

① Recap Partition for quicksort

Quicksort Recurrence

$$T(n) = \frac{2}{n} \sum_{k=1}^{n-1} T(k) + n$$

derived last time

$$T(n) \leq \frac{n+1}{n} T(n-1) + 2$$

do says, both calculations.

② Median, order stats

min

max

median: $\lfloor \frac{n+1}{2} \rfloor$; $\lceil \frac{n+1}{2} \rceil$; $\frac{n+1}{2}$

A) ORDER stat, find i th element $\rightarrow \Theta(n \log n)$

B) PARTITION \Rightarrow gives pivot position then recursively only on one side

Assume (like quicksort) splits are never worse than $\frac{1}{10}/\frac{9}{10}$
 $\Rightarrow T(n) \leq T(\frac{9}{10}n) + \Theta(n) \Rightarrow \Theta(n)$ Master Th

Average case: same idea as quick sort, only 1 side
 possible splits: $0:n-1, 1:n-2, \dots, n-1:0$
 $T(n) \leq \frac{1}{n} \sum_{i=0}^{n-1} T(\max(i, n-1-i)) + \Theta(n)$
 $T(n) \leq \frac{2}{n} \sum_{i=\frac{n-1}{2}}^{n-1} T(i) + \Theta(n)$
 Assume $T(i) \leq cn$
 $T(n) \leq \frac{2}{n} \sum_{i=\frac{n-1}{2}}^{n-1} ci + \Theta(n) =$
 $= \frac{2c}{n} \sum_{i=0}^{\frac{n-1}{2}} (\frac{n-1}{2} + i) + \Theta(n) = \frac{2c}{n} \left(\frac{n-1}{2} \cdot \frac{n-1}{2} + \frac{n-1}{2} \cdot \frac{n-1}{2} \right) + \Theta(n)$

do say calculation

$$= \frac{2c}{n} \sum_{i=0}^{\frac{n-1}{2}} (\frac{n-1}{2} + i) + \Theta(n) = \frac{2c}{n} \left(\frac{n-1}{2} \cdot \frac{n-1}{2} + \frac{n-1}{2} \cdot \frac{n-1}{2} \right) + \Theta(n)$$

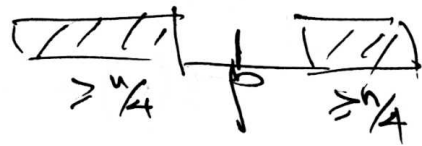
ORDER stats : worst case - Theoretical, not practical

- split groups of 5 $\Theta(n)$

- Median each group $\Theta(n)$

- find median of medians $T(\frac{n}{5})$

- Partition by ~~median~~ p



no worse than $\frac{3}{4} - \frac{1}{4}$ split

$$\Rightarrow T(n) \leq T(\frac{n}{5}) + T(\frac{3n}{4}) + \Theta(n)$$

exercise: $\frac{n}{5} + \frac{3n}{4} < n \Rightarrow T(n)$ is $\Theta(n)$

③ LINEAR TIME SORT

- counting sort : example

- radix sort | obs: counting sort does not change

- discussion on digits $\Rightarrow \Theta(\frac{\log n}{\log b})$ order to elements already sorted

④ GREEDY - makes local optimal choice (only works when \neq global)

- structure of the problem.

DP
comb of solutions to subproblems
bottom up

GR
sequence of greedy choices
top down

optimal sol to pb contains optimal solution to sub-pb

- proof by induction : fract. Knapsack

① greedy choice \in SOLUTION = activity selection pb.

② (greedy (if it works) \in SOLUTION \Rightarrow greedy (it ~~is~~ \in SOL)

OR "after the greedy choice i have the same pb as before", different parameters
VIP + show sol comb

~~Reminder - using x-hour next Tuesday~~

Order statistics

Find the i th smallest of n elements.

(Elt. with rank i .)

$i=1 \Rightarrow \text{min}$

$i=n \Rightarrow \text{max}$

$i = \lfloor \frac{n+1}{2} \rfloor$ or $\lceil \frac{n+1}{2} \rceil \Rightarrow \text{median}$

* \rightarrow comment on simple solutions, $O(n^2)$, for just min, second smallest, etc.

* \rightarrow One solution: sort, index i th elt

$O(n \log n)$ time.

\downarrow
when extended to general case, it's $O(n^3)$.

* \rightarrow Idea: partition around an

element - explain on board w/ array $A[p..r] \rightarrow A[p]$ is pivot
recurse on one-half or other...

Randomized alg

Divide & conquer.

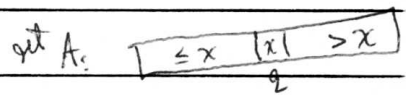
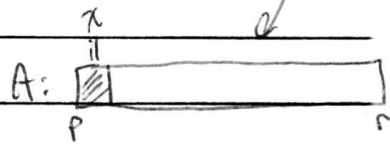
Uses randomized partitioning from quicksort.

Randomized-Partition(A, p, r)

$k \leftarrow \text{Random}(p, r)$

exchange $A[k] \leftrightarrow A[p]$

return Partition(A, p, r)



Note: if returns q , then $A[q] = \text{pivot}$
after return. $A[p..q-1] \leq \text{pivot}$,
 $A[q+1..r] > \text{pivot}$

What if $\frac{99}{100}n : \frac{1}{100}n ? \Rightarrow$ still $\Theta(n)$

Worst case: all splits $0:n-1$

$$T(n) = T(n-1) + \Theta(n)$$

$$\Rightarrow T(n) = \Theta(n^2)$$

Average case analysis: assume all splits equally likely

Splits: $0:n-1$ $1:n-2$ $2:n-3$... $n-1:0$ (general - $i:n-i$)

$$T(n) \leq \frac{1}{n} \sum_{i=0}^{n-1} T(\max(i, n-i)) + \Theta(n) \quad (\text{assume always recurse on larger half})$$

Consider n odd & n even, e.g.

$n=5$ $0:4$ $1:3$ $2:2$ $3:1$ $4:0$

$$\lfloor \frac{5}{2} \rfloor = 2 \quad \lceil \frac{5-1}{2} \rceil = \lceil \frac{4}{2} \rceil = 2$$

$n=6$ $0:5$ $1:4$ $2:3$ $3:2$ $4:1$ $5:0$

$$\lfloor \frac{6}{2} \rfloor = 3 \quad \lceil \frac{6-1}{2} \rceil = \lceil \frac{5}{2} \rceil = 3$$

$$T(n) \leq \frac{2}{n} \sum_{i=\lfloor \frac{n-1}{2} \rfloor}^{n-1} T(i) + \Theta(n)$$

Use substitution method to show $T(n) = O(n)$

Assume $T(j) \leq cj \quad \forall j < n$

Verify $T(n) \leq cn$

$$T(n) \leq \frac{2}{n} \sum_{i=\lceil \frac{n}{2} \rceil}^{n-1} T(i) + \theta(n)$$

$$\leq \frac{2}{n} \sum_{i=\lceil \frac{n}{2} \rceil}^{n-1} ci + \theta(n)$$

$$= \frac{2}{n} \left[\sum_{i=1}^{n-1} ci - \sum_{i=1}^{\lceil \frac{n}{2} \rceil - 1} ci \right] + \theta(n)$$

$$= \frac{2}{n} \left[c \cdot \frac{(n-1)n}{2} - c \cdot \frac{(\lceil \frac{n}{2} \rceil - 1)(\lceil \frac{n}{2} \rceil)}{2} \right] + \theta(n)$$

$$\leq \frac{2c}{n} \left[\frac{(n-1)n}{2} - \frac{(\frac{n}{2}-1)(\frac{n}{2})}{2} \right] + \theta(n)$$

$$= c(n-1) - \frac{c}{2} \left(\frac{n}{2} - 1 \right) + \theta(n)$$

$$= c(n-1) - \frac{2c}{2} \left(\frac{n-3}{2} \right) \frac{(\frac{n-1}{2})}{2} + \theta(n)$$

$$= cn - c - \frac{cn}{4} + \frac{c}{2} + \theta(n)$$

$$= c(n-1) - \frac{3c}{4} \left(\frac{n^2 - 4n + 3}{4} \right) + \theta(n)$$

$$= cn - \left(\frac{c}{2} + \frac{cn}{4} - \theta(n) \right)$$

$$= cn - c - \frac{3c}{4} + c - \frac{3c}{4n} + \theta(n)$$

$$\leq cn \quad \text{if} \quad \frac{c}{2} + \frac{cn}{4} - \theta(n) \geq 0$$

$$= cn - \left(\frac{c}{4} + \frac{3c}{4n} - \theta(n) \right)$$

- choose c , no large enough to ensure this ...

$\leq cn$

$$\leq \frac{2}{n} \left(c \cdot \frac{(n-1)n}{2} - \frac{c \cdot (\frac{n}{2}-1)(\frac{n}{2})}{2} \right) + \theta(n)$$

CS 25 Algorithms

10/4/95

Last time (chap 10)

- Order statistics

Today (chap 10, 9)

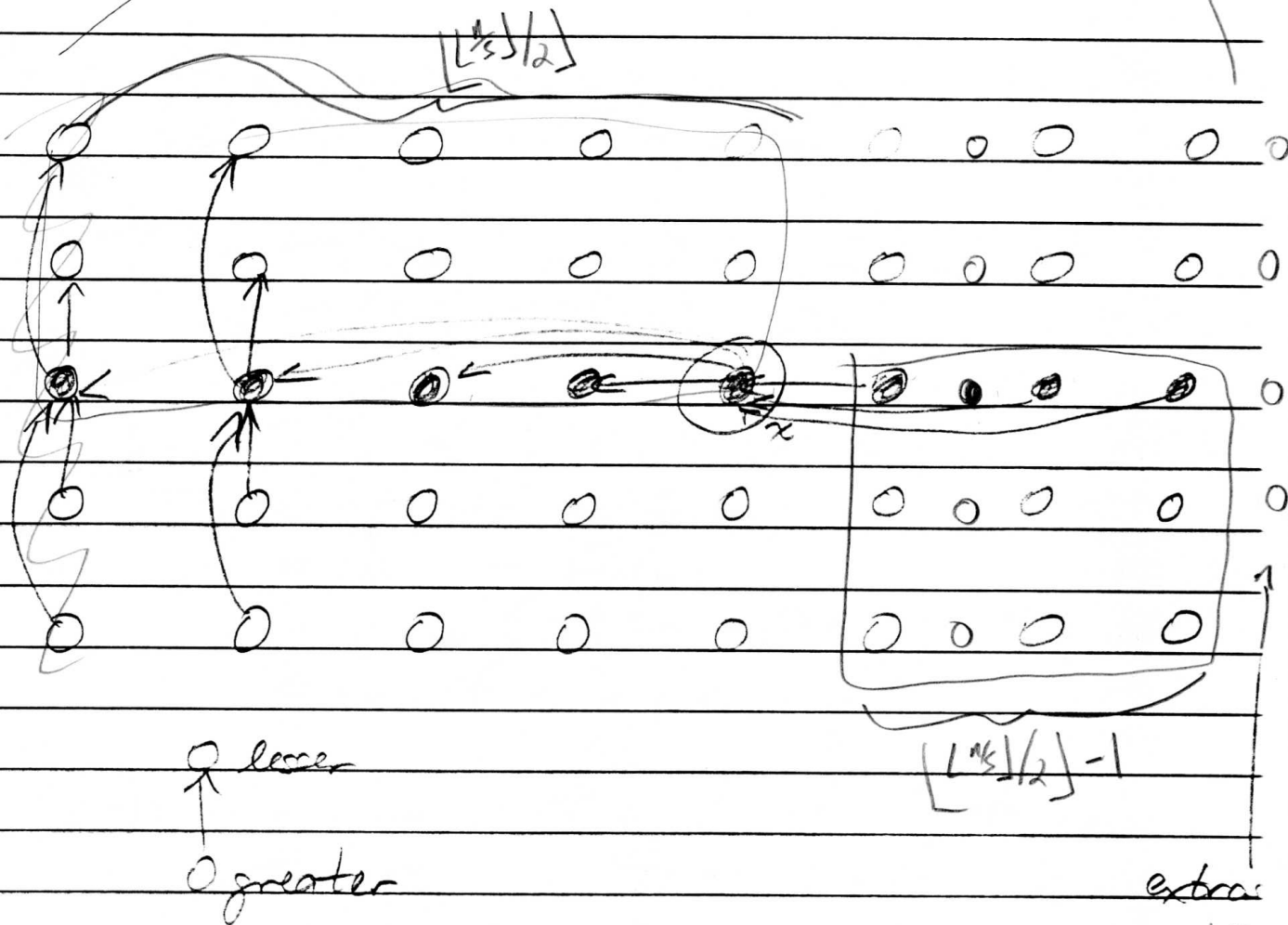
- Order statistics (cont.)
- Lower bounds for sorting
- Sorting in binary trees
 - counting sort
 - radix sort

Announcements

- BJ's office hours
- Homeworks & solutions

Analysis

Assume all elements are distinct.



- $\geq \frac{1}{2}$ of the medians are $\leq x$
 $\Rightarrow \geq \lfloor \lfloor \frac{n}{5} \rfloor / 2 \rfloor = \lfloor \frac{n}{10} \rfloor$ medians $\leq x$
 $\Rightarrow \geq 3 \lfloor \frac{n}{10} \rfloor$ elts $\leq x$.

- $\geq \frac{1}{2}$ of medians, (minus 1) are $> x$
 $\Rightarrow \geq \lfloor \lfloor \frac{n}{5} \rfloor / 2 \rfloor - 1 = \lfloor \frac{n}{10} \rfloor - 1$ medians $> x$
 $\Rightarrow \geq 3 \lfloor \frac{n}{10} \rfloor - 3$ elts $> x$.

$$3\lfloor \frac{n}{10} \rfloor - 3 = 3(\frac{n}{10} - 1) - 3 = \frac{3n}{10} - 6 \geq \frac{n}{4}$$

if $n(\frac{3}{10} - \frac{1}{4}) \geq 6$
 $\Rightarrow n \geq 120$

CS 25-X94

$$3\lfloor \frac{n}{10} \rfloor \geq \frac{n}{4} \text{ (obvious)}$$

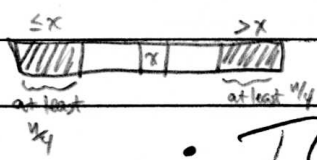
LSPL

$n \geq 60 \Rightarrow 3\lfloor \frac{n}{10} \rfloor - 3 \geq \frac{n}{4}$

Get rid of floor, say $\Theta(1)$ for $n < 60$ is OK

not true!
(try 61)

\therefore After partitioning around x , step 5 is called on $\leq \frac{3}{4}n$ elts.



$$T(n) \leq T(\lfloor \frac{n}{5} \rfloor) + T(\frac{3}{4}n) + \Theta(n)$$

$$\leq T(\frac{n}{5}) + T(\frac{3}{4}n) + \Theta(n)$$

$$\frac{1}{5} + \frac{3}{4}n = \frac{19}{20}n$$

have class guess solution give intuition

Show: $T(n) \leq cn$ by substitution.

$$T(n) \leq \frac{cn}{5} + \frac{3cn}{4} + \Theta(n)$$

$$= \frac{19}{20}cn + \Theta(n)$$

$$= cn - (\frac{1}{20}cn - \Theta(n))$$

$$\leq cn \text{ if } c \text{ big enough.}$$

Intuition: work at each level of recursion is const factor $c = \frac{19}{20}$ - smaller \Rightarrow geometric series \Rightarrow work at root ($\Theta(n)$ term) dominates.

Lower bounds for sorting

CS 25-X94

Lecture 6

7/11/94

Reminder - using x-hour tomorrow.

How fast can we sort?

Will provide LB, then beat it by making different assumptions.

Comparison sorting - the sorted order determined is based only on comparisons between input elems.

E.g. Insertion sort, merge sort, quicksort, heapsort, Bubble sort

Lower bound

$\Omega(n)$ to examine all the input.

We'll show $\Omega(n \lg n)$.

Decision tree

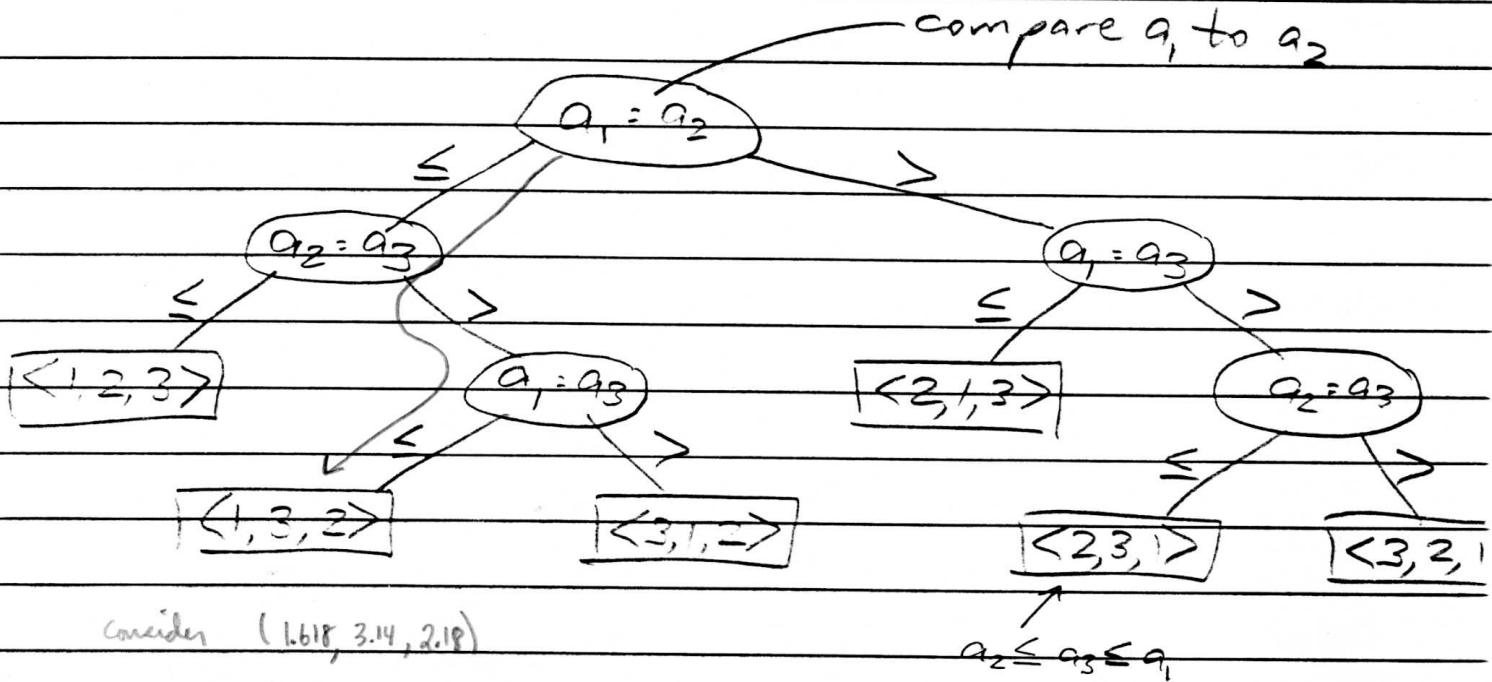
Abstraction of any comparison sort.

Represents comparisons made by a sorting alg. on input of a given size.

Ignores everything else - control, data movement, etc.

on 3 elements

For insertion sort:



Each leaf annotated by the permutation the alg. determines.

Show insertion sort for sample array $\langle 9, 2, 6 \rangle$, ending up at $\langle 2, 3, 1 \rangle \implies$ sorted order is $\langle a_2, a_3, a_1 \rangle = \langle 2, 6, 9 \rangle$.

How many leaves? $\geq n!$ or else there's a missing perm.

Don't need $> n!$, but an alg could have more if redundant decisions or paths that will never be executed.

DT can model a comparison sort.

For a particular sorting alg:

- 1 tree for each n
- view as if alg splits into 2 at each decision
- tree of all possible execution traces.

What's length of longest path root \rightarrow leaf in insertion sort tree? $\Theta(n^2)$

Merge sort tree? $\Theta(n \lg n)$

Lemma: Binary tree of height h has $\leq 2^h$ leaves. \forall binary tree of height h , #leaves $\leq 2^h$

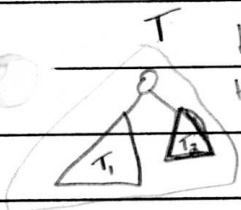
Proof: By induction on h . (strong induction)

Basis: $h=0$. Tree is just 1 node, which is a leaf, $2^0 = 1$.

Inductive step: Assume true for $h-1$.

Extend tree of height $h-1$ by making as many new leaves as possible. Each leaf becomes parent to 2 new leaves.

$$\begin{aligned} \# \text{leaves for height } h &\leq 2 \cdot (\# \text{leaves for height } (h-1)) \\ &\leq 2 \cdot 2^{h-1} \\ &= 2^h \end{aligned}$$



$H(T) = h$
 $H(T_1) = h_1 \leq h-1$
 $H(T_2) = h_2 \leq h-1$ (lemma part)



$$\begin{aligned} L(T) &= L(T_1) + L(T_2) && \text{(construction)} \\ &\leq 2^{h_1} + 2^{h_2} && \text{(ind. hyp.)} \\ &\leq 2^{h-1} + 2^{h-1} && \text{(prop of trees)} \\ &= 2^h \quad \checkmark && \text{(alg.)} \end{aligned}$$

• at most 2 subtrees of height at most $h-1$

10/6/95

Last time (chap 10, 9)

Today (chap 9, 16.1)

Handouts

- Merge order stat.
- Lower bounds for sort.

- Counting sort
- Radix sort
- Dynamic programming

- HW 1 Solutions
- HW 1 graded papers
- HW 3

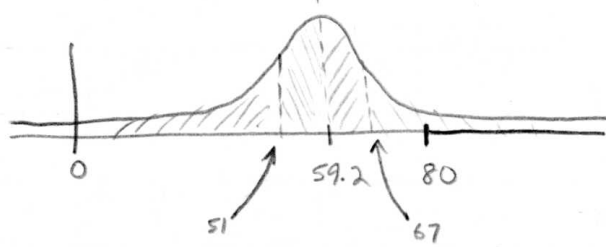
HW 1

max = 80

$\bar{x} = 59.2$

$s = 12.1$

$\frac{2}{3}s \approx 8$



Note: $[\min, \bar{x} - \frac{2}{3}s] = [0, 51] \approx$ lowest 25%

$[\bar{x} - \frac{2}{3}s, \bar{x}] = [51, 59] \approx$ 2nd 25%

$[\bar{x}, \bar{x} + \frac{2}{3}s] = [59, 67] \approx$ 3rd 25%

$[\bar{x} + \frac{2}{3}s, \max] = [67, 80] \approx$ top 25%

$\bar{x} = 93.4$
 $\frac{2}{3}s = 3.7$

Sorting in linear time
Non-comparison sorts.

Ex: Consider sorting people by: age in years, height in inches, shoe size, weight in pounds, etc.

Counting sort

Depends on key assumption:

Numbers to be sorted are integers: $\{1, 2, \dots, k\}$

Input: $A[1..n]$, $A[j] \in \{1, 2, \dots, k\}$

Output: $B[1..n]$, sorted

Uses $C[1..k]$ as aux storage

Counting-Sort(A, B, n, k)

$\Theta(k)$ (for $j \leftarrow 1$ to k
 do $C[j] \leftarrow 0$

$\Theta(n)$ (for $j \leftarrow 1$ to n
 do $C[A[j]] ++$ ← increment

$\Theta(k)$ (for $i \leftarrow 2$ to k
 do $C[i] \leftarrow C[i] + C[i-1]$

$\Theta(n)$ (for $j \leftarrow n$ down to 1
 do $B[C[A[j]]] \leftarrow A[j]$
 $C[A[j]] --$ ← decrement

$\Theta(n+k)$

Example for $A = 3, 6, 4, 1, 3, 4, 1, 4$ (next page)

Stable because of how last loop works.

Analysis: $\Theta(n+k)$
 $= \Theta(n)$ if $k = O(n)$

How big a k is practical?

Good for sorting 32-bit values? No.

16-bit? Probably not.

8-bit? Maybe.

4-bit? Probably.

Will use in radix sort. (two pages)

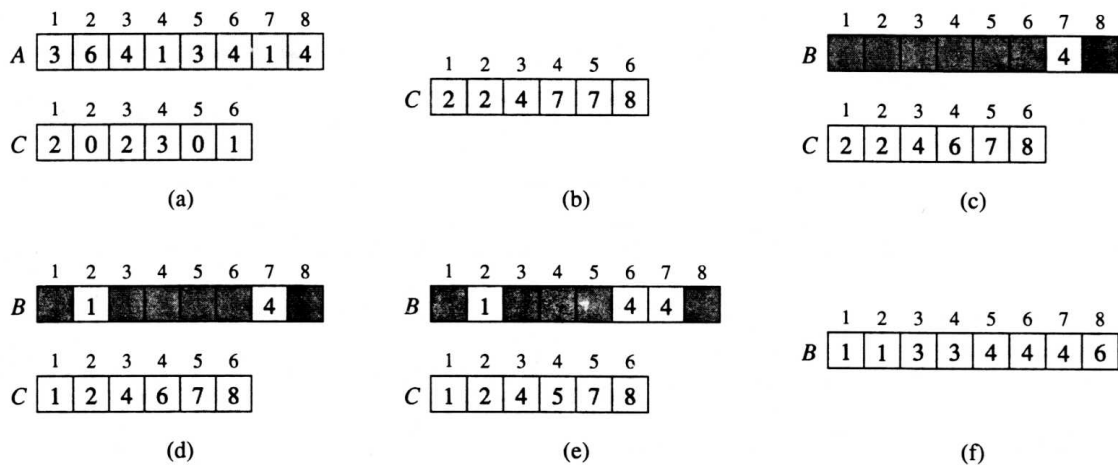


Figure 9.2 The operation of COUNTING-SORT on an input array $A[1..8]$, where each element of A is a positive integer no larger than $k = 6$. (a) The array A and the auxiliary array C after line 4. (b) The array C after line 7. (c)–(e) The output array B and the auxiliary array C after one, two, and three iterations of the loop in lines 9–11, respectively. Only the lightly shaded elements of array B have been filled in. (f) The final sorted output array B .

COUNTING-SORT(A, B, k)

```

1  for  $i \leftarrow 1$  to  $k$ 
2      do  $C[i] \leftarrow 0$ 
3  for  $j \leftarrow 1$  to  $\text{length}[A]$ 
4      do  $C[A[j]] \leftarrow C[A[j]] + 1$ 
5   $\triangleright C[i]$  now contains the number of elements equal to  $i$ .
6  for  $i \leftarrow 2$  to  $k$ 
7      do  $C[i] \leftarrow C[i] + C[i - 1]$ 
8   $\triangleright C[i]$  now contains the number of elements less than or equal to  $i$ .
9  for  $j \leftarrow \text{length}[A]$  downto 1
10     do  $B[C[A[j]]] \leftarrow A[j]$ 
11     do  $C[A[j]] \leftarrow C[A[j]] - 1$ 

```

Counting sort is illustrated in Figure 9.2. After the initialization in lines 1–2, we inspect each input element in lines 3–4. If the value of an input element is i , we increment $C[i]$. Thus, after lines 3–4, $C[i]$ holds the number of input elements equal to i for each integer $i = 1, 2, \dots, k$. In lines 6–7, we determine for each $i = 1, 2, \dots, k$, how many input elements are less than or equal to i ; this is done by keeping a running sum of the array C .

Finally, in lines 9–11, we place each element $A[j]$ in its correct sorted position in the output array B . If all n elements are distinct, then when we first enter line 9, for each $A[j]$, the value $C[A[j]]$ is the correct final position of $A[j]$ in the output array, since there are $C[A[j]]$ elements less

Radix sort

How IBM made its money.

Card sorter - can sort cards based on one column at a time.

How to sort on all columns?

For card sorters, need a human operator, who becomes part of the alg.

explain after hands

Key idea: sort least signif digit first.

start here

Radix-Sort (A, d)

for $i \leftarrow 1$ to d

do use a stable sort to sort array A on digit i

\Rightarrow P 6.1

put up example now... (next page)

Correctness

Induction on # of passes.

Assume digits $1, \dots, i-1$ are ordered.

Show stable sort on digit i leaves digits $1, \dots, i$ ordered:

(claim) After i passes of Radix sort, the numbers are sorted based on least significant i digits.

• 2 digits in pos i differ \Rightarrow sorting by digit i is correct - lower-order digits irrelevant.

• 2 digits in pos i same \Rightarrow stable sort puts them in right order.

Important: intermediate sorting alg must be stable

CS 25-X94

L6 P6.1

Example:

329	720		720		329
457	355		329		355
657	436		436		436
839	457	\Rightarrow	839	\Rightarrow	457
436	657		355		657
720	329		457		720
355	839		657		839

Thm: Any decision tree that sorts n elts has height $\Omega(n \lg n)$.

Proof: Tree has $\geq n!$ leaves. Let h be its height. By lemma, $n! \leq (\# \text{leaves}) \leq 2^h$.

Take logs: $\forall h \geq \lg(n!)$.

By Stirling's approx: $n! > \left(\frac{n}{e}\right)^n$ | OR $\left(\frac{n}{e}\right)^n \leq n! \leq 2^h$

$$\begin{aligned} \therefore h &\geq \lg\left(\left(\frac{n}{e}\right)^n\right) \\ &= n \lg n - n \lg e \\ &= \Omega(n \lg n) \end{aligned}$$

$$\begin{aligned} \downarrow &\Leftrightarrow h \geq \frac{n}{2} \lg \frac{n}{2} \\ \boxtimes &\Rightarrow h = \Omega(n \lg n) \end{aligned}$$

Cor: Heapsort & merge sort are asympt. optm

Sorting in linear time
Non-comparison sorts.

Counting sort

Depends on key assumption:

Numbers to be sorted are integers $\{1, 2, \dots, k\}$.

Input: $A[1..n]$, $A[i] \in \{1, 2, \dots, k\}$

Output: $B[1..n]$, sorted

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Take logs: $\Omega h \geq \lg(n!)$.

By Stirling's approx: $n! > \left(\frac{n}{e}\right)^n$ OR $\left(\frac{n}{e}\right)^n \leq n! \leq 2^h$

$$\therefore h \geq \lg \left(\frac{n}{e}\right)^n$$

$$= n \lg n - n \lg e$$

$$= \Omega(n \lg n)$$

$$\Downarrow \Leftrightarrow h \geq \frac{n}{2} \lg \frac{n}{2}$$

$$\square \Rightarrow h = \Omega(n \lg n)$$

$$\square$$

Cor: Heapsort & merge sort are asympt. opt.

Sorting in linear time
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Counting sort

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Uses $C[1..k]$ as aux storage

Analysis

here, key radix

Use counting sort as intermediate sort.

$\Theta(n+k)$ per pass.

$\Theta(d(n+k))$ total.

$k = O(n) \Rightarrow \Theta(dn)$.

Breaking keys into digits

n keys, b bits/key.

View each key as $d = \frac{b}{r}$ digits of r bits each.

Example: $b=32 \Rightarrow$ can view as 4 8-bit digits.

r -bit digit has value in $\{0, \dots, 2^r-1\}$, so for counting sort, $k = 2^r$.

Time for radix sort = $\Theta(\frac{b}{r}(n+2^r))$.

How to pick r ? b, n given.

Increase $r \Rightarrow$ fewer passes, more time & space per pass.

Choosing $r \approx \lg n \Rightarrow \Theta(\frac{b}{\lg n}(n+n)) = \Theta(\frac{2bn}{\lg n})$.

Compare with Merge Sort
 $\frac{bn}{\lg n} \approx n \lg n$
 $b \approx \lg n$
 $\frac{bn}{\lg n} \approx n$
 $n \geq 2$

Comparison to other sorts

2000 32-bit integers to sort.

Merge sort, quicksort make $\geq \lg 2000 \approx 11$ passes over data.

Radix sort with $\lg 2000 = 11$ -bit digits makes only 3 passes? Not really - each Counting Sort call makes 2 passes over data + 2 passes over C \Rightarrow 12 passes. Radix sort starts winning for $n \geq 2000$ or so.