

Old time (chap 9)

- Sorting in linear time

Today (chap 16)

- Dynamic Programming

Announcements

- Homeworks = ^{start early} get clarification _{work in groups}
 - X-hm - late HW2 due
 - midterm ① 10/20 - 10/27
② 10/27 - 11/3
- ① 36.8% ② 63.2%

Paradigms

Elements of the D&C Paradigm

- ① Problem can be split into independent subproblems of the same type.
- ② Solutions to the subproblems can be combined to obtain a solution to the original.

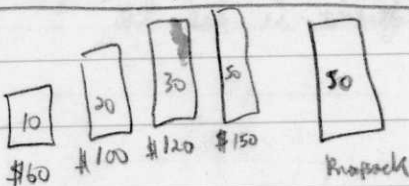
Structure of a D&C Algorithm

- ① Divide into subproblems
- ② Recursively solve subproblems
- ③ Combine solutions

Dynamic Programming : Typically applied to optimization problems

- many "solutions" - find solution with the optimal value

0-1 Knapsack example ?



(over)

Elements of the DP Paradigm

- ① Problem exhibits optimal substructure.
 - optimal solution to problem is combination of optimal solutions to subproblems.
- ② Problem exhibits overlapping subproblems.

DP Methodology (I)

- ① Characterize structure of an optimal solution
- ② Recursively define value of optimal solution
- ③ Compute value of optimal solution in bottom-up fashion
- ④ Construct optimal solution from computed information.

(over)



Consider 1, 10, 25 (no nickel)
make change for 30¢

Smallest (?)

1, 3, 4

make change for 6¢

①

Coin changing

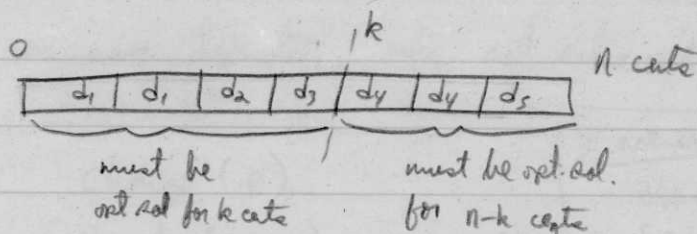
Make change for n cents using minimum number of coins
of denominations d_1, d_2, \dots, d_k where $d_1 < d_2 < \dots < d_k$ and $d_1 = 1$.

Methodology

① Characterize structure of an optimal solution

(optimal substructure)

opt. sol.



* - problem exhibits opt. sol.

② Recursively define value of opt solution

- Let $C[P]$ be min. # coins needed to make change for P cents
- opt. sol. might ^{last or first} use a d_1 or d_2 or d_3 or d_4 ...
- By definition C and opt. sol. of problem, value of opt sol. using a

$$d_1 \text{ is } C[P-d_1] + 1$$

$$d_2 \text{ is } C[P-d_2] + 1$$

$$d_3 \text{ is } C[P-d_3] + 1$$

$$d_k \text{ is } C[P-d_k] + 1 \quad (\text{assuming } d_k \leq P)$$

- opt sol is therefore $\min_{i: d_i \leq P} \{ C[P-d_i] + 1 \}$

Recursive definition

$$C[p] = \begin{cases} 0 & \text{if } p=0 \\ 1 & \text{if } p=d, 2d, \dots, n-1 \\ \min_{i: d_i \leq p} \{ C[p-d_i] + 1 \} & \text{otherwise} \end{cases}$$

← don't need this base case...

Discussion
Recursive approach (Worst)

• We have a recursive definition, why not solve with a recursive alg.?

• Consider:
3-coin scenario

```

change(p)
if (p < 0)
  return ∞
else if (p == 0)
  return 0
else
  return min ( change(p-10)+1, change(p-5)+1, change(p-1)+1 )

```

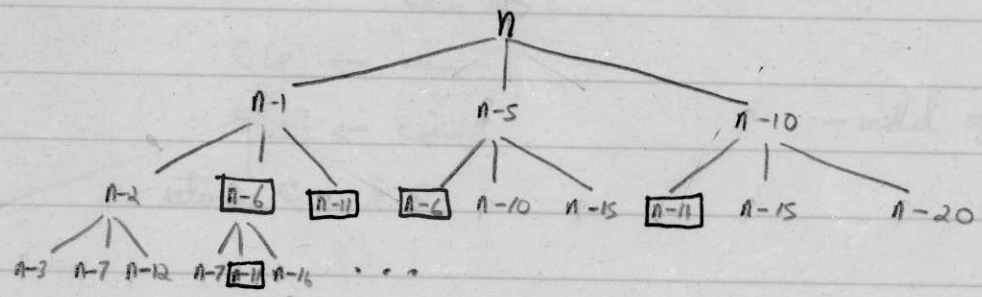
```

memoized
change(p) {
  if (p < 0)
    return ∞
  for i = 1 to n
    c[i] ← ∞
  return change(p)
}

change(p) {
  if (p < 0)
    return ∞
  else
    c[p] ← min(
      change(p-10)+1,
      change(p-5)+1,
      change(p-1)+1
    )
  return c[p]
}

```

• Running time? Consider recursion tree of calls to change() (each call is constant work):



- Tree is full for n/10 levels, at least $\Omega(3^{n/10})$ work!!!

(actually much worse...)

* - Note, however, tons of repeated subproblems

• Idea: Solve each subproblem only once.

and discussion

③ Compute value of optimal solution in bottom-up fashion

Idea: Solve problem for all coin changing problems up to n ;
store results of "smaller" problems for use in solving "larger" problems.

```

change (d[], k, n)
    C[0] ← 0
    for p ← 1 to n
        min ← ∞
        for i ← 1 to k
            * if p ≥ d[i] then
                if C[p - d[i]] + 1 < min then
                    min ← C[p - d[i]] + 1
                    coin ← i
        C[p] ← min
        S[p] ← coin
    return C and S

```

// d array contains denomination values
// k is # denominations

- add green lines later

Running time: $\Theta(nk)$

Space: $\Theta(n)$

④ Construct optimal solution from computed information

- need "green" lines from before to calculate solution array

$S[p]$ - "first" coin in opt. solution to change problem of size p .

$$S[p] = \arg \min_{i: d_i \leq p} \{ C[p - d_i] + 1 \}$$

Make-change (S, d, n)

while ($n > 0$)

 print $S[n]$

// coin # (nickel, dime, penny)

$n \leftarrow n - d[S[n]]$

• Additional $\Theta(n)$ time

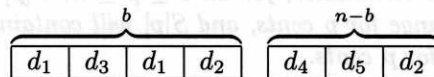
Total: $\Theta(nk)$ time

$\Theta(n)$ space

(over)

Dynamic Programming Solution to the Coin Changing Problem

(1) Characterize the Structure of an Optimal Solution. The Coin Changing problem exhibits optimal substructure in the following manner. Consider any optimal solution to making change for n cents using coins of denominations d_1, d_2, \dots, d_k . Now consider breaking that solution into two different pieces along any coin boundary. Suppose that the “left-half” of the solution amounts to b cents and the “right-half” of the solution amounts to $n - b$ cents, as shown below.



Claim 1 *The left-half of the solution must be an optimal way to make change for b cents using coins of denominations d_1, d_2, \dots, d_k , and the right-half of the solution must be an optimal way to make change for $n - b$ cents using coins of denominations d_1, d_2, \dots, d_k .*

Proof: By contradiction, suppose that there was a better solution to making change for b cents than the “left-half” of the optimal solution shown. Then the left-half of the optimal solution could be replaced with this better solution, yielding a valid solution to making change for n cents with fewer coins than the solution being considered. But this contradicts the supposed optimality of the given solution, $\rightarrow\leftarrow$. An identical argument applies to the “right-half” of the solution. \square

Thus, the optimal solution to the coin changing problem is composed of optimal solutions to smaller subproblems.

(2) Recursively Define the Value of the Optimal Solution. First, we define in English the quantity we shall later define recursively. Let $C[p]$ be the minimum number of coins of denominations d_1, d_2, \dots, d_k needed to make change for p cents. In the optimal solution to making change for p cents, there must exist some first coin d_i , where $d_i \leq p$. Furthermore, the remaining coins in the optimal solution must themselves be the optimal solution to making change for $p - d_i$ cents, since coin changing exhibits optimal substructure as proven above. Thus, if d_i is the first coin in the optimal solution to making change for p cents, then $C[p] = 1 + C[p - d_i]$; i.e., one d_i coin plus $C[p - d_i]$ coins to optimally make change for $p - d_i$ cents. We don't know which coin d_i is the first coin in the optimal solution to making change for p cents; however, we may check all k such possibilities (subject to the constraint that $d_i \leq p$), and the value of the optimal solution must correspond to the minimum value of $1 + C[p - d_i]$, by definition. Furthermore, when making change for 0 cents, the value of the optimal solution is clearly 0 coins. We thus have the following recurrence.

Claim 2 $C[p] = \begin{cases} 0 & \text{if } p = 0 \\ \min_{i: d_i \leq p} \{1 + C[p - d_i]\} & \text{if } p > 0 \end{cases}$

Proof: The correctness of this recursive definition is embodied in the paragraph which precedes it. \square

(3) Compute the Value of the Optimal Solution Bottom-up. Consider the following piece of pseudocode, where d is the array of denomination values, k is the number of denominations, and n is the amount for which change is to be made.

```

CHANGE( $d, k, n$ )
1  $C[0] \leftarrow 0$ 
2 for  $p \leftarrow 1$  to  $n$ 
3      $min \leftarrow \infty$ 
4     for  $i \leftarrow 1$  to  $k$ 
5         if  $d[i] \leq p$  then
6             if  $1 + C[p - d[i]] < min$  then
7                  $min \leftarrow 1 + C[p - d[i]]$ 
8                  $coin \leftarrow i$ 
9      $C[p] \leftarrow min$ 
10     $S[p] \leftarrow coin$ 
11 return  $C$  and  $S$ 

```

Claim 3 When the above procedure terminates, for all $0 \leq p \leq n$, $C[p]$ will contain the correct minimum number of coins needed to make change for p cents, and $S[p]$ will contain (the index of) the first coin in an optimal solution to making change for p cents.

Proof: The correctness of the above procedure is based on the fact that it correctly implements the recursive definition given above. The base case is properly handled in Line 1, and the recursive case is properly handled in Lines 2 to 10, as shown below. Note that since the loop defined in Line 2 goes from 1 to n and since $d_i \geq 1$ for all i , no array element $C[\cdot]$ is accessed in either Line 6 or 7 before it has been computed. Lines 3 to 7 correctly compute $\min_{i:d_i \leq p} \{1 + C[p - d_i]\}$, and $C[p]$ is set to this value in Line 9. Similarly, Lines 3 to 8 correctly compute $\arg \min_{i:d_i \leq p} \{1 + C[p - d_i]\}$, and $S[p]$ is set to this value in Line 10. \square

(4) Construct the Optimal Solution from the Computed Information. Consider the following piece of pseudocode, where S is the array computed above, d is the array of denomination values, and n is the amount for which change is to be made.

```

MAKE-CHANGE( $S, d, n$ )
1 while  $n > 0$ 
2     Print  $S[n]$ 
3      $n \leftarrow n - d[S[n]]$ 

```

Claim 4 The above procedure correctly outputs an optimal set of coins for making change for n cents.

Proof: By Claim 3, $S[n]$ will contain (the index of) the first coin in an optimal solution to making change for n cents, and this coin is printed in Line 2 during the first pass through the **while** loop. Since our problem exhibits optimal substructure by Claim 1, it must be the case that the solution to the remaining $n - d_{S[n]}$ cents be optimal as well. By setting $n \leftarrow n - d[S[n]]$ in Line 3, the first coin in such a solution will be printed in the next pass through the **while** loop, and so on. (Properly, one would prove that the sequence of coins output by this procedure is correct by induction, but the above suffices as far as I'm concerned.) \square

(5) Running Time and Space Requirements. The CHANGE procedure runs in $\Theta(nk)$ due to the nested loops (Lines 2 and 4), and it uses $\Theta(n)$ additional space in the form of the $C[\cdot]$ and $S[\cdot]$ arrays. The MAKE-CHANGE procedure runs in time $O(n)$ since the parameter n is reduced by at least 1 (the minimum coin denomination value) in each pass through the **while** loop. It uses no additional space beyond the inputs given. Thus, the total running time is $\Theta(nk)$ and the total space requirement is $\Theta(n)$.

Last time (chap 16)

- Dynamic Programming

Today (chap 16)

- Dynamic Programming

Announcements

- Midterm

10/20 - 10/27 36.8 %

10/27 - 11/3 63.2 %

- HW3 hints

Elements of DP

- optimal substructure
- overlapping subproblems

DP Methodology (I)

- ✓ ① Characterize structure of an optimal solution
- ✓ ② Recursively define value of optimal solution
- ③ Compute value of optimal solution in bottom-up fashion
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$$f(n) = \begin{cases} f(n-1) & \text{if } n < 100 \\ f(n-1) + n & \text{if } n \geq 100 \end{cases}$$

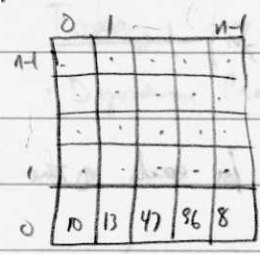
$$f(n) = \max\{f(n-1, 1), f(n-1, 2), f(n-1, 3), \dots\}$$

(all) are optimal



Chess board problem + (n-1)TC = (n)T

• $n \times n$ chessboard:



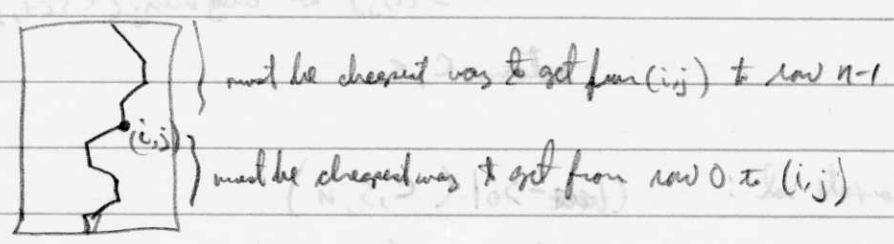
P

• numbers in squares are penalties.

• Goal: start anywhere in row 0, get to anywhere in row $n-1$, with least total penalty, where only moves can make are $\swarrow \searrow$

• Let $P[i,j]$ be penalty matrix

① Characterize structure of opt. sol.



Let $C[i,j]$ be cheapest way to get from row 0 to square (i,j)

② Rec def value of opt. sol.

$$C[i,j] = \begin{cases} P[i,j] & \text{if } i=0 \\ P[i,j] + \min\{C[i-1, j-1], C[i-1, j], C[i-1, j+1]\} \end{cases}$$

all j 's are mod n



Note: recursive solution is

$$T(n) = 2T(n-1) + \Theta(1)$$

$$= \Theta(2^n)$$

In fact, must do this for each of the n squares in row $n-1$

$$\Rightarrow \Theta(n 2^n)$$

③ Compute real bottom up:

Chess($P[0], n$)

for $j \leftarrow 0$ to $n-1$

$C[0, j] \leftarrow P[0, j]$

for $i \leftarrow 1$ to $n-1$

for $j \leftarrow 0$ to $n-1$

$C[i, j] \leftarrow P[i, j] + \min\{C[i-1, j-1], C[i-1, j], C[i-1, j+1]\}$ ①

$S[i, j] \leftarrow \arg \min_j\{C[i-1, j-1], C[i-1, j], C[i-1, j+1]\}$

all j 's (mod n)

④ Compute sol: ChessSol(C, S, n)

$j \leftarrow \min_k\{C[n-1, k]\}$

Print-Square($n-1, j$)

for $i \leftarrow n-1$ down to 1

Print-Square($i-1, S[i, j]$)

$j \leftarrow S[i, j]$

check this ... } OK

ChessSol(110)

$\{C[i-1, j-1], C[i-1, j], C[i-1, j+1]\} \rightarrow \min + C[i, j]$



Matrix chain multiplication

Input: A sequence $\langle p_0, p_1, p_2, \dots, p_n \rangle$ of matrix dimensions, where matrix A_i is $p_{i-1} \times p_i$.

Output: A parenthesization of the product $A_1 A_2 \dots A_n$ that yields the fewest scalar multiplications.

Example:

A_1	10×100	$p_0 = 10$
A_2	100×5	$p_1 = 100$
A_3	5×50	$p_2 = 5$
		$p_3 = 50$

Takes pqr scalar mults to multiply $(p \times q) \cdot (q \times r)$.

$$\begin{aligned} ((A_1 A_2) A_3) &\Rightarrow 10 \cdot 100 \cdot 5 + 10 \cdot 5 \cdot 50 \\ &= 5000 + 2500 \\ &= 7500 \end{aligned}$$

$$\begin{aligned} (A_1 (A_2 A_3)) &\Rightarrow 100 \cdot 5 \cdot 50 + 10 \cdot 100 \cdot 50 \\ &= 25000 + 50000 \\ &= 75000 \end{aligned}$$

Second way is $10 \times$ worse!

Why not just try all ways to parenthesize?
 Let $P(n)$ = # ways to parenthesize n matrices.
 Can split seq. bet. k th & $(k+1)$ st for
 $k=1, 2, \dots, n-1$, then parenthesize
 the 2 resulting subseqs independently.

$$P(n) = \begin{cases} 1 & \text{if } n=1 \text{ or } n=2, \\ \sum_{k=1}^{n-1} P(k)P(n-k) & \text{if } n \geq 3. \end{cases}$$

Show that $P(n) \geq 2^{n-2} = \Omega(2^n)$:

True for $n=1, n=2$. Assume true $\forall k < n$.

$$P(n) = \sum_{k=1}^{n-1} P(k)P(n-k)$$

$$\geq P(1)P(n-1) + P(n-1)P(1)$$

$$= 2P(1)P(n-1)$$

$$= 2P(n-1)$$

$$\geq 2 \cdot 2^{n-1-2}$$

$$= 2^{n-2}$$

In fact, $P(n) = \Omega\left(\frac{4^n}{n^{3/2}}\right)$.

The structure of an optimal parenthesization

Notation: $[i, j] = A_i A_{i+1} \dots A_j$

Opt. paren of $[1, n]$ splits product at some $k < n$
 \Rightarrow compute $[1, k]$, $[k+1, n]$, then combine
 to compute $[1, n]$.

Cost = cost to compute $[1, k]$ +
 " " " " $[k+1, n]$ +
 cost of multiplying.

Key observation:

Paren of $[1, k]$ within opt. paren of $[1, n]$
 must be an opt. paren of $[1, k]$.

Why? Suppose \exists cheaper way. Then
 use it in opt. paren of $[1, n]$ to get
 a paren whose cost is $<$ opt. cost.

Similarly, paren of $[k+1, n]$ must be opt.

This is an example of optimal substructure
 an opt. soln to the problem contains within
 it opt. solns to subproblems.

Recursively defn of value of an opt soln

Let $m[i,j] = \text{min cost of computing } [i,n]$.
Want $m[1,n]$.

For $[i,i]$, have just 1 matrix $\Rightarrow m[i,i] = 0 \forall i$

For $[i,j]$, let opt. split be at position $k \Rightarrow$

$$[i,j] = [i,k] \cdot [k+1,j] \Rightarrow$$

$$m[i,j] = m[i,k] + m[k+1,j] + p_{i-1} p_k p_j$$

Note: $[i,k]$ is $p_{i-1} \times p_k$, $[k+1,j]$ is $p_k \times p_j$

But we don't know which is the optimal k .
Must check for candidate $k = i, \dots, j-1$.

$$m[i,j] = \begin{cases} 0 & \text{if } i=j, \\ \min_{k=i}^{j-1} \{ m[i,k] + m[k+1,j] + p_{i-1} p_k p_j \} & \text{if } i < j \end{cases}$$

start here
We'll also need to keep track of the values i of k :

$s[i,j] = k$ s.t. an opt. paren of $[i,j]$ splits at k .

(2) Recursively defn of value of an opt soln

Let $m[i,j] = \text{min cost of computing } [1,n]$.
Want $m[1,n]$.

For $[i,i]$, have just 1 matrix $\Rightarrow m[i,i] = 0 \forall i$.

For $[i,j]$, let opt. split be at position $k \Rightarrow$

$$[i,j] = [i,k] \cdot [k+1,j] \Rightarrow$$

$$m[i,j] = m[i,k] + m[k+1,j] + p_{i-1} p_k p_j$$

Note: $[i,k]$ is $p_{i-1} \times p_k$, $[k+1,j]$ is $p_k \times p_j$.

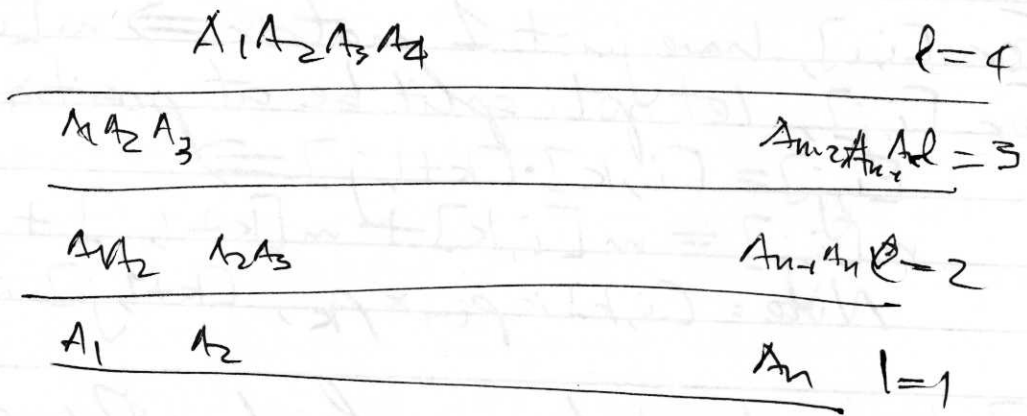
But we don't know which is the optimal k .
Must check for candidate $k = i, \dots, j-1$.

$$\therefore m[i,j] = \begin{cases} 0 & \text{if } i=j, \\ \min_{k=i}^{j-1} \{ m[i,k] + m[k+1,j] + p_{i-1} p_k p_j \} & \text{if } i < j \end{cases}$$

We'll also need to keep track of the values of k :

$s[i,j] = k$ s.t. an opt. paren of $[i,j]$ splits at k .

$$s[i,j] = \underset{k=i, \dots, j-1}{\text{arg min}} \{ m[i,k] + m[k+1,j] + p_{i-1} p_k p_j \} \quad \text{if } i < j$$



Computing an opt. soln

Could write a recursive alg based on $m[i,j]$ equation, but this would be exponential $\Theta(n^3)$

Observation: have only 1 problem for each unique pair (i,j) .

How many such problems? $\binom{n}{2} + n = \Theta(n^2)$

A recursive alg might encounter same subproblem over & over - we'll make sure we encounter it once.

③ compute bottom up

Matrix-Chain-Order (p, n)

```
for i ← 1 to n
  do m[i,i] ← 0
```

```
for l ← 2 to n  ▷ l = length of subchain
  do for i ← 1 to n-l+1
```

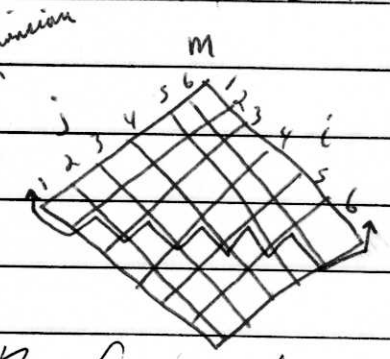
```
    do j ← i+l-1
       m[i,j] ← ∞
```

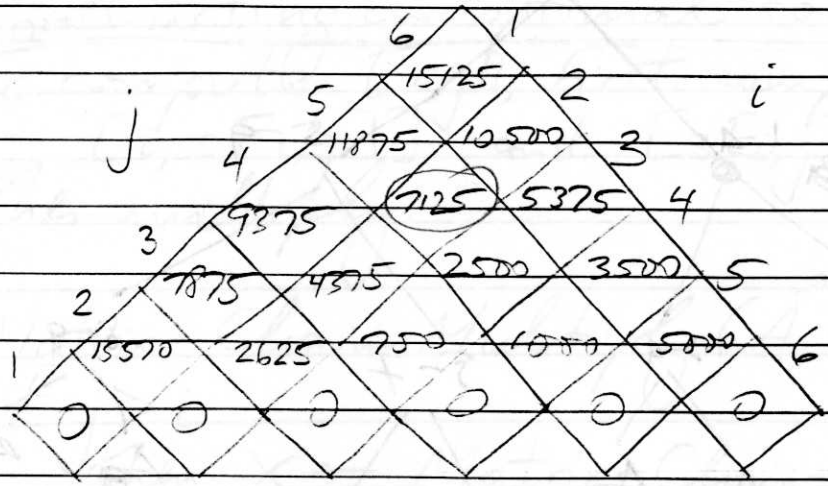
```
      for k ← i to j-1
        do g ← m[i,k] + m[k+1,j]
```

check all splits to find min & arg min

```
          + pi-1 pk pj
          if g < m[i,j]
            then m[i,j] ← g
              s[i,j] ← k
```

return m and s





<u>matrix</u>	<u>dimensions</u>
A_1	30×35
A_2	35×15
A_3	15×5
A_4	5×10
A_5	10×20
A_6	20×25

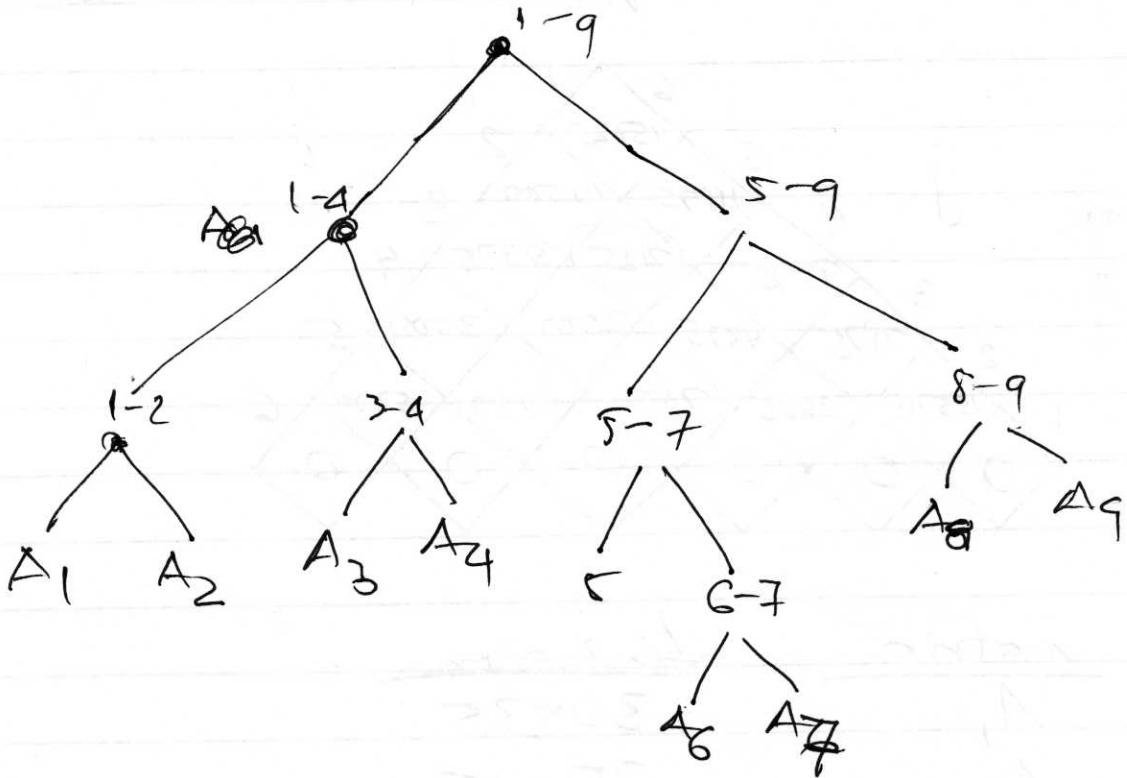
Computes bottom to top, left to right.

$$m[2,5] = \min \begin{cases} m[2,2] + m[3,5] + 35 \cdot 15 \cdot 20 = 130000 \\ m[2,3] + m[4,5] + 35 \cdot 5 \cdot 20 = 7125 \\ m[2,4] + m[5,5] + 35 \cdot 10 \cdot 20 = 11375 \end{cases}$$

Analysis: $O(n^3)$.

Actually $\Theta(n^3)$ (HW problem)

$$\left((A_1 A_2) (A_3 A_4) \right) \left((A_5 (A_6 A_7)) (A_8 A_9) \right)$$



(4)

Constructing an optimal soln from computed info
 To compute $[i, j]$, first compute
 $[i, s[i, j]]$ and $[s[i, j] + 1, j]$
 then multiply.

Matrix-Chain-Multiply (A, s, i, j)

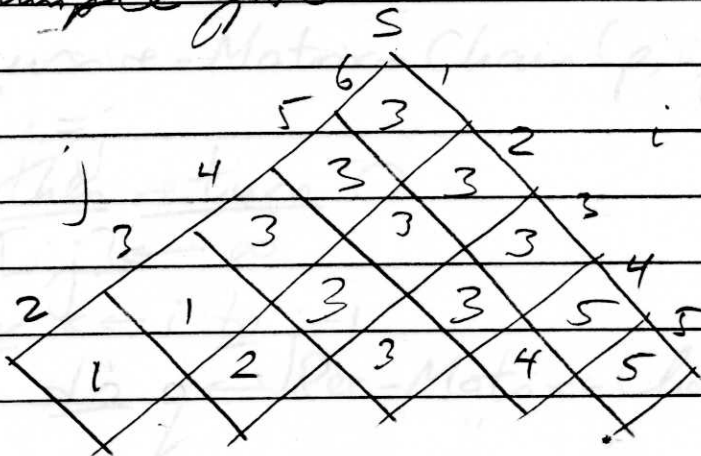
if $j > i$

then $X \leftarrow$ Matrix-Chain-Multiply ($A, s, i, s[i, j]$)
 $Y \leftarrow$ " ($A, s, s[i, j] + 1, j$)

return $X \times Y$

else return A_i

Example gives



$$(A_1 A_2 A_3) (A_4 A_5 A_6)$$

$$(A_1 (A_2 A_3)) ((A_4 A_5) A_6)$$

Elements of DP

- Opt substructure - already saw

- Overlapping subproblems

Need a small space of subproblems -
a recursive alg would keep solving the same ones over & over.

For DP, # of distinct subprobs should be polynomial in input size.

Consider recursive program based on earlier formula: $m[i,j] = \min_{k=i}^{j-1} \{m[i,k] + m[k+1,j] + p_i - p_k - p_j\}$

Recursive-Matrix-Chain(p, i, j)

if $i = j$
then return 0

$m[i,j] \leftarrow \infty$

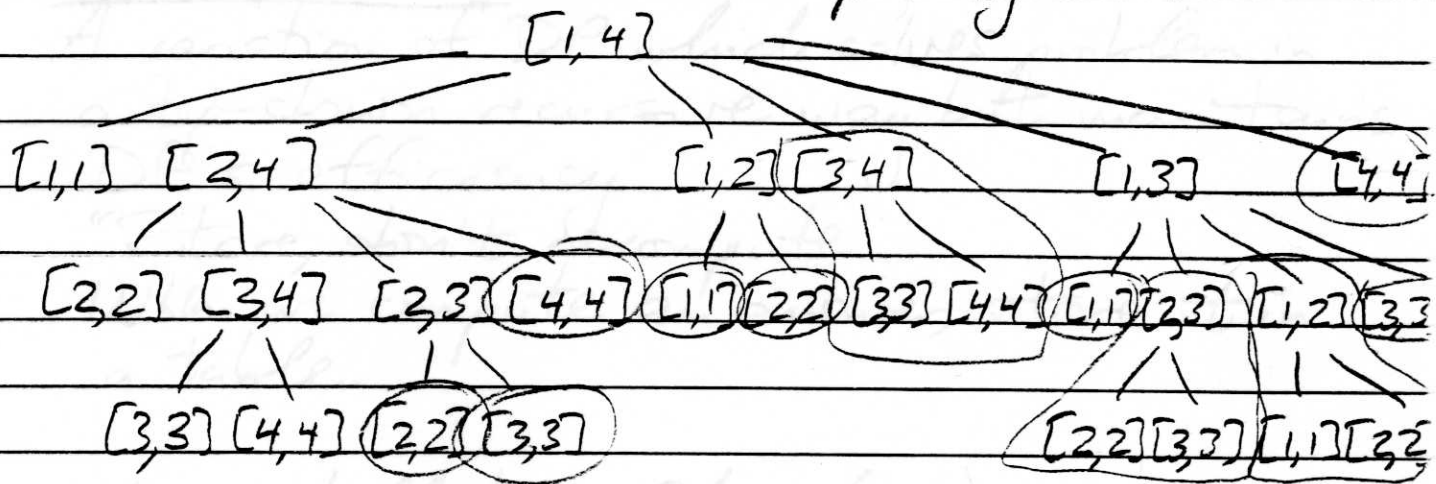
for $k \leftarrow i$ to $j-1$

do $q \leftarrow$ Rec-Matrix-Chain(p, i, k) +
" " " " ($p, k+1, j$) + $p_i - p_k - p_j$

if $q < m[i,j]$
then $m[i,j] \leftarrow q$

return $m[i,j]$

Recursion tree: values not recomputed by memoization circles



Has many repeated subproblems.

Time is $O(2^n)$:

Assume: $T(k) \geq 2^k \quad \forall k < n$

$T(1) \geq 1$

Proof: $T(n) \geq 2^n$

$$T(n) \geq 1 + \sum_{k=1}^{n-1} (T(k) + T(n-k) + 1) \quad \text{if } n > 1.$$

$$\geq 2T(n-1)$$

$$\geq 2 \cdot 2^{n-1}$$

$$= 2^n$$

Compare to DP soln, which solves only $O(n^2)$ subproblems.

Memoization

A variation of DP which solves problem in a top-down recursive way but maintains DP's efficiency.

"Store, don't recompute"

When we compute an answer, store it in a table.

Memoized-Matrix-Chain (p, n)

for $i \leftarrow 1$ to n

do for $j \leftarrow 1$ to n

do $m[i, j] \leftarrow \infty$

return Lookup-Chain ($p, 1, n$)

Lookup-Chain (p, i, j)

if $m[i, j] < \infty$

return $m[i, j]$

if $i = j$

then $m[i, j] \leftarrow 0$

else for $k \leftarrow i$ to $j-1$

do $q \leftarrow$ Lookup-Chain (p, i, k) +

($p, k+1, j$) + $p_i p_k p_j$

if $q < m[i, j]$

then $m[i, j] \leftarrow q$

return $m[i, j]$

Analysis: $\Theta(n^2)$ entries to be filled
 $\Theta(n)$ time to fill each entry
 $\Rightarrow O(n^3)$ (Ω -analysis same as for DP)

\therefore Memorization has turned an $\Omega(2^n)$ alg into $O(n^3)$ alg.

In practice:

- DP often faster because less overhead (no recursion) & regular access patterns
- Memorization can be faster if not all subproblems need to be solved.

Longest common subsequence

Problem: Given two sequences $x[1..m]$ & $y[1..n]$, find a longest subsequence common to both.

x A B C B D A B
 / | \ |
 y B D C A B A

 LCS = BCBA

CS 25 - Algorithms

10/13/95

Greedy choice? - take items with highest value per pound

Last time (chap 16)

Today (chap 16, 17)

Handouts

Announcements

- Dynamic Programming

- DP vs. Greedy:
- 0-1 & Fractional Knapsack

- HW 4

- Group policy
- HW 2 solutions
- HW 2

1) Fractional knapsack problem - Greedy (largest intensity)

2) 0-1 knapsack problem - DP

max = 70

$\bar{x} = 50.25$

$\sigma = 12.75$

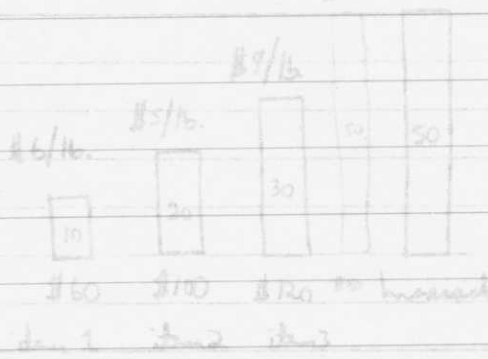
$\frac{2}{3}\sigma = 8.5$

0 - 41.75 25%

41.75 - 50.25 25%

50.25 - 58.75 25%

58.75 - 70 25%



- greedy algo:
- 1) take 100% of item 1
 - 2) take 25% of item 2
 - 3) take 0% of item 3
 - 4) take 0% of item 4
 - 5) take 0% of item 5

0-1 Knapsack Problem

- n items
- $\forall i$, item i has weight w_i and value v_i
- knapsack which holds W pounds.

Goal: optimize value of items taken

- Restrictions:
- must either take item or leave it
 - total weight cannot exceed W

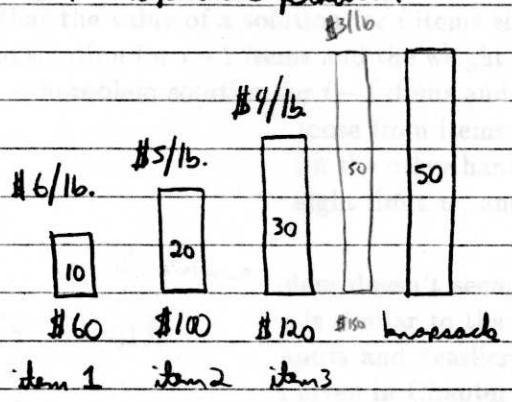
Note: can use dynamic programming to solve this problem...

Greedy choice? - take items with highest value per pound.

Does this work?

2) ~~Fractional knapsack problem~~ - yes (argue intuitively)

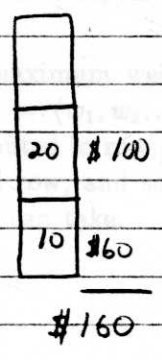
1) 0-1 knapsack problem - no



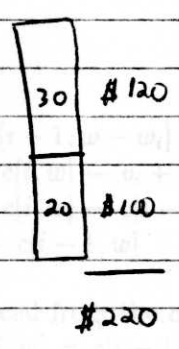
greedy strategies:

- ① largest \rightarrow smallest $\frac{\$}{lb}$
- ② largest \rightarrow smallest value
- ③ smallest \rightarrow largest weight

- greedy alg:



- optimal:



Note: can use dynamic programming to solve this problem...

0-1 Knapsack problem

Exercise 17.2-2

The solution is based on the optimal-substructure observation in the text: Let i be the highest-numbered item in an optimal solution S for W pounds and items $1..n$. Then $S' = S - \{i\}$ is an optimal solution for $W - w_i$ pounds and items $1..i-1$, and the value of the solution S is v_i plus the value of the subproblem solution S' .

We can express this in the following formula: Define $c[i, w]$ to be the value of the solution for items $1..i$ and maximum weight w . Then

$$c[i, w] = \begin{cases} 0 & \text{if } i = 0 \text{ or } w = 0, \\ c[i-1, w] & \text{if } w_i > w, \\ \max(v_i + c[i-1, w - w_i], c[i-1, w]) & \text{if } i > 0 \text{ and } w \geq w_i. \end{cases}$$

This says that the value of a solution for i items either includes item i , in which case it is v_i plus a subproblem solution for $i-1$ items and the weight excluding w_i , or doesn't include item i , in which case it is a subproblem solution for $i-1$ items and the same weight. That is, if the thief picks item i , he takes v_i value, and he can choose from items $1..i-1$ up to the weight limit $w - w_i$, and get $c[i-1, w - w_i]$ additional value. On the other hand, if he decides not to take item i , he can choose from items $1..i-1$ up to the weight limit w , and get $c[i-1, w]$ value. The better of these two choices should be made.

Although the 0-1 knapsack problem doesn't seem analogous to the longest-common-subsequence problem, the above formula for c is similar to the LCS formula: boundary values are 0, and other values are computed from the inputs and "earlier" values of c . So the 0-1 knapsack algorithm is like the LCS-LENGTH algorithm given in Chapter 16 for finding a longest common subsequence of two sequences.

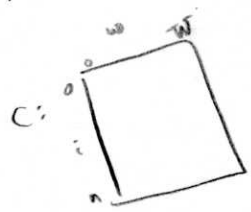
The algorithm takes as inputs the maximum weight W , the number of items n , and the two sequences $v = \langle v_1, v_2, \dots, v_n \rangle$ and $w = \langle w_1, w_2, \dots, w_n \rangle$. It stores the $c[i, j]$ values in a table $c[0..n, 0..W]$ whose entries are computed in row-major order. (That is, the first row of c is filled in from left to right, then the second row, and so on.) At the end of the computation, $c[n, W]$ contains the maximum value the thief can take.

DYNAMIC-0-1-KNAPSACK(\vec{v}, \vec{w}, n, W)

```

for w ← 0 to W
  do c[0, w] ← 0
for i ← 1 to n
  do c[i, 0] ← 0
     for w ← 1 to W
       do if w_i ≤ w
          then if v_i + c[i-1, w - w_i] > c[i-1, w]
             then c[i, w] ← v_i + c[i-1, w - w_i]
             else c[i, w] ← c[i-1, w]
          else c[i, w] ← c[i-1, w]

```



```

Items(c, w, n, W)
while n > 0 and W > 0
  if c[n, W] = c[n-1, W]
    n ← n-1
  else
    print n
    W ← W - w[n]
    n ← n-1

```

Simpler

```

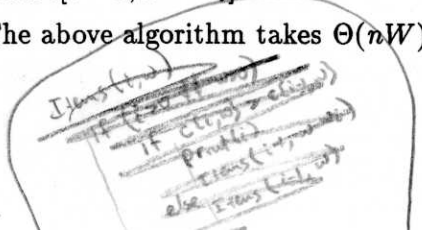
Items(...)
while ...
  if c[n, W] > c[n-1, W]
    print n
    W ← W - w[n]
    n ← n-1

```

The set of items to take can be deduced from the c table by starting at $c[n, W]$ and tracing where the optimal values came from. If $c[i, w] = c[i-1, w]$, item i is not part of the solution, and we continue tracing with $c[i-1, w]$. Otherwise item i is part of the solution, and we continue tracing with $c[i-1, w - w_i]$.

The above algorithm takes $\Theta(nW)$ time total:

Call
Items(w, W)



Do this first

$$C[i, w] = \begin{cases} 0 & \text{if } i=0 \text{ or } w=0 \\ \max_{j \leq i; w_j \leq w} \{v_j + C[i-1, w-w_j]\} & \text{otherwise} \end{cases}$$

Idea: don't know what highest numbered item picked is, so try all possibilities...



```
for w = 0 to W
  for i = 1 to N
    do if w <= w_i
      then if v_i + C[i-1, w-w_i] > C[i, w]
        then C[i, w] = v_i + C[i-1, w-w_i]
```

The set of items to take can be deduced from the table by starting at $C[N, W]$ and tracing back the optimal choice from row i to $i-1$. If $C[i, w] = C[i-1, w]$, then item i is not part of the solution and we continue starting with $C[i-1, w]$. Otherwise item i is part of the solution, and we continue starting with $C[i-1, w-w_i]$.

- $\Theta(nW)$ to fill in the c table — $(n+1) \cdot (W+1)$ entries each requiring $\Theta(1)$ time to compute.
- $O(n)$ time to trace the solution (since it starts in row n of the table and moves up 1 row at each step).

Do this first

$$c[i, w] = \begin{cases} 0 & \text{if } i=0 \text{ or } w=0 \\ \max_{j \leq i; w_j \leq w} \{v_j + c[i-1, w-w_j]\} & \end{cases}$$

Idea: don't know what highest numbered item picked is, so try all possibilities...