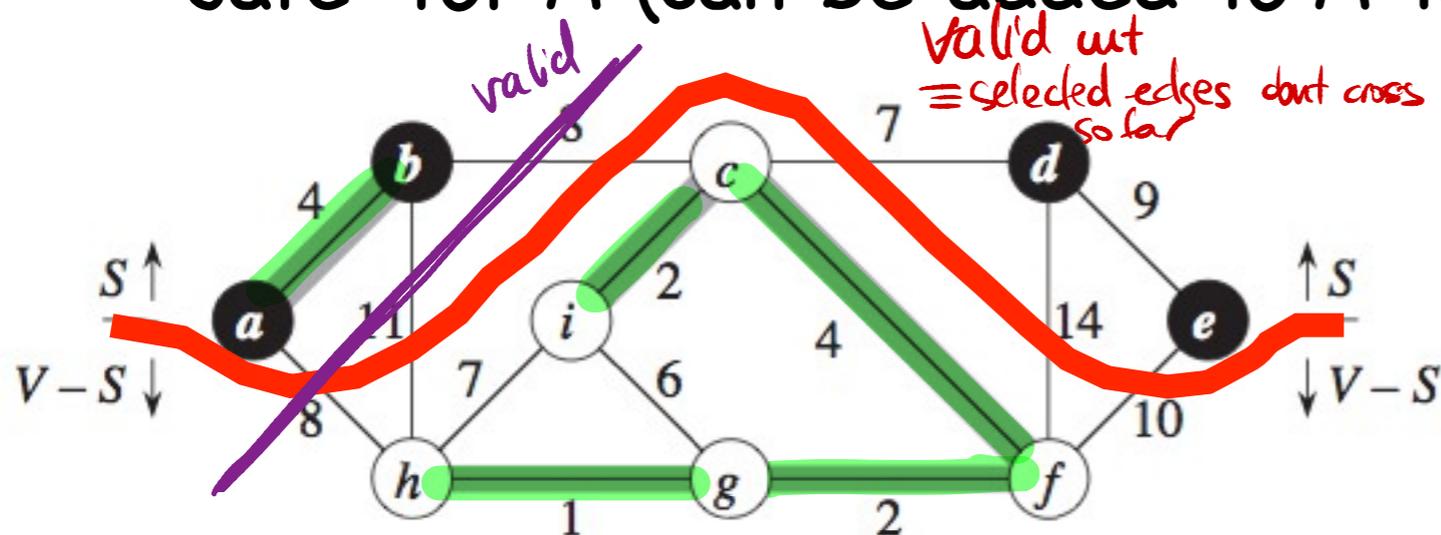
# Growing Minimum Spanning Trees

---

- “safe edge”  $(u,v)$  for a given set of edges  $A$ : there is a MST that uses  $A$  and  $(u,v)$ 
  - that MST may not be unique
- GENERIC-MST  $(G)$
- $A$  = set of tree edges, initially empty
- while  $A$  does not form a spanning tree // meaning while  $|A| < |V|-1$ 
  - find edge  $(u,v)$  that is safe for  $A$
  - add  $(u,v)$  to  $A$
- end while
- how to find a safe edge to a given set of edges  $A$ ?
  - Prim algorithm
  - Kruskal algorithm

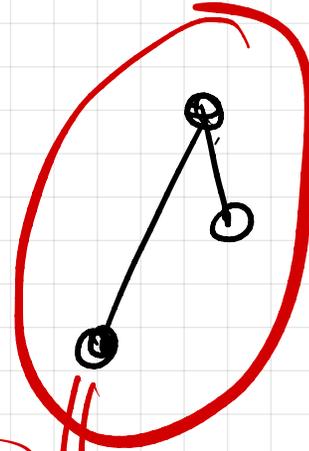
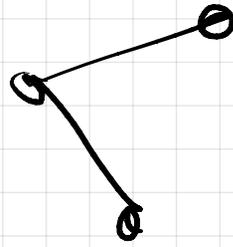
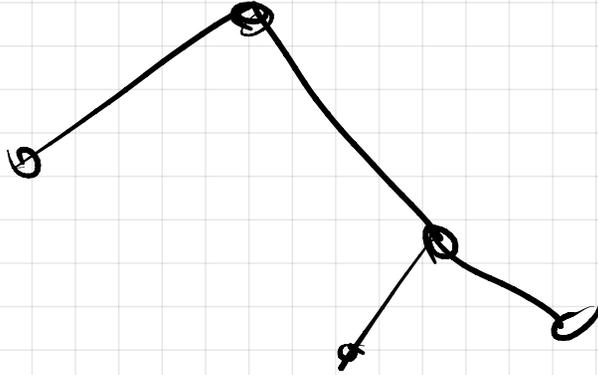
# Cuts in the graph

- "cut" is a partition of vertices in two sets :  $V=S \cup V-S$
- an edge  $(u,v)$  crosses the cut  $(S,V-S)$  if  $u$  and  $v$  are on different partitions (one in  $S$  the other in  $V-S$ )
- cut  $(S, V-S)$  respects set of edges  $A$  if  $A$  has no cross edge
- "min weight cross edge" is a cross edge for the cut, having minimum weight across all cross edges
- Cut Theorem : if  $A$  is a set of edges part of some MST, and  $(S,V-S)$  a cut respecting  $A$  , then a min-weight cross edge is "safe" for  $A$  (can be added to  $A$  towards an MST)

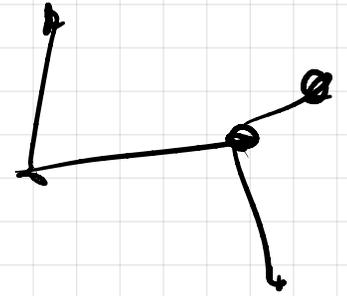
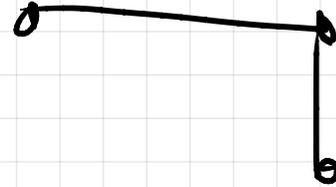


- $A = \{ab, ic, cf, hg, fg\}$
- cut :  $S = \{a, b, d, e\}$   $V-S = \{h, i, c, g, f\}$  respects  $A$
- safe crossing edge :  $cd$ ,  $\text{weight}(cd) = 7$

# Kruskal cut



next edge

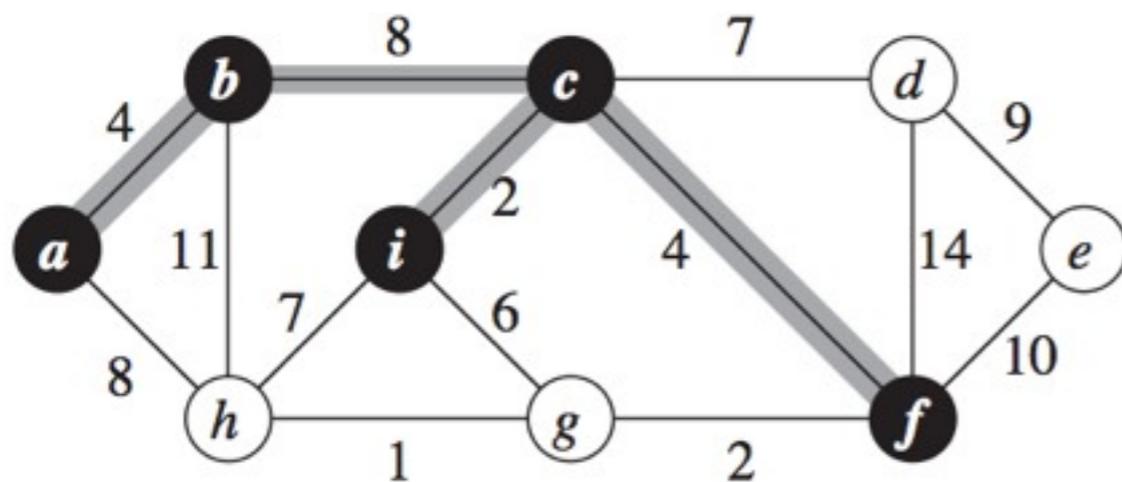


- \* min out of the edge rem
- \* not causing cycle

Selected edges  
valid cut }  $\Rightarrow$  min edge across cut can be chosen  
GREEDY CHOICE (greedily)

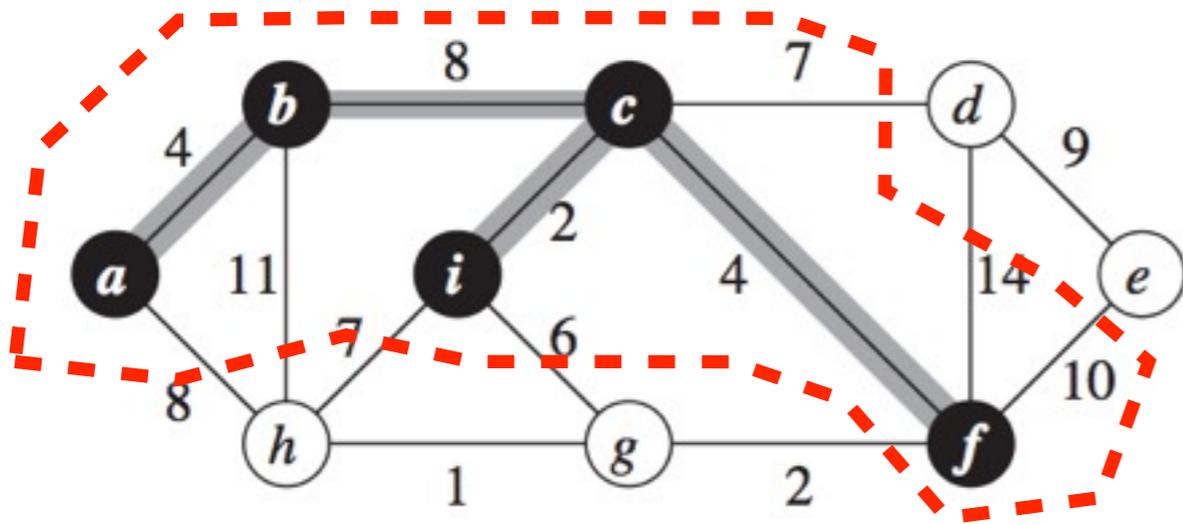
# Prim algorithm

- grows a single tree  $A$ ,  $S$  = set of vertices in the tree
  - as opposed to a forest of smaller disconnected trees
- add a safe edge at a time
  - connecting one more node to the current tree



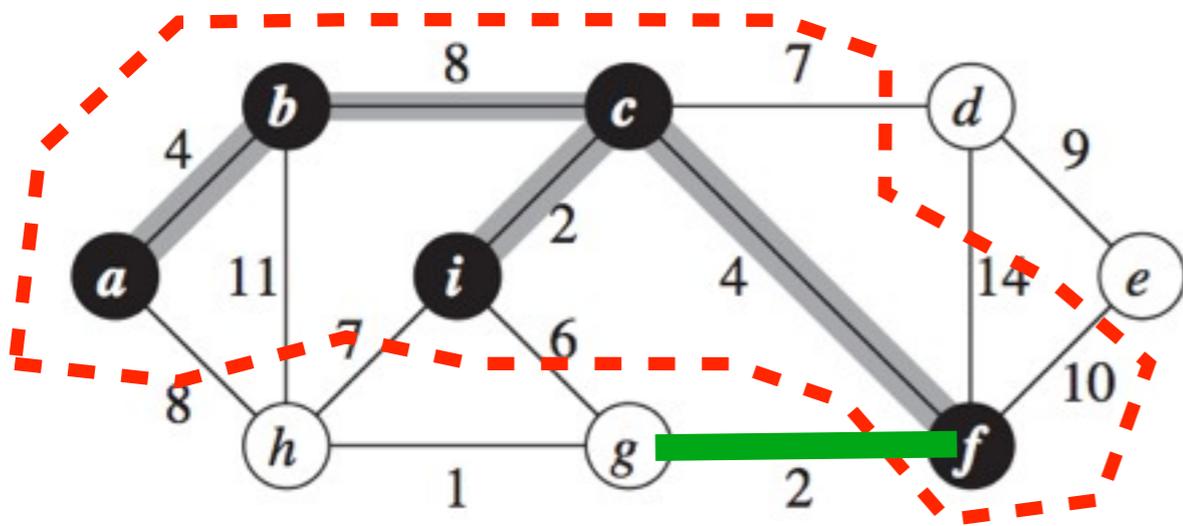
# Prim algorithm

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- define **cut**  $(S, V-S)$ , which respects  $A$ . Using the cut theorem, the min-weight edge across the cut is the next edge added to  $A$



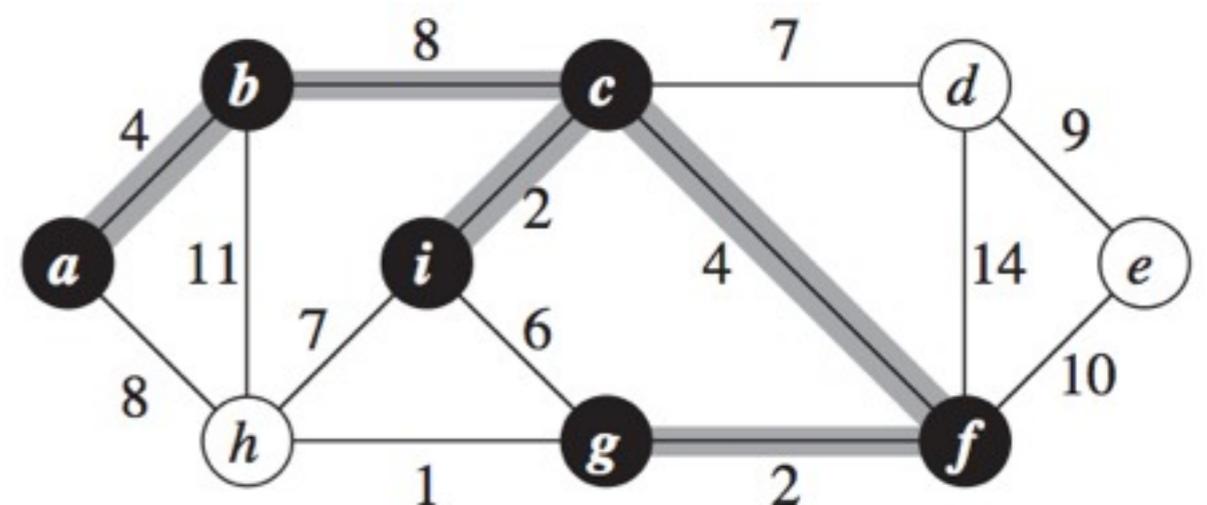
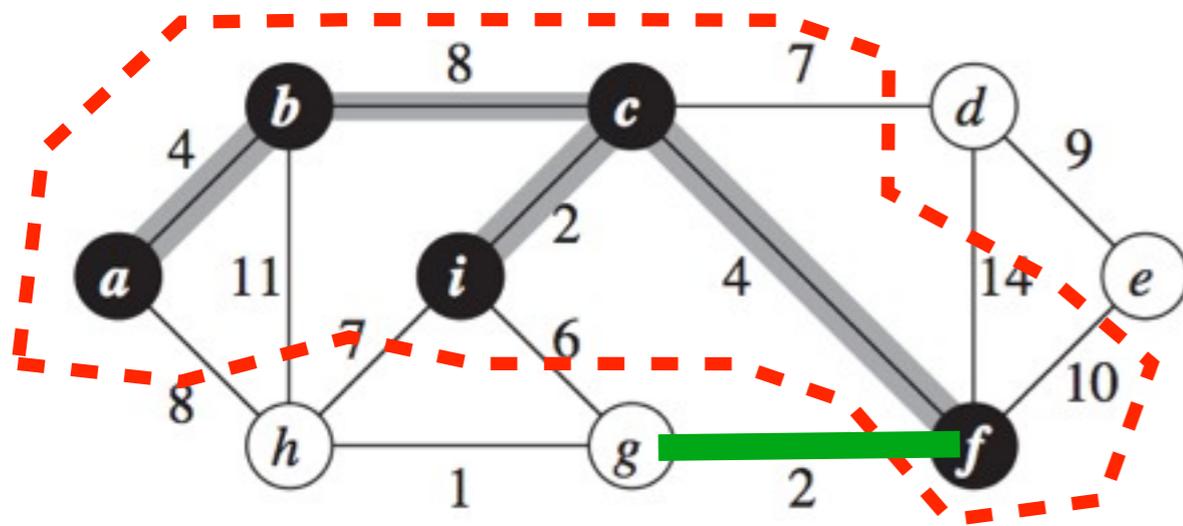
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  - edge  $gf$  in the picture is added to  $A$ , vertex  $g$  added to the tree



# Prim algorithm

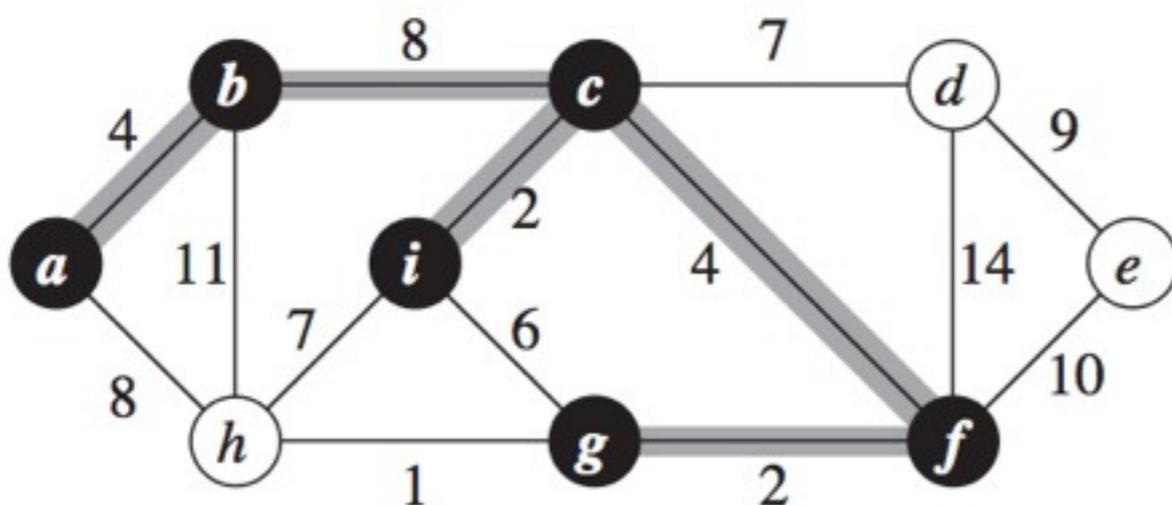
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# Prim algorithm

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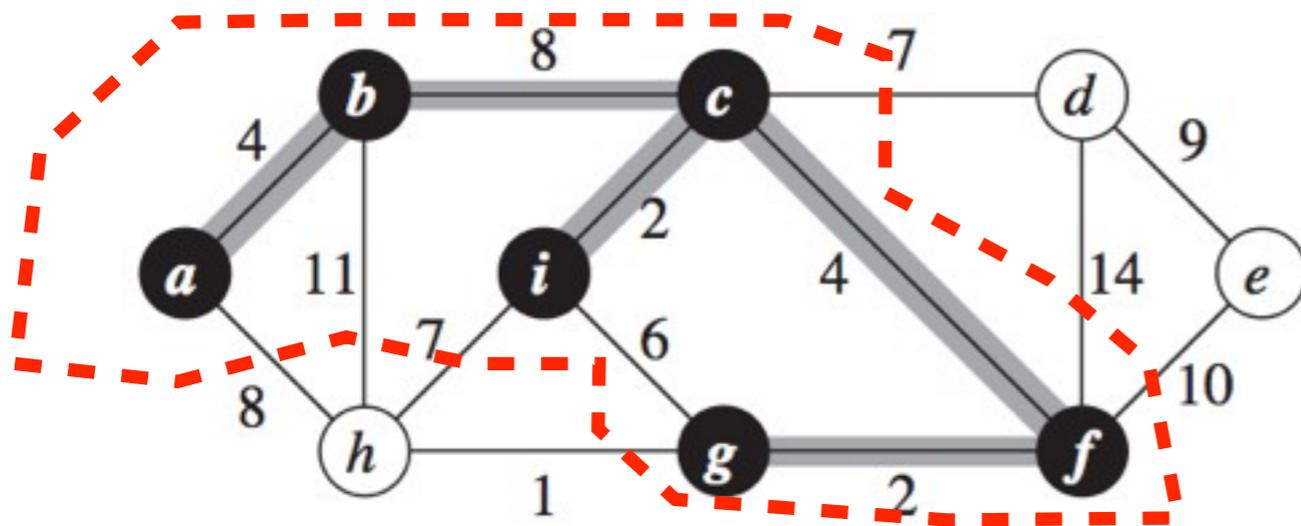
- add another(next) safe edge
  - connecting one more node to the current tree



# Prim algorithm

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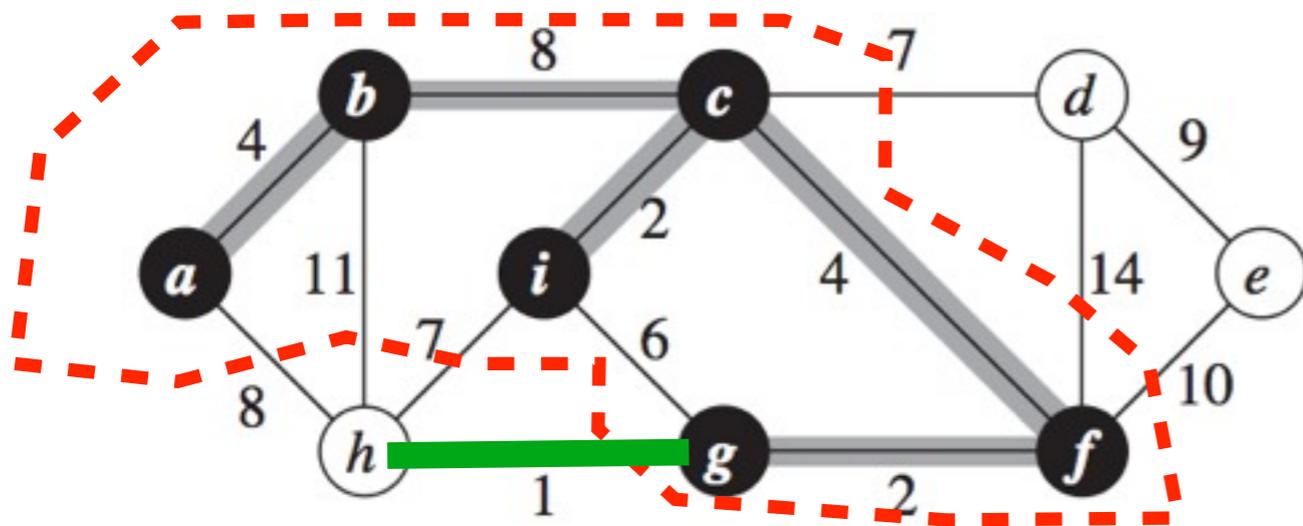
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# Prim algorithm

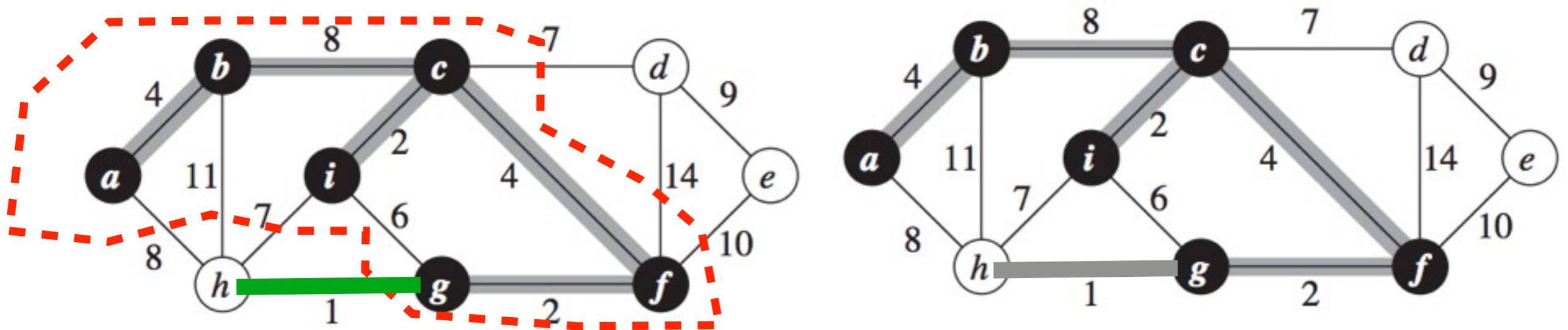
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  - edge  $hg$  in the picture is added to  $A$ , vertex  $h$  added to the tree

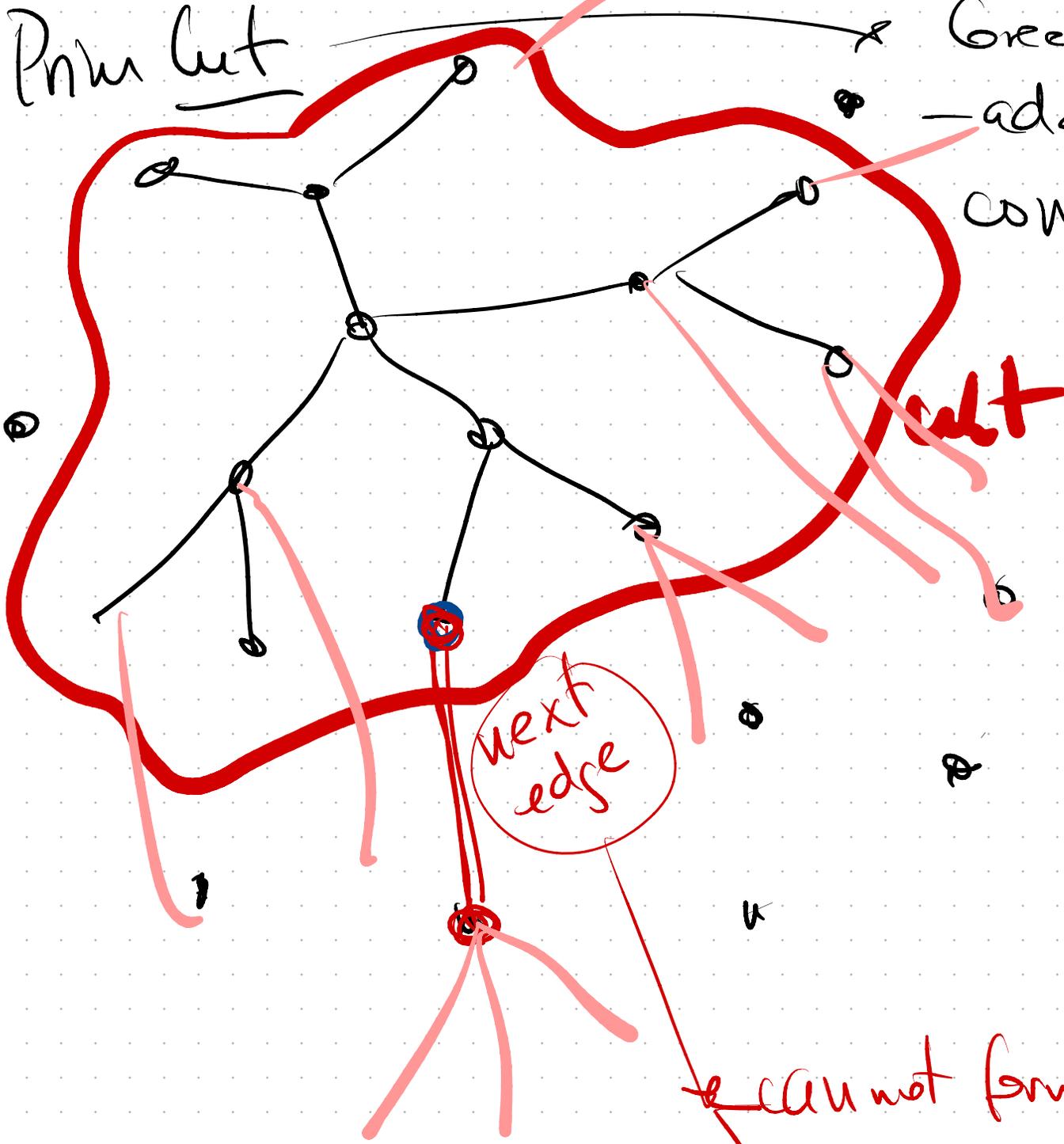


# Prim algorithm

- add another(next) safe edge
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  - edge  $hg$  in the picture is added to  $A$ , vertex  $h$  added to the tree



Prim cut



Greedy choice  
- add min edge  
connects a new node  
to the existing  
Prim-tree

next edge

cannot form cycle  
min out of edges connecting  
to tree

# Prim MST algorithm

---

- Prim simple
  - but implementation a bit tricky
- Running Time depends on implementation of Extract-Min from the Queue
  - best theoretical implementation uses Fibonacci Heaps
  - also the most complicated
  - only makes a practical difference for very large graphs

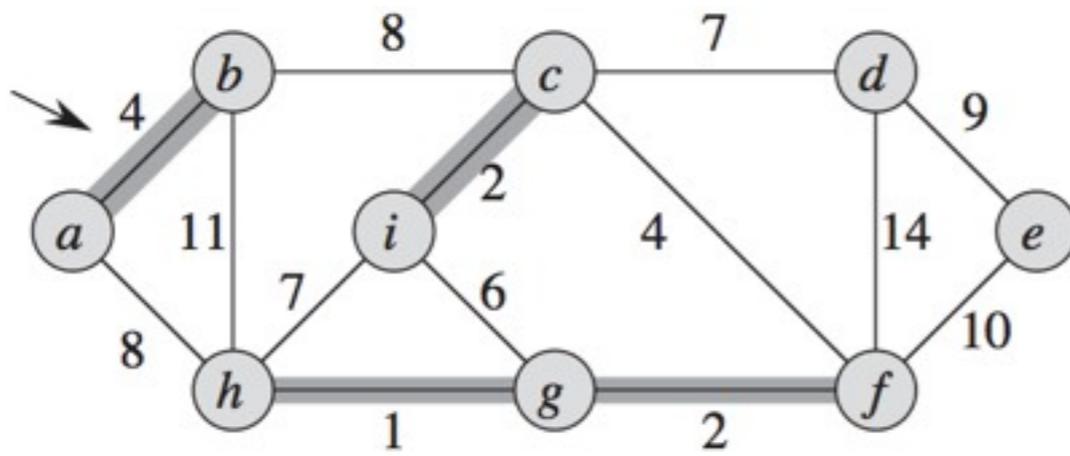
MST-PRIM( $G, w, r$ )

```
1  for each  $u \in G.V$ 
2       $u.key = \infty$ 
3       $u.\pi = \text{NIL}$ 
4   $r.key = 0$ 
5   $Q = G.V$ 
6  while  $Q \neq \emptyset$ 
7       $u = \text{EXTRACT-MIN}(Q)$ 
8      for each  $v \in G.Adj[u]$ 
9          if  $v \in Q$  and  $w(u, v) < v.key$ 
10              $v.\pi = u$ 
11              $v.key = w(u, v)$ 
```

# Kruskal MST algorithm

---

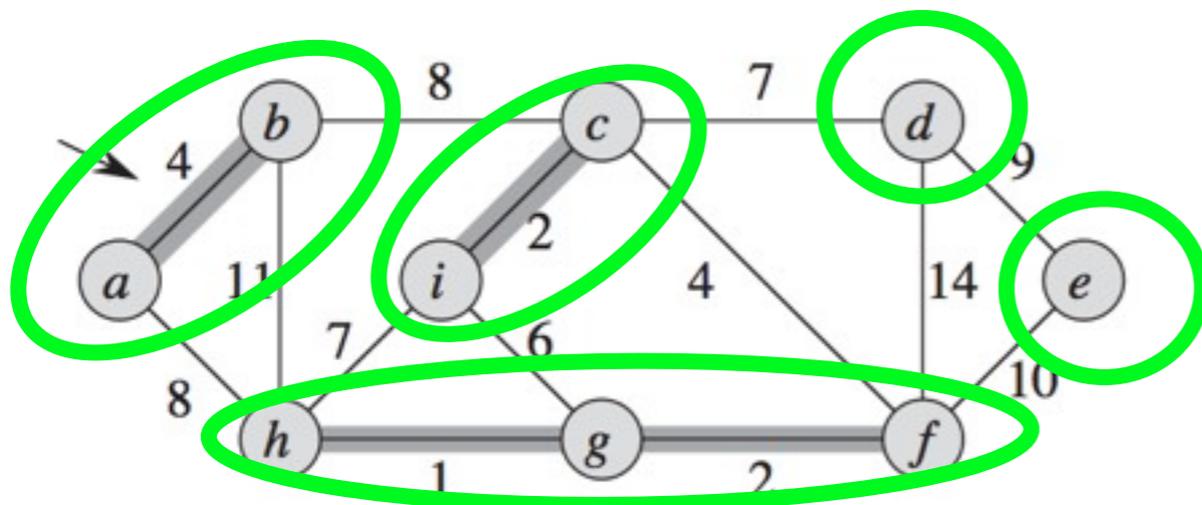
- Grows a forest of trees  $\text{Forrest} = (V, A)$ 
  - eventually all connected into a MST
  - initially each vertex is a tree with no edges, and  $A$  is empty



# Kruskal MST algorithm

---

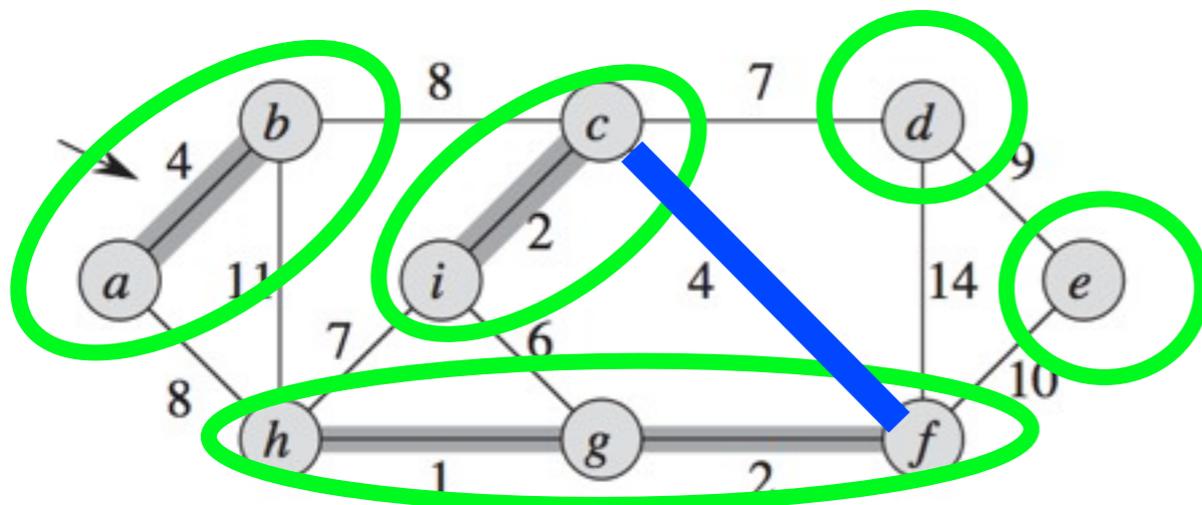
- Grows a forest of trees  $\text{Forrest} = (V, A)$ 
  - eventually all connected into a MST
  - initially each vertex is a tree with no edges, and  $A$  is empty
- each edge added connects two trees (or components)



# Kruskal MST algorithm

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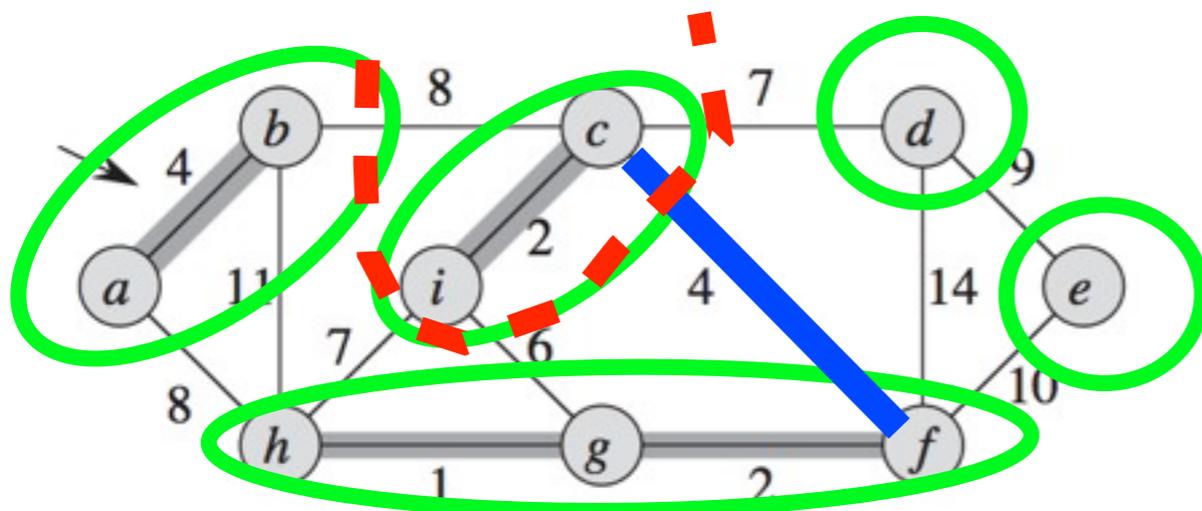
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  - initially each vertex is a tree with no edges, and  $A$  is empty
- each edge added connects two trees (or components)
  - find the minimum weight edge  $(u, v)$  across two components, say connecting trees  $T_1 \ni v$  and  $T_2 \ni u$  (edges between nodes of the same trees are no good because they form cycles) (blue in the picture)



# Kruskal MST algorithm

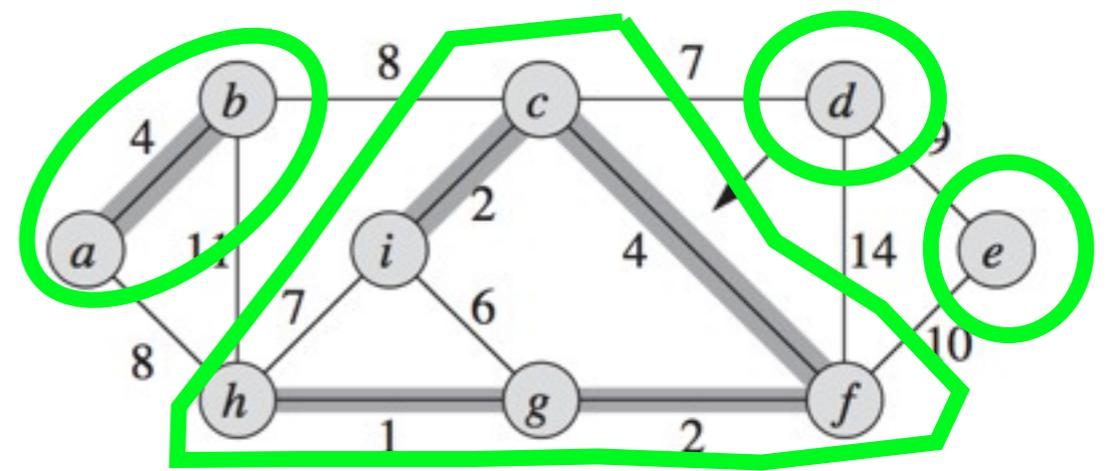
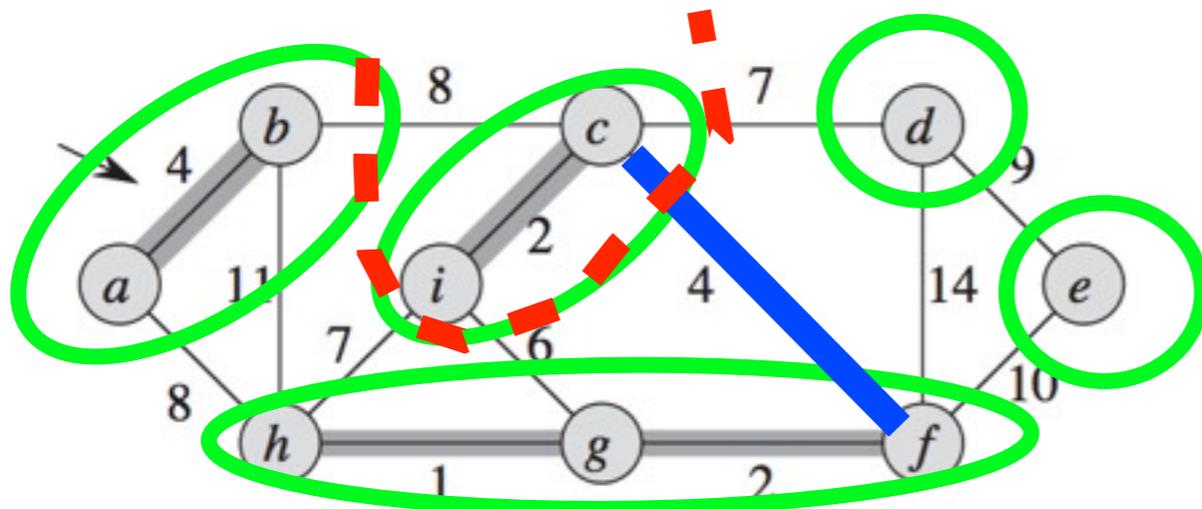
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  - define **cut**  $(S, V-S)$ ;  $S =$  vertices of  $T_1$  (in red). This cut respects set  $A$



# Kruskal MST algorithm

- Grows a forest of trees  $\text{Forrest} = (V, A)$ 
  - eventually all connected into a MST
  - initially each vertex is a tree with no edges, and  $A$  is empty
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  - define **cut**  $(S, V-S)$ ;  $S$  = vertices of  $T_1$  (in red). This cut respects set  $A$
  - edge  $(u, v)$  is the minimum cross edge, thus a safe edge to add to  $A$ .  $T_1$  and  $T_2$  are connected now into one tree



# Kruskal algorithm

---

MST-KRUSKAL( $G, w$ )

```
1   $A = \emptyset$ 
2  for each vertex  $v \in G.V$ 
3      MAKE-SET( $v$ )
4  sort the edges of  $G.E$  into nondecreasing order by weight  $w$ 
5  for each edge  $(u, v) \in G.E$ , taken in nondecreasing order by weight
6      if FIND-SET( $u$ )  $\neq$  FIND-SET( $v$ )
7           $A = A \cup \{(u, v)\}$ 
8          UNION( $u, v$ )
9  return  $A$ 
```

- Kruskal is simple
- implementation and running time depend on FIND-SET and UNION operations on the disjoint-set forest.
  - chapter 21 in the book, optional material for this course
- running time  $O(E \log V)$

# MST algorithm comparison

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- if you know graph density (edges to vertices)

	Kruskal	Prim with array implement.	Prim w/ binomial heap	Prim w/ Fibonacci heap	in practice
sparse graph $E=O(V)$	$O(V \log V)$	$O(V^2)$	$O(V \log V)$	$O(V \log V)$	Kruskal, or Prim+binom heap
dense graph $E=\Theta(V^2)$	$O(V^2 \log V)$	$O(V^2)$	$O(V^2 \log V)$	$O(V^2)$	Prim with array
avg density $E=\Theta(V \log V)$	$O(V \log^2 V)$	$O(V^2)$	$O(V \log^2 V)$	$O(V \log V)$	Prim with Fib heap, if graph is large