



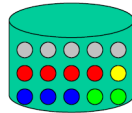
interpolation methods

- Problem with all discounting methods:
 - discounting treats unseen words equally (add or subtract ϵ)
 - some words are more frequent than others
- Idea: use background probabilities
 - “interpolate” ML estimates with General English expectations (computed as relative frequency of a word in a large collection)
 - reflects expected frequency of events

ML estimate



background probability



$$\text{final estimate} = \lambda \text{ (small cylinder)} + (1-\lambda) \text{ (large cylinder)}$$

36

36



Jelinek Mercer smoothing

- Correctly setting λ is very important
- Start simple
 - set λ to be a constant, independent of document, query
- Tune to optimize retrieval performance
 - optimal value of λ varies with different databases, query sets, etc.

$$\lambda \text{ (small cylinder)} + (1-\lambda) \text{ (large cylinder)}$$

37

37



Dirichlet smoothing

- Problem with Jelinek-Mercer:
 - longer documents provide better estimates
 - could get by with less smoothing
- Make smoothing depend on sample size
- N is length of sample = document length
- μ is a constant

$$\underbrace{N / (N + \mu)}_{\lambda} \text{ (small cylinder)} + \underbrace{\mu / (N + \mu)}_{(1-\lambda)} \text{ (large cylinder)}$$



Witten-Bell smoothing

- A step further:
 - condition smoothing on “redundancy” of the example
 - long, redundant example requires little smoothing
 - short, sparse example requires a lot of smoothing
- Derived by considering the proportion of new events as we walk through example
 - N is total number of events = document length
 - V is number of unique events = number of unique terms in doc

$$\underbrace{N / (N + V)}_{\lambda} \text{ (small cylinder)} + \underbrace{V / (N + V)}_{(1-\lambda)} \text{ (large cylinder)}$$